



# STATE OF CALIFORNIA DEPARTMENT OF TRANSPORTATION

# NOTICE TO BIDDERS AND SPECIAL PROVISIONS

# FOR CONSTRUCTION ON STATE HIGHWAY IN LASSEN AND SHASTA COUNTIES AT VARIOUS LOCATIONS

In District 02 On Route 44,139

Under

Bid book dated April 15, 2013

Standard Specifications dated 2010

Project plans approved March 20, 2013

Standard Plans dated 2010

Identified by

Contract No. 02-4F1304 02-Las,Sha-44,139-VAR Project ID 0212000117

**Electronic Advertising Contract** 

# SPECIAL NOTICES

• Funding for this project depends on passage of the 2013-2014 FY Budget Act. See section 8-1.04G for special requirements.

# CONTRACT NO. 02-4f1304 The special provisions contained herein have been prepared by or under the direction of the following Registered Person.

**HIGHWAYS AND TRAFFIC** 

DMiss Ergre

3/20/13 DATE Denise Fuzeré

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| C69865 | \*
| CIVIL | CIVIL

REGISTERED CIVIL ENGINEER

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### **STANDARD PLANS LIST**

The standard plan sheets applicable to this Contract include those listed below. The applicable revised standard plans (RSPs) listed below are included in the project plans.

A10A	Abbreviations (Sheet 1 of 2)
A10B	Abbreviations (Sheet 2 of 2)
A10C	Lines and Symbols (Sheet 1 of 3)
A10D	Lines and Symbols (Sheet 2 of 3)
A10E	Lines and Symbols (Sheet 3 of 3)
A20A	Pavement Markers and Traffic Lines, Typical Details
A20B	Pavement Markers and Traffic Lines, Typical Details
A20D	Pavement Markers and Traffic Lines, Typical Details
A24B	Pavement Markings - Arrows and Symbols
A24D	Pavement Markings - Words
RSP A24E	Pavement Markings - Words, Limit and Yield Lines
T1A	Temporary Crash Cushion, Sand Filled (Unidirectional)
T1B	Temporary Crash Cushion, Sand Filled (Bidirectional)
T2	Temporary Crash Cushion, Sand Filled (Shoulder Installations)
T3A	Temporary Railing (Type K)
T3B	Temporary Railing (Type K)
RS1	Roadside Signs, Typical Installation Details No. 1
RS2	Roadside Signs - Wood Post, Typical Installation Details No. 2
RS4	Roadside Signs, Typical Installation Details No. 4
S93	Framing Details for Framed Single Sheet Aluminum Signs, Rectangular Shape
S94	Roadside Framed Single Sheet Aluminum Signs, Rectangular Shape

Roadside Single Sheet Aluminum Signs, Diamond Shape

S95

#### **CANCELED STANDARD PLANS LIST**

The standard plan sheets listed below are canceled and not applicable to this contract.

B3-1	Canceled on April 20, 2012
B3-2	Canceled on April 20, 2012
B3-3	Canceled on April 20, 2012
B3-4	Canceled on April 20, 2012
B3-7	Canceled on April 20, 2012
B3-8	Canceled on April 20, 2012
ES-8	Canceled on January 20, 2012
ES-10	Canceled on July 20, 2012

#### **NOTICE TO BIDDERS**

Bids open Wednesday, May 8, 2013

Dated April 15, 2013

General work description: Asphalt Rubber Seal with Test Sections

The Department will receive sealed bids for CONSTRUCTION ON STATE HIGHWAY IN LASSEN AND SHASTA COUNTIES AT VARIOUS LOCATIONS.

District-County-Route-Post Mile: 02-Las, Sha-44, 139-VAR

Contract No. 02-4F1304

The Contractor must have either a Class A license or one of the following Class C licenses: C-12, C-32.

The DVBE Contract goal is 3 percent.

Bids must be on a unit price basis.

Complete the work within 30 working days.

The estimated cost of the project is \$1,710,000.

No prebid meeting is scheduled for this project.

The Department will receive bids until 2:00 p.m. on the bid open date at 1727 30th Street, Bidders' Exchange, MS 26, Sacramento, CA 95816. Bids received after this time will not be accepted. Department staff will direct the bidders to the bid opening.

The Department will open and publicly read the bids at the above location immediately after the specified closing time.

District office addresses are provided in the Standard Specifications.

Present bidders' inquiries to the Department and view the Department's responses at:

http://www.dot.ca.gov/hq/esc/oe/project\_status/bid\_inq.html

Questions about alleged patent ambiguity of the plans, specifications, or estimate must be asked before bid opening. After bid opening, the Department does not consider these questions as bid protests.

Submit your bid with bidder's security equal to at least 10 percent of the bid.

Under Govt Code § 14835 et seq. and 2 CA Code of Regs § 1896 et seq., the Department gives preference to certified small businesses and non–small businesses who commit to 25 percent certified small business participation.

Under Pub Cont Code § 6107, the Department gives preference to a "California company," as defined, for bid comparison purposes over a nonresident contractor from any state that gives or requires a preference to be given to contractors from that state on its public entity construction contracts.

Prevailing wages are required on this Contract. The Director of the California Department of Industrial Relations determines the general prevailing wage rates. Obtain the wage rates at the DIR Web site, http://www.dir.ca.gov, or from the Department's Labor Compliance Office of the district in which the work is located.

The Department has made available Notices of Suspension and Proposed Debarment from the Federal Highway Administration. For a copy of the notices, go to http://www.dot.ca.gov/hq/esc/oe/contractor_info. Additional information is provided in the Excluded Parties List System at https://www.epls.gov.
Department of Transportation
D02df

#### **COPY OF BID ITEM LIST**

Item No.	Item Code	Item Description	Unit of Measure	Estimated Quantity		
1	070030	LEAD COMPLIANCE PLAN	LS	LUMP SUM		
2	120090	CONSTRUCTION AREA SIGNS	LS	LUMP SUM		
3	120100	TRAFFIC CONTROL SYSTEM	LS	LUMP SUM		
4	128652	PORTABLE CHANGEABLE MESSAGE SIGN (LS)	LS	LUMP SUM		
5	130100	JOB SITE MANAGEMENT	JOB SITE MANAGEMENT LS			
6	130200	PREPARE WATER POLLUTION CONTROL PROGRAM	LS	LUMP SUM		
7	150715	REMOVE THERMOPLASTIC PAVEMENT MARKING	SQFT	340		
8	370001	SAND COVER (SEAL)	TON	680		
9	025548	ASPHALT RUBBER BINDER (TYPE II)	TON	530		
10	025549	CRUMB RUBBER R18 MODIFIED BINDER	TON	140		
11	025550	ASPHALT RUBBER BINDER (TYPE II) WMA ADDITIVE	TON	25		
12	025551	ASPHALT RUBBER BINDER (TYPE I)	TON	25		
13	025552	ASPHALT RUBBER BINDER (TYPE I) WMA ADDITIVE	TON	25		
14	025553	CRUMB RUBBER R18 MODIFIED BINDER WMA ADDITIVE	TON	25		
15	374004	ASPHALTIC EMULSION (FLUSH COAT)	TON	84		
16	375030	SCREENINGS (HOT-APPLIED)	TON	5,300		
17	840515	THERMOPLASTIC PAVEMENT MARKING	SQFT	450		
18	840560	THERMOPLASTIC TRAFFIC STRIPE (SPRAYABLE)	LF	240,000		
19	025554	THERMOPLASTIC TRAFFIC STRIPE (RECESSED)	LF	220		
20	025555	THERMOPLASTIC TRAFFIC STRIPE (SPRAYABLE,TWO-COAT)	LF	120,000		

Item No.	Item Code	Item Description	Unit of Measure	Estimated Quantity
21	850122	PAVEMENT MARKER (RETROREFLECTIVE- RECESSED)	EA	4,580

#### **SPECIAL PROVISIONS**

# DIVISION I GENERAL PROVISIONS 1 GENERAL

#### Add to section 1-1.01:

**Bid Items and Applicable Sections** 

Item	Item description	Applicable						
code		section						
025548	ASPHALT RUBBER BINDER (TYPE II)	37						
025549	CRUMB RUBBER R18 MODIFIED BINDER	37						
025550	ASPHALT RUBBER BINDER (TYPE II)_ WMA ADDITIVE	37						
025551	ASPHALT RUBBER BINDER (TYPE I)	37						
025552	ASPHALT RUBBER BINDER (TYPE I) WMA ADDITIVE	37						
025553	CRUMB RUBBER R18 MODIFIED BINDER WMA ADDITIVE	37						
025554	THERMOPLASTIC TRAFFIC STRIPE (RECESSED)	84						
025555	THERMOPLASTIC TRAFFIC STRIPE (SPRAYABLE, TWO-COAT)	84						

#### Add to section 1-1.09:

This project is in a freeze-thaw area.

#### 

#### **5 CONTROL OF WORK**

#### Add to section 5-1.32:

The following locations meet environmental compliance for parking and stockpiling:

Co-Route	PM	Side of Highway (i.e. NB, SB)	Description of Area
Sha-44	42.45	EB	Paved/earthen chain control area across from Deer Flat Rd.
Sha-44	46.70	EB	Narrow gravel pull-out
Sha-44	51.50	WB	Gravel pull-out
Sha-44	52.10	WB	Gravel pull-out
Sha-44	57.80	EB	Gravel pull-out
Las-139	32.20	SB	Caltrans sand house - paved/gravel
Las-139	34.70	NB	Paved/gravel pull-out
Las-139	38.23	NB	Narrow earthen pull-out
Las-139	38.25	SB	Large paved/earthen pull-out

#### 7 LEGAL RELATIONS AND RESPONSIBILITY TO THE PUBLIC

#### Replace section 7-1.02K(6)(j)(iii) with:

#### 7-1.02K(6)(j)(iii) Earth Material Containing Lead

Section 7-1.02K(6)(j)(iii) includes specifications for handling, removing, and disposing of earth material containing lead.

Submit a lead compliance plan.

Lead is present in earth material on the job site. The average lead concentrations are below 1,000 mg/kg total lead and below 5 mg/L soluble lead. The material on the job site:

- 1. Is not a hazardous waste
- 2. Does not require disposal at a permitted landfill or solid waste disposal facility

Lead is typically found within the top 2 feet of material in unpaved areas of the highway. Reuse all of the excavated material on the right-of-way.

Handle the material under all applicable laws, rules, and regulations, including those of the following agencies:

- 1. Cal/OSHA
- 2. CA RWQCB, Region 5,6—Central Valley and Lahontan
- 3. CA Department of Toxic Substances Control

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#### 8 PROSECUTION AND PROGRESS

#### Replace section 8-1.04G with:

#### 8-1.04G Potential Budget Impasse Start

The 1st paragraph of section 8-1.04B does not apply.

Within 10 days after receiving notice that the Contract has been approved by the Attorney General or the attorney appointed and authorized to represent the Department or within 5 business days after the 2013-2014 FY Budget Act becomes law, whichever occurs later, submit a request for authorization to start job site activities. The request must include:

- 1. CPM baseline schedule
- 2. Date you plan to start job site activities

The Department does not allow changes to the request after it is authorized.

Except for measuring controlling field dimensions and locating utilities, do not start job site activities until your WPCP or SWPPP, whichever applies, is received and authorized and the following submittals are received:

- 1. Notice of Materials To Be Used
- 2. Contingency plan for reopening closures to public traffic
- 3. Written statement from the vendor that the order for the sign panels has been received and accepted by the vendor. The statement must show the dates the materials will be shipped.

- 4. Written statement from the vendor that the order for electrical material has been received and accepted by the vendor. The statement must show the dates the materials will be shipped.
- 5. Written statement from the vendor that the order for structural steel has been received and accepted by the vendor. The statement must show the dates the materials will be shipped.

If you obtain authorization to start job site activities for the date you requested, start job site activities on the requested date. If you fail to submit a request for authorization to start job site activities as specified or if the request is not authorized, start job site activities within 15 days after Contract approval or 5 business days after the 2013-2014 FY Budget Act becomes law, whichever occurs later, except as specified below for the case of funds becoming available in the 2012-2013 FY Budget Act. Start work before September 3, 2013.

Except as specified below for the case of funds becoming available in the 2012-2013 FY Budget Act, start job site activities by the later of the following dates:

- 1. 15th day after Contract approval
- 2. 5th business day after the 2013-2014 FY Budget Act becomes law
- 3. July 1, 2013

Work performed after July 1, 2013 that complies with the Contract is authorized and is paid for as specified when the 2013-2014 FY Budget Act becomes law and the Contract is approved.

Do not start work before July 1, 2013 unless:

- 1. Funds become available in the 2012-2013 FY Budget Act
- 2. The Engineer and you agree on a start date after Contract approval

If the 2013-2014 FY Budget Act does not become law by August 1, 2013 and no work on the Contract has been performed, you may terminate the Contract at no cost to the State. This choice to terminate will not prejudice your performance and payment securities or your rights to participate in future bidding for the project. If you choose to terminate the Contract, you must submit notification of the termination by USPS certified mail with a return receipt and certificate of mailing to the Office Engineer.

The notification must be postmarked before the effective date of the 2013-2014 FY Budget Act.

The Department notifies you when the 2013-2014 FY Budget Act becomes law.

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# DIVISION II GENERAL CONSTRUCTION 12 TEMPORARY TRAFFIC CONTROL

#### Add to section 12-3.12C:

Start displaying the message on the portable changeable message sign 15 minutes before closing the lane.

Place the portable changeable message sign in advance of the 1st warning sign for each stationary lane closure.

#### Replace section 12-3.13 with:

#### 12-3.13 IMPACT ATTENUATOR VEHICLE

#### 12-3.13A General

#### 12-3.13A(1) Summary

Section 12-3.13 includes specifications for protecting traffic and workers with an impact attenuator vehicle during moving lane closures.

Impact attenuator vehicles must comply with the following test levels under National Cooperative Highway Research Program 350:

- 1. Test level 3 if the preconstruction posted speed limit is 50 mph or more
- 2. Test levels 2 or 3 if the preconstruction posted speed limit is 45 mph or less

Comply with the attenuator manufacturer's instructions for:

- 1. Support truck
- 2. Trailer-mounted operation
- 3. Truck-mounted operation

Flashing arrow signs must comply with section 12-3.03. You may use a portable changeable message sign instead of a flashing arrow sign. If a portable changeable message sign is used as a flashing arrow sign, it must comply with section 6F.56 "Arrow Panels" of the *California MUTCD*.

#### 12-3.13A(2) Definitions

**impact attenuator vehicle:** A support truck that is towing a deployed attenuator mounted to a trailer or a support truck with a deployed attenuator that is mounted to the support truck.

#### 12-3.13A(3) Submittals

Upon request, submit a certificate of compliance for each attenuator used on the project.

#### 12-3.13A(4) Quality Control and Assurance

Do not start impact attenuator vehicle activities until authorized.

Before starting impact attenuator vehicle activities, conduct a preinstallation meeting with the Engineer, subcontractors, and other parties involved with traffic control to discuss the operation of the impact attenuator vehicle during moving lane closures and when placing and removing components of stationary traffic control systems.

Schedule the location, time, and date for the preinstallation meeting with all participants. Furnish the facility for the preinstallation meeting within 5 miles of the job site or at another location if authorized.

#### 12-3.13B Materials

Attenuators must be a brand on the Authorized Material List for highway safety features.

The combined weight of the support truck and the attenuator must be at least 19,800 pounds, except the weight of the support truck must not be less than 16,100 or greater than 26,400 pounds.

For the Trinity MPS-350 truck—mounted attenuator, the support truck must not have a fuel tank mounted underneath within 10'-6" of the rear of the support truck.

Each impact attenuator vehicle must have:

- 1. Legal brake lights, taillights, sidelights, and turn signals
- Inverted "V" chevron pattern placed across the entire rear of the attenuator composed of alternating 4-inch wide nonreflective black stripes and 4-inch wide yellow retroreflective stripes sloping at 45 degrees
- 3. Type II flashing arrow sign
- 4. Flashing or rotating amber light
- 5. Operable 2-way communication system for maintaining contact with workers

#### 12-3.13C Construction

Except where prohibited, use an impact attenuator vehicle as a shadow vehicle in a moving lane closure.

Secure objects, including equipment, tools, and ballast on impact attenuator vehicles to prevent loosening upon impact by an errant vehicle.

Do not use a damaged attenuator in the work. Replace any attenuator damaged from an impact during work activities at your expense.

#### 12-3.13 Payment

Not Used

#### Add to section 12-4.01:

Traffic delay is defined as the difference between the time it takes a vehicle to travel through the project at the posted speed limit when no work is in progress and the time it takes a vehicle to travel through the project when work is in progress.

#### Add to section 12-4.02A:

Designated holidays are as shown in the following table:

**Designated Holidays** 

Holiday	Date observed
New Year's Day	January 1st
Washington's Birthday	3rd Monday in February
Memorial Day	Last Monday in May
Independence Day	July 4th
Labor Day	1st Monday in September
Veterans Day	November 11th
Thanksgiving Day	4th Thursday in November
Christmas Day	December 25th

If a designated holiday falls on a Sunday, the following Monday is a designated holiday. If November 11th falls on a Saturday, the preceding Friday is a designated holiday.

Under a 1-way reversing traffic control operation, traffic may be stopped in 1 direction for periods not to exceed 11 minutes. After each stoppage, all accumulated traffic for that direction must pass through the work zone before another stoppage is made. The Contractor must conduct operations so that the traffic delay to public traffic does not exceed 14 minutes.

The maximum length of a single stationary lane closure is 2.0 miles.

Not more than 1 stationary lane closures will be allowed on a route at one time.

Traffic delays to motorists on local road connections must not exceed 14 minutes.

Personal vehicles of your employees must not be parked on the traveled way or shoulders, including sections closed to traffic.

If work vehicles or equipment are parked within 6 feet of a traffic lane, close the shoulder area with fluorescent orange traffic cones or portable delineators. Place the cones or delineators on a taper in advance of the parked vehicles or equipment and along the edge of the pavement at 25-foot intervals to a point not less than 25 feet past the last vehicle or piece of equipment. Use at least 9 cones or delineators for the taper. Use a W20-1, "Road Work Ahead," W21-5b, "Right/Left Shoulder Closed Ahead," or C24(CA), "Shoulder Work Ahead," sign mounted on a crashworthy, portable sign support with flags. The sign must be placed as ordered by the Engineer and at least 48 by 48 inches in size. If a cone or delineator is displaced or overturned, immediately restore the device to its original position or location.

A minimum of 1 paved traffic lane not less than 11 feet wide must be open for use by traffic.

The full width of the traveled way must be open to traffic on Fridays after 3:00 p.m., on Saturdays, on Sundays, on Designated Holidays, and when construction activities are not actively in progress.

Equipment and materials must not remain in a lane unless the lane is closed to traffic and is used for Contract activities.

Lane Closure Restriction for Designated Holidays and Special Days

	Lane (	Closure		ion for	Designat			l Special	Days	
Thu	Fri	Sat	Sun	Mon	Tues	Wed	Thu	Fri	Sat	Sun
	Н									
Х	XX	XX	XX							
	SD									
	XX									
		Н								
Х	XX	XX	XX							
		SD								
		XX								
			Н							
	Х	XX	XX	XX						
			SD							
			XX							
				Н						
	Х	XX	XX	XX						
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		l	<u> </u>		1	l		l		1
Legeno	d:									
X	The full width of the traveled way must be open for use by traffic after 3:00 p.m.									
XX	The full width of the traveled way must be open for use by traffic.									
Н	Designated holiday									
SD	Special		<u>,                                      </u>							

## Replace section 12-5 with: 12-5 TRAFFIC CONTROL SYSTEM FOR LANE CLOSURE

#### 12-5.01 GENERAL

Section 12-5 includes specifications for closing traffic lanes with stationary and moving lane closures on 2-lane, 2-way highways. The traffic control system for a lane closure must comply with the details shown.

Traffic control system includes signs.

#### **12-5.02 MATERIALS**

Vehicles equipped with attenuators must comply with section 12-3.13 of the special provisions.

A new attenuator that is proposed as equal to the authorized attenuators or attenuators ordered for recertification must not be used until authorized by METS.

#### 12-5.03 CONSTRUCTION

#### 12-5.03A General

During traffic striping, control traffic with a stationary or a moving lane closure. During other activities, control traffic with stationary lane closures.

Whenever components of the traffic control system are displaced or cease to operate or function as specified from any cause, immediately repair the components to the original condition or replace the components and restore the components to the original location.

#### 12-5.03B Stationary Lane Closures

For a stationary lane closure made only for the work period, remove components of the traffic control system from the traveled way and shoulder, except for portable delineators placed along open trenches or excavation adjacent to the traveled way at the end of each work period. You may store the components at selected central locations designated by the Engineer within the limits of the highway.

Use a pilot car to control traffic. The cones shown along the centerline need not be placed. The pilot car must have radio contact with personnel in the work area. Operate the pilot car through the traffic control zone at a speed not greater than 25 miles per hour.

#### 12-5.03C Moving Lane Closures

A changeable message sign used in a moving lane closure must comply with section 12-3.12 except the sign must be truck-mounted. The full operational height to the bottom of the sign may be less than 7 feet above the ground but must be as high as practicable.

A flashing arrow sign used in a moving lane closure must be truck-mounted. Operate the flashing arrow sign in the caution display mode whenever it is being used on a 2-lane, 2-way highway.

#### 12-5.04 PAYMENT

Traffic control system for lane closure is paid for as traffic control system. Flagging costs are paid for as specified in section 12-1.03.

The requirements in section 4-1.05 for payment adjustment do not apply to traffic control system. Adjustments in compensation for traffic control system will be made for an increase or decrease in traffic control work if ordered and will be made on the basis of the cost of the necessary increased or decreased traffic control. The adjustment will be made on a force account basis for increased work and estimated on the same basis in the case of decreased work.

A traffic control system required by change order work is paid for as a part of the change order work.

## Replace Section 12-8 with: 12-8 TEMPORARY PAVEMENT DELINEATION

#### 12-8.01 GENERAL

Section 12-8 includes specifications for placing, maintaining, and removing temporary pavement delineation on seal coat projects, including temporary no-passing zone signs.

Temporary signing for no-passing zones must comply with section 12-3.06.

#### 12-8.02 MATERIALS

For seal coat applications, temporary raised pavement markers must be one of the temporary pavement markers on the Authorized Material List for short-term day/night use, 14 days or less.

Place temporary raised pavement markers under the manufacturer's instructions.

#### 12-8.03 CONSTRUCTION

Before applying binder that will obliterate existing traffic stripes, place temporary raised pavement markers on the existing traffic stripes except right edge lines at intervals of not more than 24 feet. Place 2 markers side by side on double traffic stripes, 1 on each stripe longitudinally at intervals not exceeding 24 feet. Before opening the lanes to uncontrolled traffic, remove the covers from the temporary raised pavement markers.

Where no-passing centerline pavement delineation is obliterated, install the following temporary no-passing zone signs before opening lanes to traffic. Install a W20-1, "Road Work Ahead," sign from 1,000 feet to 2,000 feet in advance of a no-passing zone. Install a R4-1, "Do Not Pass," sign at the beginning of a no-passing zone and at 2,000-foot intervals within the no-passing zone. For continuous zones longer than 2 miles, install a W7-3a or W71(CA), "Next \_\_\_\_ Miles," sign beneath the W20-1 sign. Install a R4-2, "Pass With Care," sign at the end of the no-passing zone. The Engineer determines the exact location of temporary no-passing zone signs. Maintain the temporary no-passing zone signs in place until you place the permanent no-passing centerline pavement delineation. Remove the temporary no-passing zone signs when the Engineer determines they are no longer required for the direction of traffic.

Maintain temporary pavement delineation until you replace it with the permanent pavement delineation. Remove the temporary pavement delineation if the Engineer determines it conflicts with the permanent pavement delineation.

#### **12-8.04 PAYMENT**

Not Used

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#### 15 EXISTING FACILITIES

#### Replace section 15-1.03C with:

#### 15-1.03C Loop Detectors

The existing inductive loop detectors shown must remain in place and operational.

If you damage part of a loop conductor including an adjacent loop and the portion leading to the adjacent pull box, replace the entire loop detector within 2 weeks of damage.

#### Replace section 15-2.02C(2) with:

#### 15-2.02C(2) Remove Traffic Stripes and Pavement Markings Containing Lead

Residue from removing traffic stripes and pavement markings contains lead from the paint or thermoplastic. The average lead concentrations are less than 1,000 mg/kg total lead and 5 mg/L soluble lead. This residue:

- 1. Is a nonhazardous waste
- Does not contain heavy metals in concentrations that exceed thresholds established by the Health and Safety Code and 22 CA Code of Regs
- 3. Is not regulated under the Federal Resource Conservation and Recovery Act (RCRA), 42 USC § 6901 et seq.

Submit a lead compliance plan under section 7-1.02K(6)(i)(ii).

Payment for a lead compliance plan is not included in the payment for existing facilities work.

Payment for handling, removal, and disposal of pavement residue that is a nonhazardous waste is included in the payment for the type of removal work involved.

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# DIVISION V SURFACINGS AND PAVEMENTS 37 BITUMINOUS SEALS

#### Replace the 2nd paragraph of section 37-2.01A with:

Seal coat includes applying heated asphalt rubber binder or crumb rubber R18 modified binder, followed by heated screenings precoated with asphalt binder, then a flush coat.

Seal coat binder used for seal coat must be asphalt rubber binder (Type II), except for test sections.

This project includes test sections as shown for the evaluation of seal coats constructed with different seal coat binders including evaluation of test sections with and without warm mix asphalt (WMA) additive technologies. The following seal coat binder types will be evaluated on this project:

- 1. Asphalt rubber binder (Type I)
- 2. Asphalt rubber binder (Type I) with WMA additive technology
- 3. Asphalt rubber binder (Type II)
- 4. Asphalt rubber binder (Type II) with WMA additive technology
- 5. Crumb rubber R18 modified binder
- 6. Crumb rubber R18 modified binder with WMA additive technology

The Department will not consider a VECP that eliminates the use of the above seal coat binder types or WMA additive technology for the test sections.

Select a WMA additive to be used in the specified test sections from Department-approved WMA additive technologies. Some Department-approved WMA technologies for HMA are not appropriate for use in the above seal coat binders for seal coats. For Department-approved WMA technologies, go to:

http://www.dot.ca.gov/hq/esc/approved\_products\_list/

For Laboratory Procedures, go to:

http://www.dot.ca.gov/hg/esc/Translab/ofpm/fpmlab.htm

For Vialit Test Method, go to:

http://www.dot.ca.gov/hq/esc/ctms/index.html

#### Replace "Reserved" in section 37-2.01B with:

crumb rubber modifier: Ground or granulated high natural crumb rubber and/or scrap tire crumb rubber.

**descending viscosity reading:** Subsequent viscosity reading that must be at least 5 percent lower than any previous viscosity reading.

high natural crumb rubber: Material containing 40 to 48 percent natural rubber.

scrap tire crumb rubber: Any combination of:

- 1. Automobile tires
- 2. Truck tires
- 3. Tire buffings

#### Replace section 37-2.01C(5) with:

#### 37-2.01C(5) Seal Coat

#### 37-2.01C(5)(a) General

For seal coat using asphalt rubber binder (Type I) and (Type II) submit a certificate of compliance and a copy of the specified test results for each delivery of asphalt rubber binder ingredients and asphalt rubber binder to the job site.

Submit MSDS for each seal coat binder ingredient and the seal coat binder.

At least 15 days before use, submit:

- 1. Four 1-quart cans of mixed seal coat binder
- 2. Samples of each seal coat binder ingredient
- 3. Seal coat binder formulation and data as follows:
  - 3.1. For asphalt binder, submit source and grade of asphalt binder
  - 3.2 For asphalt modifier, submit:
    - 3.2.1. Source and type of asphalt modifier
    - 3.2.2. Percentage of asphalt modifier by weight of asphalt binder
    - 3.2.3. Percentage of combined asphalt binder and asphalt modifier by weight of asphalt rubber binder
    - 3.2.4. Test results for the specified quality characteristics
  - 3.3. For crumb rubber modifier, submit:
    - 3.3.1. Each source and type of scrap tire crumb rubber and high natural rubber
    - 3.3.2. Test results for the specified quality characteristics
  - 3.4. For WMA additive technology, submit:
    - 3.4.1. Name of technology
    - 3.4.2. Percent admixture by weight of seal coat binder as recommended by the manufacturer
  - 3.5. For seal coat binder, submit:
    - 3.5.1. Test results for the specified quality characteristics
    - 3.5.2. Test results for AASHTO T 228. Report test results in pounds per gallon
    - 3.5.3. For asphalt rubber binder (Type I) and (Type II):
      - 3.5.3.1. Minimum reaction time and temperature
      - 3.5.3.2. Percentage of scrap tire crumb rubber and high natural rubber by total weight of asphalt rubber binder
    - 3.5.4. For crumb rubber R18 modified binder, percentage of scrap tire crumb rubber by total weight of crumb rubber R18 modified binder
  - 3.6. Test result for Vialit Test Method for aggregate in Chip Seals, French Chip.
  - 3.7. For precoated screenings, submit:
    - 3.7.1. Name of proposed aggregate source
    - 3.7.2. California mine number
    - 3.7.3. SMARA identification number
    - 3.7.4. Aggregate test results performed within past 60 days for:
      - 3.7.4.1. California Test 202
      - 3.7.4.2. California Test 211
      - 3.7.4.3. California Test 302
      - 3.7.4.4. California Test 227
      - 3.7.4.5. California Test 229
    - 3.7.5. Name of HMA plant producing precoated screenings
    - 3.7.6. Asphalt binder grade for coating
    - 3.7.7. Precoated screenings asphalt binder coating percentage by weight of dry screenings
  - 3.8 For seal coat, submit the proposed:
    - 3.8.1. Seal coat binder temperature range
    - 3.8.2. Seal coat binder application rate
    - 3.8.3. Precoated screenings spread rate

At least 5 days before use, submit permit issued by local air quality agency for seal coat binder:

- 1. Field blending equipment
- 2. Application equipment

For each delivery of seal coat binder ingredients, submit:

- 1. A certified volume or weight slip
- 2. Certificate of compliance with manufactures test results for the specified quality characteristics

Submit for each delivery of seal coat binder:

- 1. A certified volume or weight slip
- 2. Percentage of crumb rubber modifier by weight of seal coat binder
- 3. Certificate of compliance for the specified quality characteristics

#### 37-2.01C(5)(b) Prepaving Conference

Submit a list of names participating in the prepaving conference. Identify each participant's name, employer, title, and role in the production and placement of seal coat.

#### 37-2.01C(5)(c) Tests and Samples

At least 10 days before starting seal coat activities, submit the name of an independent testing laboratory that participates in the AASHTO Materials Reference Laboratory (AMRL) program and the Department's Independent Assurance Program.

The independent testing laboratory must submit seal coat tests results to the Engineer.

Submit a certificate of compliance and accuracy verification of test results for viscometers.

Upon request, submit notification 15 minutes before each viscosity test or submit a schedule of testing times.

#### 37-2.01C(5)(d) Daily Production Log

Submit log of production data daily and upon request.

Submit log of seal coat binder production viscosity test results each day of seal coat work.

#### Replace "Reserved" in section 37-2.01D(1) with:

Equipment used in producing asphalt rubber binder (Type I) and (Type II) must be permitted for use by local air quality agency. If an air quality permit is not required by local air quality agency for producing asphalt rubber binder (Type I) and (Type II) submit a project specific verification from the local air quality agency that an air quality permit is not required.

Equipment used in spreading seal coat binder must be permitted for use by local air quality agency. If an air quality permit is not required by local air quality agency for spray applying seal coat binder, submit project specific verification from the local air quality agency that an air quality permit is not required.

#### Replace section 37-2.01D(4) with:

37-2.01D(4) Seal Coat 37-2.01D(4)(a) General

Not Used

#### 37-2.01D(4)(b) Technical Representatives

#### 37-2.01D(4)(b)(i) General

Technical representatives for the following must participate in the prepaving conference and be present during placement of the portion of the seal coat related to the product they represent:

- 1. Asphalt rubber binder (Type I) and (Type II) producer
- 2. Crumb rubber R18 modified binder producer
- 3. WMA additive technology supplier

#### 37-2.01D(4)(b)(ii) Asphalt Rubber Binder (Type I) and (Type II) Producer

A technical representative from the asphalt rubber producer must be present during the production and placement of seal coat using asphalt rubber binder. The technical representative may advise you and the Engineer during the seal coat application as it relates to the asphalt rubber binder placement temperature, asphalt rubber binder application rate and other placement issues.

#### 37-2.01D(4)(b)(iii) Crumb Rubber R18 Modified Binder Producer

A technical representative from the crumb rubber R18 modified binder producer must be present during the placement of seal coat using crumb rubber R18 modified binder. The technical representative may advise you and the Engineer during the seal coat application as it relates to the crumb rubber R18 modified binder placement temperature, crumb rubber R18 modified binder application rate, and other placement issues.

#### 37-2.01D(4)(b)(iv) WMA Additive Technology Supplier

A technical representative from the WMA technology supplier must be present during the production and placement of seal coat using asphalt rubber binder or crumb rubber R18 modified binder with WMA additives. The technical representative may advise you, the Engineer, and the asphalt rubber binder producer or crumb rubber R18 modified binder producer. The technical representative may advise the seal coat binder mix operation as it relates to the WMA technology. The WMA technology representative may advise you of placement temperature and other potential placement issues.

The technical representative for WMA technology may advise the seal coat binder producer regarding seal coat binder plant and seal coat binder plant process-controller modifications necessary for integrating WMA additive technology equipment with seal coat binder plant. Seal coat binder plant modifications and WMA technology equipment, scales, and meters must comply with Department's Materials Plant Quality Program (MPQP).

#### 37-2.01D(4)(c) Prepaving Conference

Schedule a prepaving conference with the Engineer at a mutually agreed time and place. Make arrangements for the conference facility. Be prepared to discuss:

- Seal coat production and placement
- 2. Method for incorporating WMA technology and any impacts on seal coat binder production and seal coat placement including requirements for compaction, sweeping, and workmanship
- 3. Proposed application rates for seal coat binder and precoated screenings and who in the field has authority to adjust application rates and how adjustments are documented
- 4. When initial sweeping will be done, including any issues when WMA additives are used, and schedule for maintenance sweepings
- 5. Opening to traffic requirements including any concerns when WMA additives are used
- 6. Quality control testing
- 7. Contingency plan for material deliveries, equipment breakdowns, and traffic handling

The following personnel must attend the prepaving conference:

- 1. Project manager
- 2. Superintendent
- 3. Technical representative for WMA additive technology
- 4. Technical representative for asphalt rubber binder producer
- 5. Technical representative for crumb rubber R18 modified binder producer

#### 37-2.01D(4)(d) Quality Control Testing

#### 37-2.01D(4)(d)(i) General

The independent testing laboratory must conduct quality control testing on asphalt rubber binder ingredients at the following frequencies:

- 1. For crumb rubber modifier except for grading, one per 250 tons. Samples of scrap tire crumb rubber and high natural crumb rubber must be sampled and tested separately. Test each delivery of crumb rubber modifier for grading.
- 2. For asphalt modifier, one per 25 tons of asphalt modifier.

Scrap tire crumb rubber and high natural crumb rubber must be delivered to the asphalt rubber binder production site in separate bags.

#### 37-2.01D(4)(d)(ii) Asphalt Rubber Binder (Type I) and (Type II)

For asphalt rubber binder (Type I) and (Type II) with WMA additives, sample and test asphalt rubber binder:

- 1. Immediately before the addition of the WMA additive after the asphalt rubber binder has two consecutive descending viscosities and the viscosity meets the specification requirements.
- 2. 30 minutes after the addition of the WMA additive.
- 3. 15 minutes before use.

The quality control test results for asphalt rubber binder with WMA additive are report only.

The independent testing laboratory must take viscosity readings of asphalt rubber binder under ASTM D7741 during asphalt rubber binder production. Begin taking viscosity readings of samples taken from the reaction vessel at least 45 minutes after adding crumb rubber modifier and continue taking viscosity readings every 15 minutes until two consecutive descending viscosity readings have been obtained and the final viscosity meets the specification requirement. After meeting the specification requirement, continue to take viscosity readings hourly and within 15 minutes before use. Log the test results, including time of testing and temperature of the asphalt rubber binder.

For asphalt rubber binder, the independent testing laboratory must perform quality control sampling and testing at the specified frequency and location for the following quality characteristics:

Asphalt Rubber Binder (Type I) and (Type II) for Hot Applied Seal Coat Applications

Quality characteristic

Test Minimum Sampling and testing and tes

edulity characteriolic	method	sampling and testing	rioquiiomoni	location	reporting time allowance	
	<u> </u>	frequency	<u> </u>		allowance	
Original binder  Flash point, min, °C AASHTO 230						
riasii poliit, IIIIII, O	T 48		230			
Solubility, min, %	AASHTO		Report only			
Solubility, IIIII, 76	T 44		neport only			
	or ASTM					
	D 5546					
Viscosity at 135 °C, max, Pa·s	AASHTO		Report only			
viscosity at 155 O, Illax, 1 a s	T 316		Treport only			
Dynamic shear <sup>a</sup> ,	AASHTO					
test temperature at 10	T 315					
rad/s, °C	1 010		Report only <sup>b</sup>			
min. G*/sin(delta), kPa			1.00			
RTFO test <sup>c</sup> , mass loss, max,	AASHTO		Report only			
%	T 240 or		1 toport only	Spray bar		
, •	ASTM	1 per 100		sampling	3 business	
	D 2872	tons		port	days	
Cone penetration at 25 °C,	ASTM			ροιτ		
1/10 mm	D 217					
min			25			
max			60			
Resilience at 25 °C, percent	ASTM					
rebound	D 5329					
min	2 3323		18			
max			50			
Softening point, ℃	ASTM					
min	D 36		55			
max			88			
Viscosity at 375°F, Pa • s	ASTM		1500 - 2500			
(x10-3)	D 7741					
	RTFO	test aged bind	der			
Dynamic shear,	AASHTO					
test temperature at 10	T 315					
rad/s, ℃			Report only <sup>b</sup>			
min, G*/sin(delta), kPa			2.20			
Dynamic shear,	AASHTO					
test temperature at 10	T 315	1 por 100		Spray bar	2 hugingge	
rad/s, ℃,		1 per 100	Report only <sup>d</sup>	sampling	3 business	
Phase Angle,%		tons	Report only	port <sup>h</sup>	days	
Elastic recovery,	AASHTO					
test temperature, °C	T 301		25			
min recovery, %			Report only			
PAV <sup>e</sup> aging,	AASHTO					
temperature, °C	R 28		110			
	RTFO test	and PAV aged	l binder			
Dynamic shear,	AASHTO					
test temperature at 10	T 315					
rad/s, ℃			Report only <sup>f</sup>	Spray bar		
max G*sin(delta), kPa		1 per 100	5000	Spray bar	3 business	
Creep stiffness,	AASHTO	tons		sampling port <sup>h</sup>	days	
test temperature, °C	T 313		Report only <sup>9</sup>	ροιι		
max S-value, MPa			300			
min M-value			0.300			

#### 37-2.01D(4)(d)(iii) Crumb Rubber R18 Modified Binder

Crumb rubber R18 modified binder must comply with the Department's *Certification Program for Suppliers of Asphalt*. For program requirements, procedures, and a list of authorized material sources, go to the METS Web site.

For crumb rubber R18 modified binder with WMA additives, sample and test crumb rubber R18 modified binder:

- 1. Immediately before the addition of the WMA additive.
- 2. 30 minutes after the addition of the WMA additive.
- 3. 15 minutes before use.

The quality control test results for crumb rubber R18 modified binder with WMA additive are report only.

Before the application of crumb rubber R18 modified binder, sample crumb rubber R18 modified binder from spray bar sampling port and test for viscosity under ASTM D7741. Take at least 1 viscosity reading for each distributor truck load at the project site and within 15 minutes before use. Log the test results, including time of testing and temperature of the crumb rubber R18 modified binder.

For crumb rubber R18 modified binder, the independent testing laboratory must perform quality control sampling and testing at the specified frequency and location for the following quality characteristics:

<sup>&</sup>lt;sup>a</sup> Test original binder and RTFO aged binder on the DSR using 25mm plates and a 3 mm gap. Test the PAV aged binder using 8 mm plates and a 3 mm gap. All samples are trimmed at 3.15 mm. Ensure that the DSR software allows for the 3 mm gap in its calculations.

<sup>&</sup>lt;sup>b</sup> AASHTO R29 can be used as a guideline for Grade Determination. Report G\*/sin(delta) for the initial fail temperature and the passing temperature one grade below the initial fail temperature.

<sup>&</sup>lt;sup>c</sup> RTFO means the asphaltic residue obtained using the rolling thin film oven test. The residue from mass change determination may be used for other tests.

<sup>&</sup>lt;sup>d</sup> Report the phase angle measured at both the pass and fail temperature of the RTFO aged binder.

e PAV" means pressure aging vessel.

<sup>&</sup>lt;sup>f</sup> AASHTO R29 can be used as a guideline for Grade Determination. Report G\*sin(delta) for the initial fail temperature and the passing temperature one grade below the initial fail temperature.

<sup>&</sup>lt;sup>9</sup> AASHTO R29 can be used as a guideline for Grade Determination. Report stiffness S and m-value for the initial fail temperature and the passing temperature one grade below the initial fail temperature.

<sup>&</sup>lt;sup>h</sup> For asphalt rubber binder (Type I) and (Type II) with WMA additives, you may sample asphalt rubber binder before the addition of the WMA additive from the reaction vessel

Crumb Ru	bber R18 Mo	dified Binder	Crumb Rubber R18 Modified Binder for Hot Applied Seal Coat Applications						
Quality characteristic	Test method	Minimum sampling	Requirement	Sampling location	Maximum reporting time				
		and testing frequency	Binder grade PG 76-22 R		allowance				
			al binder						
Flash point, min, ℃	AASHTO		230						
	T 48								
Solubility, min, %	AASHTO		97.5						
	T 44								
	or ASTM D 5546								
Viscosity at 135℃,	AASHTO	-							
max, Pa·s	T 316		3.0						
Dynamic shear,									
Test temperature			76						
at 10 rad/s, ℃	AASHTO		1.00						
min, G*/sin(delta),	T 315	1 per 100	1.00	Spray bar	3 business				
kPa		tons		sampling port <sup>e</sup>	days				
RTFO test <sup>a</sup> ,	AASHTO			eampung peri	5, 5				
mass loss, max, %	T 240		1.00						
	or ASTM								
Cana panatration at	D 2872 ASTM	-	Donort only						
Cone penetration at 25 °C, 1/10 mm	D 217		Report only						
Resilience at 25 ℃,	ASTM		Report only						
percent rebound	D 5329		,						
Softening point, °C	ASTM		Report only						
Viscosity at 375°F,	D 36 ASTM		Report only						
Pa • s (x10-3)	D 7741		neport only						
1 a b (x10 b)	5 //	RTFO test	aged binder						
Dynamic shear,									
test temperature			76						
at 10 rad/s, ℃	AASHTO		2.20						
min G*/sin(delta),	T 315		2.20						
kPa									
Dynamic shear,		1 per 100		Spray bar	3 business				
test temperature	AASHTO	tons	Note b	sampling port <sup>e</sup>	days				
at 10 rad/s, ℃ max (delta), %	T 315		80	, 51	,				
Elastic recovery <sup>c</sup> ,	440::=0		00						
test temperature, °C	AASHTO T 301		25						
min recovery, %			65						
PAV d Aging,	AASHTO		110						
temperature, ℃	R 28	I RTFO test and	110 PAV aged binde	<u> </u>					
Dynamic shear,		THE LEST AND	1 AV aged billue						
test temperature	AASHTO	1 por 100	31	Spray bar	3 business				
at 10 rad/s, ℃	T 315	1 per 100 tons	<b>5</b> 0.55	sampling port <sup>e</sup>	days				
max G*sin(dolta) kPa			5000		,-				
G*sin(delta), kPa									

Creep stiffness, test temperature,		-12	
℃ max S-value, MPa	AASHTO T 313	300 0.300	
min M-value, MPa			

<sup>&</sup>lt;sup>a</sup>"RTFO" means the asphaltic residue obtained using the Rolling Thin Film Oven Test. The residue from mass change determination may be used for other tests.

#### 37-2.01D(4)(d)(iv) Precoated Screenings

For precoated screenings, the independent testing laboratory must perform sampling and testing at the specified frequency and location for the following quality characteristics. All tests, except the film stripping, must be performed on uncoated screenings.

**Minimum Quality Control** 

willing Quanty Control						
Quality characteristic	Test method	Minimum sampling and testing frequency	Requirement	Location of sampling	Maximum reporting time allowance	
Los Angeles Rattler Loss, %, max Loss at 100 revolutions Loss at 500 revolutions	California Test 211	1st day of production	10 40	See California Test 125	48 hours	
Gradation, percentage passing	California Test 202	2 per day	Seal coat screenings gradation table under Materials	See California Test 125	24 hours	
Film stripping,%, max	California Test 302	1st day of production	25	See California Test 125	48 hours	
Cleanness value, min	California Test 227	2 per day	80	See California Test 125	24 hours	
Durability, min	California Test 229	1st day of production	52	See California Test 125	48 hours	

#### 37-2.01D(4)(d)(v) Seal Coat

For seal coat, the independent testing laboratory must perform sampling and testing at the specified frequency and location for the following quality characteristics:

<sup>&</sup>lt;sup>b</sup>Test temperature is the temperature at which G\*/sin(delta) is 2.2 kPa. A graph of log G\*/sin(delta) plotted against temperature may be used to determine the test temperature when G\*/sin(delta) is 2.2 kPa. A graph of (delta) versus temperature may be used to determine delta at the temperature when G\*/sin(delta) is 2.2 kPa. The Engineer also accepts direct measurement of (delta) at the temperature when G\*/sin(delta) is 2.2 kPa.

<sup>&</sup>lt;sup>c</sup>Tests without a force ductility clamp may be performed.

duPAV" means Pressure Aging Vessel

<sup>&</sup>lt;sup>e</sup>For crumb rubber R18 modified binder with WMA additives, sample crumb rubber R18 modified binder before the addition of the WMA additive at sampling location you choose and the engineer authorizes.

**Minimum Quality Control** 

Quality characteristic	Test method	Minimum sampling and testing frequency	Requirement	Location of sampling	Maximum reporting time allowance
Seal coat binder spread rate, gal/sq yd	Modified California Test 339	2 per day	Target value ±0.05 gal/sq yd	Pavement surface	24 hours

For determining seal coat binder spread rate California Test 339 is modified as follows:

#### A. Scope

1. Modified California Test 339 describes the procedure for determining the transverse spread rate of a bituminous distributor in gallons per square yard.

#### **B.** Apparatus

- 1. Balance sensitive to 0.1 gram with a minimum capacity of ± 2,000 grams.
- Suitable weighing box with windshield or enclosed area for balance to ensure no impacts from wind conditions.
- 3. Balance table and/or work bench.

#### C. Materials

- 1. 8" x 12" Galvanized Sheet Metal Plates -28 gauge. Verify size of the metal plates used in calculations in Section F.
- 2. Polyester Filter Roll material.
- 3. Cementing material.
- 4. 10" x 13" min. Manila Envelopes.
- 5. 30 pound Roofing Felt Paper.

#### Note:

The roofing felt paper is available at most home supply stores or roofing suppliers.

#### D. Preparation of the Test Plates

- 1. Cut the polyester material from the roll to an 8" x 12" size and cement to the 8" x 12" plate.
- 2. Number the bottom of each metal plate. One plate for each one (1) foot of roadway surface to be sprayed.
- 3. Number each manila envelope.
- 4. Weigh each test plate + polyester filter placed in each manila envelope.
- 5. Cut the roofing felt paper to a width of 18".

#### E. Sampling

- 1. Prior to the distributor approaching, place the roofing felt paper transversely across the pavement surface at the test location and secure with duct tape.
- 2. Place the metal plates with the 12" width, transversely across the pavement surface, centered on the roofing felt paper.
- 3. If desired, mark the test location outside the spray area for future reference.
- 4. After the distributor vehicle has passed, slide the roofing felt paper off the roadway with the test plates remaining in place, and let cool for a minimum of five minutes.
- 5. Remove each separate metal plate with the polyester material and binder and place in the properly numbered manila envelope. Care should be taken to ensure that each plate has no material loss.
- 6. Proceed to weighing area and weigh each of the test plates and the manila envelopes and record as the Gross Weight.
- 7. Determine the Net Weight of the binder and record the weight.

#### F. Calculations

To determine the spread rate the following is required:

- 1. The Specific Gravity of the binder.
- 2. The field application temperature.

Calculate the spread rate as follows for each plate:

Where:

Application Temperature Factor – Use Column A from Temperature Conversion Table in Section 93 of the Standard Specifications when the density at 60 °F is greater than 60.3 lbs/cf (0.9963).

cf - cubic feet gal - gallon lbs - pounds Sp. Gr. – Binder Specific Gravity sq. in. – square inches

- 1. Sp.Gr. x 62.4 lbs/cf x  $\frac{1}{7.48 \, \mathrm{lbs/gal}}$  x Application Temperature Factor = \_\_\_\_\_ lbs/gal at 60 °F.
- 2. \_\_\_\_ lbs/gal x 0.074 SQYD\*\* x 454 grams/lbs = \_\_\_\_ grams x SQYD/gal \*\*Test Plate 8" x 12" = 96 sq. in.

 $\frac{96 \text{ sq.in.}}{1,296 \text{ sq.in./SQYD}} = 0.0741 \text{ SQYD. Verify plate dimensions and adjust accordingly.}$ 

3.  $\frac{\text{Net Weight of Binder grams}}{\text{#2 Above grams x SQYD/gal}} = \underline{\text{gal/SQYD (spread rate)}}.$ 

Record the spread rate for each plate across the lane.

For seal coat, the independent testing laboratory must perform sampling and testing at the specified frequency and location for the following quality characteristics:

**Minimum Quality Control** 

Quality characteristic	Test method	Minimum sampling and testing frequency	Requirement	Location of sampling	Maximum reporting time allowance
Chip retention, %	Field modified Vialit test method for aggregate in chip seals, French chip (modified)	1 per day	Report only	Pavement surface after chip application and rolling	48 hours

For field testing seal coat chip retention the Field Modified Vialit Test Method for Aggregate in Chip seals, French Chip is revised as follows:

- 1. Use a 20 cm x 20 cm galvanized plate 2.0 mm thick and determine the tare weight of the galvanized plate.
- 2. Place the plate on the existing pavement surface before placing chip seal. After finish rolling the seal coat and initial surface sweeping, remove the specimen. Place the specimen in a plastic bag.
- 3. Cure the specimen, except cure at 100 degree F for the first 2 hours.
- 4. Condition the specimen.

- 5. Weigh the test specimen and any loose chips in the sample bag.
- 6. Perform the Vialit test and reweight the test specimen.
- 7. Calculate the binder weight as follows:

Binder weight = BAR (gallons/sq yd) X 0.0478 (sq yd) X  $SG_{ARB}$  (lbs/gal) Where:

BAR = seal coat binder application rate in gal/sq yd Plate dimension = 20 cm X 20 cm = 0.0478 sq yd

SG<sub>ARB</sub> = specific gravity of seal coat binder determined under ASSHTO T 228

8. Calculate the chip retention by weight as follows:

Percent retention =  $[SW_{initial} - (BW + TW)] / [SW_{final} \cdot (BW + TW)]$ Where:

SW<sub>initial</sub> = initial specimen weight

SW<sub>final</sub> = final specimen weight

BW = seal coat binder weight

TW = tare weight

#### Add section 37-2.01D(5):

#### 37-2.01D(5) Acceptance Criteria

Seal coat acceptance is based on:

- 1. Visual inspection for the following:
  - 1.1. Uniform surface texture throughout the work limits.
  - 1.2. Raveling consists of the separation of the aggregate from the binder.
  - 1.3. Flushing consists of the occurrence of a film of bituminous material on the surface of the seal coat.
  - 1.4 Streaking consists of alternating longitudinal bands of binder without uniform aggregate retention, approximately parallel with the lane line.
- 2. For asphalt rubber binder (Type I) and (Type II), acceptance is based on the Department's sampling and testing for compliance with the requirements for the quality characteristics shown in section 37-2.02G with the following table titles:
  - 2.1. Asphalt Rubber Binder (Type I) and (Type II) for Hot Applied Seal Coat Applications, except asphalt rubber binder with WMA additives acceptance is based on asphalt rubber binder sampled before the addition of WMA additive
  - 2.2. Asphalt Modifier for Asphalt Rubber Binder
  - 2.3. Crumb Rubber Modifier
  - 2.4. Scrap Tire Crumb Rubber Gradation
  - 2.5. High Natural Crumb Rubber Gradation
- 3. For crumb rubber R18 modified binder, acceptance is based on the Department's sampling and testing for compliance with the requirements for the quality characteristics shown in table titled "Crumb Rubber R18 Modified Binder for Hot Applied Seal Coat Applications" in section 37-2.02G, except crumb rubber R18 modified binder with WMA additives acceptance is based on crumb rubber R18 modified binder sampled before the addition of WMA additive.
- 4. Compliance with the table titled "Seal Coat Acceptance Criteria Testing Precoated Screenings."

Seal Coat Acceptance Criteria Testing Precoated Screenings					
Quality Characteristic	Test Method	Requirements			
Los Angeles Rattler Loss, %, max	California Test 211				
Loss at 100 revolutions		10			
Loss at 500 revolutions		40			
Gradation	California Test 202	Seal coat screenings gradation table under Materials			
Film stripping,%, max	California Test 302	25			
Cleanness value, min	California Test 227	80			
Durability, min	California Test 229	52			

#### Replace section 37-2.02G with:

#### 37-2.02G Seal Coat Binder

#### 37-2.02G(1) General

Seal coat binder includes asphalt rubber binder (Type I), asphalt rubber (Type II), and crumb rubber R18 modified binder.

#### 37-2.02G(2) Asphalt Rubber Binder (Type I) and (Type II)

#### 37-2.02G(2)(a) General

The blending equipment must allow the determination of weight percentages of each asphalt rubber binder ingredient.

#### 37-2.02G(2)(b) Asphalt Rubber Binder (Type I)

Asphalt rubber binder (Type I) must be a combination of:

- 1. Asphalt binder
- 2. Crumb rubber modifier

Crumb rubber modifier must be scrap tire crumb rubber.

Asphalt rubber binder (Type I) must be  $80 \pm 2$  percent by weight asphalt binder and  $20 \pm 2$  percent by weight crumb rubber modifier. The minimum percentage of crumb rubber modifier must be 18.0 percent and lower values must not be rounded up.

#### 37-2.02G(2)(c) Asphalt Rubber Binder (Type II)

Asphalt rubber binder (Type II) must be a combination of:

- 1. Asphalt binder
- 2. Asphalt modifier
- 3. Crumb rubber modifier

Asphalt rubber binder (Type II) must be  $79 \pm 1$  percent by weight asphalt binder and  $21 \pm 1$  percent by weight crumb rubber modifier. The minimum percentage of crumb rubber modifier must be 20.0 percent and lower values must not be rounded up.

Crumb rubber modifier must be 76  $\pm$  2 percent by weight scrap tire crumb rubber and 24  $\pm$  2 percent by weight high natural crumb rubber.

#### 37-2.02G(2)(d) Asphalt Rubber Binder (Type I) and (Type II) Production

For asphalt rubber binder (Type II) asphalt modifier and asphalt binder must be blended at the production site. Asphalt modifier must be from 2.5 to 6.0 percent by weight of the asphalt binder in the asphalt rubber binder. The asphalt rubber binder producer determines the exact percentage.

When blended, the asphalt binder must be from 375 to 440 degrees F when asphalt modifier is added and the mixture must circulate for at least 20 minutes.

The asphalt binder or blend of asphalt binder and asphalt modifier must be combined with crumb rubber modifier at the asphalt rubber binder production site. The asphalt binder or asphalt binder and asphalt modifier blend must be from 375 to 440 degrees F when crumb rubber modifier is added. Asphalt binder, asphalt modifier, and crumb rubber modifier may be proportioned and combined simultaneously. Combined ingredients must be allowed to react at least 45 minutes at temperatures from 375 to 425 degrees F except the temperature must be at least 10 degrees F below the flash point of the asphalt rubber binder.

After reacting, the asphalt rubber binder must have the values for the quality characteristics shown in the following table:

Asphalt Rubber Binder (Type I) and (Type II) for Hot Applied Seal Coat Applications

Aspnait Rubber Binder (Type I) and						
Quality characteristic	Test method	Requirement				
		Asphalt rubber binder				
	Original binder					
Flash point, min, ℃	AASHTO T 48	230				
Solubility, min, %	AASHTO T 44 or	Report only				
	ASTM D 5546					
Viscosity at 135 °C, max, Pa·s	AASHTO T 316	Report only				
Dynamic shear <sup>a</sup> ,	AASHTO T 315					
test temperature at 10 rad/s, °C		Report only <sup>b</sup>				
min. G*/sin(delta), kPa		1.00				
RTFO test <sup>c</sup> , mass loss, max, %	AASHTO T 240 or	Report only				
	ASTM D 2872					
Cone penetration at 25 °C, 1/10 mm	ASTM D 217					
min		25				
max		60				
Resilience at 25 ℃, percent rebound	ASTM D 5329					
min		18				
max		50				
Softening point, ℃	ASTM D 36					
min		55				
max		88				
Viscosity at 375 °F, centipoises	ASTM D 7741	1500 - 2500				
RTFO test aged binder						
Dynamic shear,	AASHTO T 315					
test temperature at 10 rad/s, ℃		Report only <sup>b</sup>				
min, G*/sin(delta), kPa		2.20				
Dynamic shear,	AASHTO T 315					
test temperature at 10 rad/s, °C, Phase		Report only <sup>d</sup>				
Angle,%		Report only				
Elastic recovery,	AASHTO T 301					
test temperature, ℃		25				
min recovery, %		Report only				
PAV <sup>e</sup> aging,	AASHTO R 28	, ,				
temperature, °C		110				
	st and PAV aged binder					
Dynamic shear,	AASHTO T 315					
test temperature at 10 rad/s, ℃		Report only <sup>f</sup>				
max G*sin(delta), kPa		5000				
Creep stiffness,	AASHTO T 313					
test temperature, °C		Report only <sup>g</sup>				
max S-value, MPa		300				
min M-value		0.300				

<sup>&</sup>lt;sup>a</sup>Test original binder and RTFO aged binder on the DSR using 25 mm plates and a 3 mm gap. Test the PAV aged binder using 8 mm plates and a 3mm gap. All samples are trimmed at 3.15 mm. Ensure that the DSR software allows for the 3 mm gap in its calculations.

<sup>&</sup>lt;sup>b</sup>AASHTO R29 can be used as a guideline for Grade Determination. Report G\*/sin(delta) for the initial fail temperature and the passing temperature one grade below the initial fail temperature.

<sup>&</sup>lt;sup>c</sup>RTFO means the asphaltic residue obtained using the rolling thin film oven test. The residue from mass change determination may be used for other tests.

<sup>&</sup>lt;sup>d</sup>Report the phase angle measured at both the pass and fail temperature of the RTFO aged binder. <sup>e</sup>PAV" means pressure aging vessel.

<sup>&</sup>lt;sup>†</sup>AASHTO R29 can be used as a guideline for Grade Determination. Report G\*sin(delta) for the initial fail temperature and the passing temperature one grade below the initial fail temperature.

<sup>&</sup>lt;sup>9</sup> AASHTO R29 can be used as a guideline for Grade Determination. Report stiffness S and m-value for the initial fail temperature and the passing temperature one grade below the initial fail temperature.

Maintain asphalt rubber binder at a temperature from 375 to 415 degrees F.

Stop heating unused asphalt rubber binder 4 hours after two descending viscosity readings have been obtained. Reheating asphalt rubber binder that cools below 375 degrees F is considered a reheat cycle. Do not exceed 2 reheat cycles. If reheating, asphalt rubber binder must be from 375 to 415 degrees F before use.

During reheating, you may add scrap tire crumb rubber. Scrap tire crumb rubber must not exceed 10 percent by weight of the asphalt rubber binder. Allow added scrap tire crumb rubber to react for at least 45 minutes. Reheated asphalt rubber binder must comply with the specifications for asphalt rubber binder.

#### 37-2.02G(3) Crumb Rubber R18 Modified Binder

Crumb rubber R18 modified binder must be a combination of:

- 1. Asphalt binder
- 2. Crumb rubber modifier

Crumb rubber modifier must be scrap tire crumb rubber.

Crumb rubber R18 modified binder must be  $80 \pm 2$  percent by weight asphalt binder and  $20 \pm 2$  percent by weight crumb rubber modifier. Crumb rubber R18 modified binder must have the values for the quality characteristics shown in the following table:

Crumb Rubber R18 Modified Binder for Hot Applied Seal Coat Applications

Quality characteristic	Test method	Requirements
Quality offaracteristic	T CSt III Ctilod	Binder grade
		PG 76-22 R <sup>a,b</sup>
	Original binder	1 0 70 22 11
Flash point, min, ℃	230	
Solubility, min, %	AASHTO T 48 AASHTO T 44 or	97.5
Coldomity, mini, 70	ASTM D 5546	07.0
Viscosity at 135 ℃, max, Pa·s	AASHTO T 316	3.0
Dynamic shear,	AASHTO T 315	
test temperature at 10 rad/s, °C		76
min. G*/sin(delta), kPa		1.00
RTFO test <sup>c</sup> , mass loss, max, %	AASHTO T 240 or	1.00
	ASTM D 2872	
Cone penetration at 25 °C, 1/10 mm	ASTM D 217	Report Only
Resilience at 25 °C, percent rebound	ASTM D 5329	Report Only
·		
Softening point, ℃	ASTM D 36	Report Only
RT	FO test aged binder	
Dynamic shear,	AASHTO T 315	
test temperature at 10 rad/s, ℃		76
min, G*/sin(delta), kPa		2.20
Dynamic shear,	AASHTO T 315	
test temperature at 10 rad/s, ℃		Note d
max (delta), %		80
Elastic recovery <sup>e</sup> ,	AASHTO T 301	
test temperature, ℃		25
min recovery, %		65
PAV <sup>†</sup> aging,	AASHTO R 28	
temperature, ℃		110
	est and PAV aged binder	
Dynamic shear,	AASHTO T 315	
test temperature at 10 rad/s, ℃		31
max G*sin(delta), kPa		5000
Creep stiffness,	AASHTO T 313	10
test temperature, °C		-12
max S-value, MPa		300
min M-value		0.300

<sup>&</sup>lt;sup>a</sup>Do not modify binder using polyphosphoric acid modification. Report type and dosage if any acid modification other than polyphosphoric acid modification is used.

#### 37-2.02G(4) Asphalt Binder

Asphalt binder for asphalt rubber binder (Type I) and (Type II) must be Grade PG 64-16. Do not modify asphalt binder with polymer.

<sup>&</sup>lt;sup>b</sup>Supplier is required to certify crumb rubber R18 modified binder contains 20 ± 2 percent by weight crumb rubber modifier.

<sup>&</sup>lt;sup>c</sup>"RTFO" means the asphaltic residue obtained using the rolling thin film oven test. The residue from mass change determination may be used for other tests.

<sup>&</sup>lt;sup>d</sup>Test temperature is the temperature at which G\*/sin(delta) is 2.2 kPa. A graph of log G\*/sin(delta) plotted against temperature may be used to determine the test temperature when G\*/sin(delta) is 2.2 kPa. A graph of (delta) versus temperature may be used to determine delta at the temperature when G\*/sin(delta) is 2.2 kPa. The Engineer also accepts direct measurement of (delta) at the temperature when G\*/sin(delta) is 2.2 kPa.

<sup>&</sup>lt;sup>e</sup>Tests without a force ductility clamp may be performed.

<sup>&</sup>lt;sup>1</sup>"PAV" means pressure aging vessel.

Crumb rubber R18 modified binder must be Grade PG 76-22 R.

#### 37-2.02G(5) Asphalt Modifier

Asphalt modifier must be a resinous, high flash point, and aromatic hydrocarbon. Asphalt modifier must have the values for the quality characteristics shown in the following table:

**Asphalt Modifier for Asphalt Rubber Binder** 

Applian mounter for Applian napper Binder				
Quality	Test method	Requirements		
characteristic				
Viscosity, m <sup>2</sup> /s (x	ASTM D 445	X ± 3 <sup>a</sup>		
10 <sup>-6</sup> ) at 100 °C `				
Flash point,	ASTM D 92	207 min		
CL.O.Ċ., ℃				
	Molecular analysis			
Asphaltenes,	ASTM D 2007	0.1 max		
percent by mass				
Aromatics, percent	ASTM D 2007	55 min		
by mass				

<sup>&</sup>lt;sup>a</sup> "X" denotes the proposed asphalt modifier viscosity from 19 to 36. A change in "X" requires a new asphalt rubber binder submittal.

Asphalt modifier must be sampled and tested for compliance with the specifications by the manufacturer.

#### 37-2.02G(6) Crumb Rubber Modifier

Crumb rubber modifier must be ground or granulated at ambient temperature.

Scrap tire crumb rubber and high natural crumb rubber must be delivered to the seal coat binder production site in separate bags.

Steel and fiber must be separated. If steel and fiber are cryogenically separated, the separation must occur before grinding and granulating. Cryogenically-produced crumb rubber modifier particles must be large enough to be ground or granulated.

Crumb rubber modifier must be free of contaminants except wire and fabric. Determine the percent weight of wire and fabric under Laboratory Procedure LP-10. Contaminants percentage by weight of crumb rubber modifier must not exceed:

- 1. 0.01 percent wire
- 2. 0.05 percent fabric

The length of an individual crumb rubber modifier particle must not exceed 3/16 inch.

Crumb rubber modifier must be dry, free-flowing particles that do not stick together. A maximum of 3 percent calcium carbonate or talc by weight of crumb rubber modifier may be added. Crumb rubber modifier must not cause foaming when combined with the asphalt binder and asphalt modifier.

Specific gravity of crumb rubber modifier must be from 1.1 to 1.2 determined under California Test 208.

Crumb rubber modifier must comply with the requirements for quality characteristics shown in the following table:

#### **Crumb Rubber Modifier**

Quality	Test		Requir	ements	
characteristic	method	Scrap tire crumb rubber		High natural crumb rubber	
		Min	Max	Min	Max
Acetone extract, %		6.0	16.0	4.0	16.0
Rubber hydrocarbon, %		42.0	65.0	50.0	
Natural rubber content, %	ASTM D 297	22.0	39.0	40.0	48.0
Carbon black content, %		28.0	38.0		
Ash content, %			8.0		

Scrap tire crumb rubber must have the gradation requirements shown in the following table:

#### **Scrap Tire Crumb Rubber Gradation**

Percentage passing

1 ordentage passing					
Sieve	Gradation	Operating	Contract		
size	requirement	range	compliance		
No. 8	100	100	100		
No. 10	98–100	95–100	90-100		
No. 16	45–75	35–85	32–88		
No. 30	2–20	2–25	1–30		
No. 50	0–6	0–10	0–15		
No. 100	0–2	0–5	0–10		
No. 200	0	0–2	0–5		

NOTE: Determine gradation under Laboratory Procedure LP-10.

High natural crumb rubber must comply with the gradation requirements shown in the following table:

#### **High Natural Crumb Rubber Gradation**

Percentage passing

Sieve	Gradation	Operating	Contract
size	requirement	range	compliance
No. 10	100	100	100
No. 16	95–100	92-100	85–100
No. 30	35–85	25–95	20–98
No. 50	10–30	6–35	2–40
No. 100	0–4	0–7	0–10
No. 200	0–1	0–3	0–5

NOTE: Determine gradation under Laboratory Procedure LP-10.

The scrap tire crumb rubber and high natural crumb rubber gradations requirements do not apply to crumb rubber R18 modified binder.

Each seal coat binder ingredient must be sampled and tested for compliance with the specifications by the manufacturer.

#### 37-2.02G(7) Warm Mix Asphalt Additive Technology

WMA additive technology must be on the Department-approved WMA technologies list. Approved WMA additive technologies are for Hot Mix Asphalt and you must choose WMA additive technology appropriate for use in seal coat binder.

Percent WMA additive by weight of seal coat binder must be as recommended by the manufacturer.

#### 37-2.02G(8) Seal Coat

The independent testing laboratory must conduct testing using the proposed seal coat binders, including seal coat binders with and without WMA, and aggregate for compliance with the design requirements shown in the following table:

Quality characteristic	Test method	Requirement
Chip retention, %	Vialit test method	95
	for aggregate in	
	chip seals,	
	French chip	
	(Modified) <sup>a</sup>	

<sup>&</sup>lt;sup>a</sup> Cure the specimen, except cure at 100 degree F for the first 2 hours.

For the Vialit test, the seal coat binders must be placed within the proposed seal coat binder placement temperature range.

#### Replace section 37-2.02H(4) with:

#### 37-2.02H(4) Seal Coat

Before precoating with asphalt binder and when tested under California Test 202, screenings for seal coat must have the gradation shown in the following table:

**Seal Coat Screenings Gradation** 

ocai ocai ocicciningo diadation					
	Р	Percentage passing			
Sieve sizes	Coarse	Medium	Fine		
	1/2" max	1/2" max	3/8" max		
3/4"	100	100	100		
1/2"	75–90	85–90	95–100		
3/8"	0–20	0–30	70–85		
No. 4	0–2	0–5	0–15		
No. 8			0–5		
No. 200	0–1	0–1	0–1		

Screenings must have the values for the quality characteristics shown in the following table:

**Seal Coat Screenings** 

Quality Characteristic	Test method	Requirement		
Los Angeles Rattler Loss, %, max	California Test 211			
Loss at 100 revolutions		10		
Loss at 500 revolutions		40		
Film stripping,%, max	California Test 302	25		
Cleanness value, min	California Test 227	80		
Durability, min	California Test 229	52		

Screenings for seal coat must comply with the 3/8-inch grading.

#### Add to section 37-2.03A:

Remove pavement markers before applying seal coat.

#### Add to item 1 in the list in the 1st paragraph of section 37-2.03B(1):

1.5. Tarpaulins to cover precoated screenings when haul distance exceeds 30 minutes or ambient temperature is less than 65 degrees F.

#### Replace section 37-2.03B(2) with:

# 37-2.03B(2) Seal Coat Binder 37-2.03B(2)(a) General

Asphalt rubber binder (Type I) and (Type II) production plants must comply with the Materials Plant Quality Program (MPQP) starting July 1, 2013.

Crumb rubber R18 modified binder manufacturing facility for PG 76-22R must comply with the MPQP.

### 37-2.03B(2)(b) Asphalt Rubber Binder (Type I) and (Type II) without Warm Mix Asphalt Additive Technology

Equipment for asphalt rubber binder (Type I) and (Type II) without WMA additive technology must include and comply with the following:

- Tank to heat and maintain the temperature of blended asphalt binder and asphalt modifier before
  adding crumb rubber modifier. The tank must have a thermostatic heat control device and a
  temperature reading device accurate to within 5 degrees F. The heat control device must be the
  recording type.
- 2. Mechanical mixer for complete, homogeneous blending of asphalt binder, asphalt modifier, and crumb rubber modifier. Asphalt binder and asphalt modifier must be introduced into the mixer through meters. The blending system must vary the rate of delivery for asphalt binder and asphalt modifier proportionate to crumb rubber modifier delivery. The mixer must not allow the temperature of asphalt binder and asphalt modifier to vary more than 25 degrees F. Each ingredient feed must be equipped with a rate-of-feed indicator for determining the amount delivered during production. The meters used to proportion each liquid ingredient must be equipped with rate-of-flow indicators with resettable totalizers so that the total amount can be determined. Feed liquid and dry ingredients directly into the mixer at a uniform and controlled rate. Reduce the quantity of ingredients in the mixer if dead areas occur. The reaction vessel must have a safe sampling device that delivers completed asphalt rubber binder in the quantity needed for testing.
- 3. Storage tank for asphalt rubber binder. The storage tank must have a heating system to maintain the temperature and an internal mixing device to prevent separation.
- 4. Under supports for scale bearing points for scale structures where the total load, the live load plus dead load is less than 17 tons. The under supports must be constructed as follows:
  - 4.1. Use 4 legs. Total load on any leg may not exceed 14.5 psi.
  - 4.2. Use structural grade steel with a minimum cross sectional dimension of 20 inches and a minimum thickness of 1.5 inches.
  - 4.3. Construct under supports in a way that they do not move or deflect during production operations.
  - 4.4. Install mechanical indicating elements level, plumb, and rigidly mounted on the under supports.
  - 4.5. Prevent saturation of the ground under the scale with adequate drainage and provide support of 14.5 psi at each support.
  - 4.6. Scale structure may be installed using concrete under supports and comply with Section 9.

### 37-2.03B(2)(c) Asphalt Rubber Binder (Type I) and (Type II) with Warm Mix Asphalt Additive Technology

Equipment for asphalt rubber binder (Type I) and (Type II) with WMA additive technology must be produced at a stand-alone plant unit.

Perform all asphalt rubber binder (Type I) and (Type II) proportioning at the asphalt rubber binder production site.

Asphalt rubber binder proportioning must either be accomplished by proportioning all ingredients simultaneously or must be proportioned using the 3-stage process as follows:

- 1. Stage 1 must proportion asphalt modifier with paving grade asphalt.
- 2. Stage 2 must proportion scrap tire crumb rubber and high natural rubber.
- 3. Stage 3 must proportion the preblended liquids, combine with the proportioned ground rubbers, and mix further for the specified time and temperatures.

When the asphalt and asphalt modifier are preblended, provide an asphalt heating tank equipped to maintain the blended ingredients at the necessary temperature before blending with the dry ingredients.

The method and equipment for combining the liquid and dry ingredients must be such that the Engineer can readily determine compliance with proportioning requirements for each material and the completed asphalt rubber binder. All required equipment must be authorized before use.

The plant process controller must assure that combined liquids and combined dry ingredients have been proportioned to within their own ratio limits before proportioning the final liquid and dry mixtures for asphalt rubber binder.

The plant process controller must assign a lot number to each volume of asphalt rubber binder moved from the initial mixing chamber to reaction storage. The product volume represented by each lot must be the amount set aside for the reaction period. Leftovers and portions of lots may be combined and assigned a new nonrepeating lot number. Reassigned lots must include all electronic data captured for the previous original lots used to generate the new lot.

Feed the liquid and dry ingredients directly into the mixer at a uniform rate. Asphalt rubber binder must be mechanically mixed to provide for the complete blending of liquid and dry ingredients in a controlled fashion.

Produce asphalt rubber binder by either a batch or continuous method. Regardless of production method, proportion all ingredients by weight. Proportion liquid ingredients with a meter that complies with Chapter 2, Section IC, "Liquid Ingredient Measurement," of the MPQP.

#### 37-2.03B(2)(c)(i) Asphalt Rubber Binder (Type I) and (Type II) Additives

Asphalt rubber binder (Type I) and (Type II) additives include those used for anti-strip and warm mix properties and may be either in a liquid or dry state. Dry additive ingredients must be measured by weight. Liquid additives must be measured with a mass-flow meter. Additives must be added at least 30 minutes before end use to facilitate mixing.

The asphalt rubber binder (Type I) and (Type II) plant must have a sampling device in the feed line connecting the additive storage to the additive metering system. The additive sampling equipment must meet the requirements of California Test 125 and section 92-1.01D(3).

#### 37-2.03B(2)(c)(i)(a) Batch Method Proportioning

Use a plant process controller complying with Chapter 2, Section IIF, "Batch Mixing HMA Plants," of the MPQP. The plant process controller must proportion all ingredients used in the production of the asphalt rubber binder (Type I) and (Type II).

The hopper scale system must include interlocks which prevent filling the hopper while drawing ingredients from the same hopper.

The zero tolerance for dry ingredient scales must be 0.5 percent of the total draft being weighed.

The indicated weight of material drawn from storage must not vary from the preselected target weight setting by more than 1.0 percent of the total draft target.

#### 37-2.03B(2)(c)(i)(b) Continuous Method Proportioning

Proportion dry ingredients with a conveyor scale or a loss-weight meter. Continuous proportioning must be fully automatic. This automatic system must proportion total asphalt binder to total crumb rubber modifier to within 0.5 percent of the target rate.

#### 37-2.03B(2)(c)(ii) Asphalt Rubber Binder (Type I) and (Type II) Transportation

During transportation between the asphalt rubber binder production location and the end-use facility or project site, the mixture must comply with all requirements for agitation, temperature control, and data log.

#### 37-2.03B(2)(c)(iii) Asphalt Rubber Binder (Type I) and (Type II) Storage

During the proportioning and blending of the liquid ingredients, maintain the temperature of asphalt and the asphalt modifier to within 25 degrees F of the specified temperature. Asphalt rubber binder mixing and temperature control must be continuous from initial ingredient blending until the product end use.

When asphalt rubber binder is produced at a site remote from the end-use plant site, the receiving tank at the end-use site must comply with all agitation, heating, temperature, and data-reporting requirements.

Provide a safe sampling device capable of delivering a representative sample of the completed asphalt rubber binder. The device must meet the requirements of California Test 125 and section 92-1.01D(3).

#### 37-2.03B(2)(c)(iv) Ingredient and Asphalt Rubber Binder (Type I) and (Type II) Temperatures

During production, use automatic and continuous temperature sensing and recording equipment to control and document asphalt rubber binder and liquid asphalt rubber binder ingredient temperatures accurately. Continuous recording occurs when production temperature data are collected electronically at intervals of 1 minute or less. Temperature-sensing devices must be accurate to within 5 degrees F.

Place temperature-sensing points at each liquid feed line where the blend is reacted and at each storage tank for completed asphalt rubber binder.

Install and maintain temperature indicators at the point where the asphalt rubber binder proportioning operation is controlled.

#### 37-2.03B(2)(c)(v) Asphalt Rubber Binder (Type I) and (Type II) Production Data Log

Subsequent to the lot number designation, correlate all captured data to the lot number. The plant process controller used for asphalt rubber binder production must produce a log of production data consisting of a series of snapshots captured at a maximum of 1-minute intervals throughout the period of daily production. Each snapshot of production data must be a register of production activity at the time and not a summation of the data over the preceding interval to the previous snapshot. The amount of material represented by each snapshot is the amount produced during the 0.5-minute interval before and the 0.5-minute interval after the capture time.

Asphalt rubber binder (Type I) and (Type II) temperature need not be captured during periods where the product temperature is below 370 degrees F.

When asphalt rubber binder (Type I) and (Type II) proportioning is used, the following data must be captured:

- 1. Date of production.
- 2. Production location.
- 3 Time of day the data is captured.
- 4. Assigned, non-repeating lot number.
- 5. Certification of compliance numbers for dry and liquid ingredients currently used in the production process. Input liquid ingredients certificate numbers to the nearest 25-ton increment.
- 6. Viscosity test results including sampling time.
- 7. Asphalt rubber binder temperature at each required sensing point.
- 8. Ratio A—The high natural rubber to scrap tire crumb rubber ratio calculated from metered ingredient output.
- 9. Ratio B—The asphalt modifier to asphalt binder ratio calculated from metered ingredient output.
- 10. Ratio C—The total dry ingredient to total liquid ingredient ratio calculated from metered ingredient output.
- 11. Total reacting time and the reaction ending time.
- 12. Asphalt rubber binder additive type and asphalt rubber binder to additive target ratio.
- 13. Asphalt rubber binder to additive ratio calculated from individual metered output.

When a batch type proportioning system is used, record the batch weight for each:

- 1. Dry ingredient as determined by its scale system
- 2. Liquid ingredient as determined by its meter

When a continuous type proportioning system is used, capture the rate of flow for each dry and liquid ingredient determined by its metering system.

#### 37-2.03B(2)(c)(vi) Asphalt Rubber Binder (Type I) and (Type II) Production Data Reports

Make as-collected raw data available to the Engineer during production.

Submit the production report generated from data collected at remote end-use sites to the Engineer within 7 days of production date. A remote end-use site is one at a distance greater than 5 miles from the asphalt rubber binder production location.

Submit the report generated from production data for non-remote production sites to the Engineer daily.

#### 37-2.03B(2)(c)(vii) Electronic Media

Present the electronic media in a comma-separated values (CSV) format. Recorded data for the ingredients represented by production snapshots must have allowances for sufficient fields to satisfy the amount of data required and include data titles at least once per report. The Engineer must authorize report formats.

Collect and hold data for the duration of the contract. All collected data must be submitted as electronic media. No handwritten reports or data will be accepted.

#### 37-2.03B(2)(d) Crumb Rubber R18 Modified Binder

When WMA additives are added to the crumb rubber R18 modified binder in the field the additives may be either in a liquid or dry state. Dry additive ingredients must be measured by weight. Liquid additives must be measured with a mass-flow meter. Additives must be added at least 30 minutes before end use to facilitate mixing or as recommended by the WMA additive manufacturer. If WMA additives are added at refinery, the proportioning must comply with the MPQP requirement.

The feed line connecting the WMA additive storage to the additive metering system must have a sampling devise. The additive sampling equipment must meet the requirements of California Test 125 and section 92-1.01D(3).

Crumb rubber R18 modified binder must be mechanically mixed to provide for the complete blending of liquid or dry ingredients in a controlled fashion.

The tank used for mixing crumb rubber R18 modified binder and WMA additive must have a sampling device. The sampling equipment must meet the requirements of California Test 125 and section 92-1.01D(3).

#### 37-2.03B(2)(e) Distributor Trucks for Placing Seal Coat Binder

Distributor truck for spreading seal coat binder must have the following features:

- 1. Be self-propelled
- 2. Heating unit
- 3. Internal mixing unit, except for crumb rubber R18 modified binder
- 4. Pumps that spray seal coat binder within 0.03 gal/sq vd of the specified rate
- 5. Fully circulating spray bar that applies seal coat binder uniformly
- 6. Tachometer
- 7. Pressure gages
- 8. Volume measuring devices
- 9. Thermometer
- 10. Observation platform on the rear of the truck for an observer on the platform to see the nozzles and unplug them if needed, except for crumb rubber R18 modified binder

#### Replace section 37-2.03E with:

#### 37-2.03E Precoating Screenings

For seal coat, screenings must be preheated from 260 to 325 degrees F. Coat with any of the asphalts binders specified in the table titled "Performance Graded Asphalt Binder" in section 92. Coat at a central mixing plant. The asphalt binder must be from 0.5 to 1.0 percent by weight of dry screenings.

Plant must be authorized under the Department's MPQP.

Do not stockpile preheated or precoated screenings.

Do not recombine fine materials collected in dust control systems except cyclone collectors or knock-out boxes with any other aggregate utilized in the production of screenings.

#### Replace the heading of section 37-2.03F with:

#### 37-2.03F Applying Seal Coat Binder

#### Replace the paragraphs of section 37-2.03F(1) with:

For areas not accessible to a truck's distributor bar, apply the seal coat binder with a squeegee, rake, or other authorized means.

Prevent spray on existing pavement not intended for seal coat or on previously applied seal coat. Use a material such as building paper and remove the material after use. At longitudinal joints, you may overlap the seal coat binder applications before application of screenings if the overlap is dispersed with squeegees or rakes.

Align longitudinal joints between seal coat applications with designated traffic lanes. Overlap longitudinal joints by not more than 4 inches. If the Engineer authorizes your request, the overlap may be up to 8 inches.

Do not apply the seal coat binder unless there are sufficient precoated screenings at the job site to cover the seal coat binder.

Discontinue the application of seal coat binder early enough to comply with lane closure specifications and darkness. Apply to 1 lane at a time and cover the lane entirely in 1 operation.

#### Replace section 37-2.03F(6) with:

#### 37-2.03F(6) Seal Coat Binder

For asphalt rubber binder (Type II) without WMA additive technology, the temperature of asphalt rubber binder must be from 385 to 415 degrees F at the time of application. For asphalt rubber binder (Type I) without WMA additive technology, the temperature of asphalt rubber binder must be from 350 to 375 degrees F at the time of application. For asphalt rubber binder (Type I) and (Type II) with WMA additive technology, the temperature of asphalt rubber binder must be from 330 to 375 degrees F at the time of application.

For crumb rubber R18 modified binder with and without WMA additive technology, at the time of application, the temperature of crumb rubber R18 modified binder must be from 330 to 375 degrees F.

For asphalt rubber binder (Type I) and (Type II) determine the seal coat binder application rate from 0.55 to 0.65 gal/sq yd. For crumb rubber R18 modified binder, determine the seal coat binder application rate from 0.30 to 0.45 gal/sq yd.

Apply seal coat binder when the atmospheric temperature is from 60 to 105 degrees F and the pavement surface temperature is at least 55 degrees F.

Do not apply seal coat binder unless there are sufficient precoated screenings available to cover the seal coat binder within 2 minutes. Seal coat binder applied at intersections, turn lanes, gore points, and irregular areas must be covered with precoated screenings within 15 minutes.

Do not apply seal coat binder when weather or road conditions are unsuitable, including high wind or when the pavement is damp. In windy conditions you may adjust the distributor bar height and distribution speed, and use shielding equipment, if authorized.

#### Replace the 1st paragraph of section 37-2.03G(1) with:

Prevent vehicles from driving on seal coat binder before spreading precoated screenings.

#### Replace section 37-2.03G(4) with:

#### 37-2.03G(4) Seal Coat

During transit, cover precoated screenings for seal coat with tarpaulins if the ambient air temperature is below 65 degrees F or the haul time exceeds 30 minutes.

At the time of application, precoated screenings for seal coat must be from 225 to 325 degrees F.

For seal coat using asphalt rubber binder (Type I) and (Type II), spread precoated screenings at a rate from 28 to 40 lb/sq yd. For seal coat using crumb rubber R18 modified binder, spread precoated screenings at a rate from 20 to 34 lb/sq yd. Spread to within 10 percent of the determined rate.

#### Replace section 37-2.03H(2) with:

#### 37-2.03H(2) Seal Coat

Perform initial rolling within 90 seconds of spreading precoated screenings. Do not spread precoated screenings more than 200 feet ahead of the initial rolling.

For final rolling, you may request use of a steel-wheeled roller weighing from 8 to 10 tons, static mode only.

Perform a final sweeping before Contract acceptance. The final sweeping must not dislodge screenings.

Remove collected seal coat screenings from paved shoulders, drain inlets, other drainage areas, curbs, dikes and sidewalks. You may stockpile collected material at the jobsite. Remove and dispose of collected material.

#### Add to section 37-2.04:

Screenings for seal coat are measured by coated weight after they are preheated and precoated with asphalt binder.

Screenings for seal coat is paid for as screenings (hot-applied).

Seal coat binder is measured under the specifications for asphalts.

Deductions for crumb rubber gradations are taken based on:

- 1. Each gradation test for scrap tire crumb rubber represents 10,000 lbs or the amount used in that day's production, whichever is less.
- 2. Each gradation test for high natural crumb rubber represents 3,400 lbs or the amount used in that day's production, whichever is less.

For each gradation test, the following pay deductions will be taken for noncompliant material:

#### **Gradation Test**

Material	Test result <sup>a</sup>	Deduction
Scrap tire crumb	Operating range < TR <	\$250
rubber	Contract compliance	
Scrap tire crumb	TR > Contract	\$1,100
rubber	compliance	
High natural crumb	Operating range < TR <	\$250
rubber	Contract compliance	
High natural crumb	TR > Contract	\$600
rubber	compliance	

a Test Result = TR

^^^^^^

# DIVISION IX TRAFFIC CONTROL FACILITIES 84 TRAFFIC STRIPES AND PAVEMENT MARKINGS

#### Add to section 84-2.03C

For centerline striping as shown, thermoplastic traffic stripe (sprayable 2-coat) must be applied to the pavement with a minimum of two 0.039 inch thick coats, one coat in each direction of travel. For edgeline striping as shown, thermoplastic traffic stripe (sprayable) must be applied in the direction of travel.

#### Add to section 84-2.04 with:

Thermoplastic traffic stripe (sprayable 2-coat) is measured as one traffic stripe.

# REVISED STANDARD SPECIFICATIONS APPLICABLE TO THE 2010 EDITION OF THE STANDARD SPECIFICATIONS

# REVISED STANDARD SPECIFICATIONS DATED 02-22-13

Revised standard specifications are under headings that correspond with the main-section headings of the *Standard Specifications*. A main-section heading is a heading shown in the table of contents of the *Standard Specifications*. A date under a main-section heading is the date of the latest revision to the section.

Each revision to the *Standard Specifications* begins with a revision clause that describes a revision to the *Standard Specifications* or introduces a revision to the *Standard Specifications*. For a revision clause that describes a revision, the date on the right above the clause is the publication date of the revision. For a revision clause that introduces a revision, the date on the right above a revised term, phrase, clause, paragraph, or section is the publication date of the revised term, phrase, clause, paragraph or multiple-section revision, the date on the right above a paragraph or section is the publication date of the paragraphs or sections that follow.

Any paragraph added or deleted by a revision clause does not change the paragraph numbering of the *Standard Specifications* for any other reference to a paragraph of the *Standard Specifications*.

# DIVISION I GENERAL PROVISIONS 1 GENERAL

10-19-12

Replace "current" in the 2nd paragraph of section 1-1.05 with:

04-20-12

most recent

#### Add to the 4th paragraph of section 1-1.05:

04-20-12

10-19-12

Any reference directly to a revised standard specification section is for convenience only. Lack of a direct reference to a revised standard specification section does not indicate a revised standard specification for the section does not exist.

#### Add to the 1st table in section 1-1.06:

	TRO	time-related overhead	
Г	Delete the abbro	eviation and its meaning for <i>UDBE</i> in the 1st table of section 1-1.06.	06-20-12
			10.10.10
	Delete "C	ontract completion date" and its definition in section 1-1.07B.	10-19-12
		'	

10-19-12

Delete "critical delay" and its definition in section 1-1.07B.

#### Replace "day" and its definition in section 1-1.07B with:

10-19-12

day: 24 consecutive hours running from midnight to midnight; calendar day.

- 1. **business day:** Day on the calendar except a Saturday and a holiday.
- 2. **working day:** Time measure unit for work progress. A working day is any 24-consecutive-hour period except:
  - 2.1. Saturday and holiday.
  - 2.2. Day during which you cannot perform work on the controlling activity for at least 50 percent of the scheduled work shift with at least 50 percent of the scheduled labor and equipment due to any of the following:
    - 2.2.1. Adverse weather-related conditions.
    - 2.2.2. Maintaining traffic under the Contract.
    - 2.2.3. Suspension of a controlling activity that you and the Engineer agree benefits both parties.
    - 2.2.4. Unanticipated event not caused by either party such as:
      - 2.2.4.1. Act of God.
      - 2.2.4.2. Act of a public enemy.
      - 2.2.4.3. Epidemic.
      - 2.2.4.4. Fire.
      - 2.2.4.5. Flood.
      - 2.2.4.6. Governor-declared state of emergency.
      - 2.2.4.7. Landslide.
      - 2.2.4.8. Quarantine restriction.
    - 2.2.5. Issue involving a third party, including:
      - 2.2.5.1. Industry or area-wide labor strike.
      - 2.2.5.2. Material shortage.
      - 2.2.5.3. Freight embargo.
      - 2.2.5.4. Jurisdictional requirement of a law enforcement agency.
      - 2.2.5.5. Workforce labor dispute of a utility or nonhighway facility owner resulting in a nonhighway facility rearrangement not described and not solely for the Contractor's convenience. Rearrangement of a nonhighway facility includes installation, relocation, alteration, or removal of the facility.
  - 2.3. Day during a concurrent delay.
- 3. original working days:
  - 3.1. Working days to complete the work shown on the *Notice to Bidders* for a non–cost plus time based bid.
  - 3.2. Working days bid to complete the work for a cost plus time based bid.

Where working days is specified without the modifier "original" in the context of the number of working days to complete the work, interpret the number as the number of original working days as adjusted by any time adjustment.

#### Replace "Contract" in the definition of "early completion time" in section 1-1.07B with:

10-19-12

work

#### Replace "excusable delay" and its definition in section 1-1.07B with:

10-19-12

delay: Event that extends the completion of an activity.

- 1. **excusable delay:** Delay caused by the Department and not reasonably foreseeable when the work began such as:
  - 1.1. Change in the work
  - 1.2. Department action that is not part of the Contract

- 1.3. Presence of an underground utility main not described in the Contract or in a location substantially different from that specified
- Described facility rearrangement not rearranged as described, by the utility owner by the date specified, unless the rearrangement is solely for the Contractor's convenience
- Department's failure to obtain timely access to the right-of-way
- Department's failure to review a submittal or provide notification in the time specified
- 2. critical delay: Excusable delay that extends the scheduled completion date
- 3. **concurrent delay:** Occurrence of at least 2 of the following events in the same period of time, either partially or entirely:
  - 3.1. Critical delay
  - 3.2. Delay to a controlling activity caused by you
  - 3.3. Non-working day

#### Replace "project" in the definition of "scheduled completion date" in section 1-1.07B with:

10-19-12

work

#### Add to section 1-1.07B:

10-19-12

Contract time: Number of original working days as adjusted by any time adjustment.

06-20-12

Disadvantaged Business Enterprise: Disadvantaged Business Enterprise as defined in 49 CFR 26.5.

#### Replace "PO BOX 911" in the District 3 mailing address in the table in section 1-1.08 with:

04-20-12

703 B ST

#### Add to the table in section 1-1.11:

01-20-12	

Office Engineer-All	http://www.dot.c	 
Projects Currently	a.gov/hq/esc/oe/	
Advertised	weekly_ads/all_	
	advertised.php	

#### ^^^^^^

#### 2 BIDDING

10-19-12

#### Replace the 3rd paragraph of section 2-1.06B with:

01-20-12

If an Information Handout or cross sections are available:

- 1. You may view them at the Contract Plans and Special Provisions link at the Office Engineer-All Projects Currently Advertised Web site
- 2. For an informal-bid contract, you may obtain them at the Bidders' Exchange street address

Add a paragraph break between the 1st and 2nd sentences of the 5th paragraph of section 2-1.06B.

Add between "and" and "are" in item 2 in the list in the 7th paragraph of section 2-1.06B:

04-20-12

they

06-20-12

Delete "Underutilized" in "Underutilized Disadvantaged Business Enterprises" in the heading of section 2-1.12B.

06-20-12

Delete *U* in *UDBE* at each occurrence in section 2-1.12B.

Replace the 2nd paragraph of section 2-1.12B(1) with:

06-20-12

To ensure equal participation of DBEs provided in 49 CFR 26.5, the Department shows a goal for DBEs.

06-20-12

Delete the 3rd paragraph of section 2-1.12B(1):

Replace the 7th paragraph of section 2-1.12B(1) with:

06-20-12

All DBE participation will count toward the Department's federally-mandated statewide overall DBE goal.

Replace "offered" at the end of the 2nd sentence of item 7 in the list of 2nd paragraph of section 2-1.12B(3) with:

06-20-12

provided

01-20-12

Delete the 2nd paragraph of section 2-1.33A.

Replace the 3rd paragraph of section 2-1.33A with:

01-20-12

Except for each subcontracted bid item number and corresponding percentage and proof of each required SSPC QP certification, do not fax submittals.

#### Add to section 2-1.33C:

10-19-12

On the *Subcontractor List*, you must either submit each subcontracted bid item number and corresponding percentage with your bid or fax these numbers and percentages to (916) 227-6282 within 24 hours after bid opening. Failure to do so results in a nonresponsive bid.

#### Replace the paragraph in section 2-1.35 with:

01-20-12

Submit proof of each required SSPC QP certification with your bid or fax it to (916) 227-6282 no later than 4:00 p.m. on the 2nd business day after bid opening. Failure to do so results in a nonresponsive bid.

#### 3 CONTRACT AWARD AND EXECUTION

10-19-12

#### Add to the end of section 3-1.04:

10-19-12

You may request to extend the award period by faxing a request to (916) 227-6282 before 4:00 p.m. on the last day of the award period. If you do not make this request, after the specified award period:

- 1. Your bid becomes invalid
- 2. You are not eligible for the award of the contract

#### Replace the paragraph in section 3-1.11 with:

10-19-12

Complete and deliver to the Office Engineer a Payee Data Record when requested by the Department.

#### Replace section 3-1.13 with:

07-27-12

#### 3-1.13 FORM FHWA-1273

For a federal-aid contract, form FHWA-1273 is included with the Contract form in the documents sent to the successful bidder for execution. Comply with its provisions. Interpret the training and promotion section as specified in section 7-1.11A.

#### Add to item 1 in the list in the 2nd paragraph of section 3-1.18:

, including the attached form FHWA-1273

07-27-12

10-19-12

Delete item 4 of the 2nd paragraph of section 3-1.18.

^^^^^^

#### 5 CONTROL OF WORK

10-19-12

Add between "million" and ", professionally" in the 3rd paragraph of section 5-1.09A:

10-19-12

and 100 or more working days

#### Add to the list in the 4th paragraph of section 5-1.09A:

9. Considering discussing with and involving all stakeholders in evaluating potential VECPs

10-19-12

#### Add to the end of item 1.1 in the list in the 7th paragraph of section 5-1.09A:

10-19-12

10-19-12

For a contract with a total bid over \$10 million and 100 or more working days, training in partnering skills development is required.

Replace the 1st paragraph of section 5-1.09C with:

10-19-12

Delete the 2nd paragraph of section 5-1.09C.

Replace "at least 2 representatives" in the 5th paragraph of section 5-1.09C with:

10-19-12

field supervisory personnel

, including VECPs

#### Replace the 1st and 2nd sentences in the 7th paragraph of section 5-1.13B(1) with:

If a DBE is decertified before completing its work, the DBE must notify you in writing of the decertification date. If a business becomes a certified DBE before completing its work, the business must notify you in writing of the certification date.

Replace "90" in the last sentence of the 7th paragraph of section 5-1.13B(1) with:

06-20-12

30

Replace "Underutilized" in "Underutilized Disadvantaged Business Enterprises" in the heading of section 5-1.13B(2) with:

06-20-12

Performance of

06-20-12

Delete *U* in *UDBE* at each occurrence in section 5-1.13B(2).

#### Replace the 3rd paragraph of section 5-1.13B(2) with:

06-20-12

Do not terminate or substitute a listed DBE for convenience and perform the work with your own forces or obtain materials from other sources without authorization from the Department.

#### Replace item 6 in the list in the 4th paragraph of section 5-1.13B(2) with:

06-20-12

6. Listed DBE is ineligible to work on the project because of suspension or debarment.

#### Add to the list in the 4th paragraph of section 5-1.13B(2):

06-20-12

- 8. Listed DBE voluntarily withdraws with written notice from the Contract.
- 9. Listed DBE is ineligible to receive credit for the type of work required.
- Listed DBE owner dies or becomes disabled resulting in the inability to perform the work on the Contract.
- 11. Department determines other documented good cause.

#### Add between the 4th and 5th paragraphs of section 5-1.13B(2):

07-20-12

Notify the original DBE of your intent to use other forces or material sources and provide the reasons. Provide the DBE with 5 days to respond to your notice and advise you and the Department of the reasons why the use of other forces or sources of materials should not occur. Your request to use other forces or material sources must include:

- 1. 1 or more of the reasons listed in the preceding paragraph
- 2. Notices from you to the DBE regarding the request
- 3. Notices from the DBE to you regarding the request

#### Add between "terminated" and ", you" in the 5th paragraph of section 5-1.13B(2):

07-20-12

or substituted

#### Replace "Contract" in item 1 in the list in the 5th paragraph of section 5-1.13C with:

10-19-12

work

#### Replace "Reserved" in section 5-1.20C with:

10-19-12

If the Contract includes an agreement with a railroad company, the Department makes the provisions of the agreement available in the *Information Handout* in the document titled "Railroad Relations and Insurance Requirements." Comply with the requirements in the document.

#### Add between the 2nd and 3rd paragraphs of section 5-1.23A:

10-19-12

Submit action and informational submittals to the Engineer.

#### Add to section 5-1.36C:

07-20-12

If the Contract does not include an agreement with a railroad company, do not allow personnel or equipment on railroad property.

Prevent material, equipment, and debris from falling onto railroad property.

#### Add between the 1st and 2nd paragraphs of section 5-1.37A:

10-19-12

Do not remove any padlock used to secure a portion of the work until the Engineer is present to replace it. Notify the Engineer at least 3 days before removing the lock.

#### Replace the 1st sentence of the 1st paragraph of section 5-1.39C(2) with:

10-19-12

Section 5-1.39C(2) applies if a plant establishment period of 3 years or more is shown on the Notice to Bidders.

#### Replace "working days" in the 1st paragraph of section 5-1.43E(1)(a) with:

10-19-12

original working days

^^^^^^

#### 7 LEGAL RELATIONS AND RESPONSIBILITY TO THE PUBLIC

07-27-12

Replace "20 days" in the 14th paragraph of section 7-1.04 with:

09-16-11

25 days

Replace "90 days" in the 14th paragraph of section 7-1.04 with:

09-16-11

125 days

#### Add between the 18th and 19th paragraphs of section 7-1.04:

09-16-11

Temporary facilities that could be a hazard to public safety if improperly designed must comply with design requirements described in the Contract for those facilities or, if none are described, with standard design criteria or codes appropriate for the facility involved. Submit shop drawings and design calculations for the temporary facilities and show the standard design criteria or codes used. Shop drawings and supplemental calculations must be sealed and signed by an engineer who is registered as a civil engineer in the State.

#### Replace the 2nd paragraph of section 7-1.11A with:

07-27-12

A copy of form FHWA-1273 is included in section 7-1.11B. The training and promotion section of section If refers to training provisions as if they were included in the special provisions. The Department specifies the provisions in section 7-1.11D of the Standard Specifications. If a number of trainees or apprentices is required, the Department shows the number on the Notice to Bidders. Interpret each FHWA-1273 clause shown in the following table as having the same meaning as the corresponding Department clause:

#### **FHWA-1273 Nondiscrimination Clauses**

FHWA-1273 section	FHWA-1273 clause	Department clause
Training and Promotion	In the event a special provision for training is provided under this contract, this subparagraph will be superseded as indicated in the special provision.	If section 7-1.11D applies, section 7-1.11D supersedes this subparagraph.
Records and Reports	If on-the-job training is being required by special provision, the contractor will be required to collect and report training data.	If the Contract requires on-the- job training, collect and report training data.

Replace the form in section 7-1.11B with:

07-20-12

#### REQUIRED CONTRACT PROVISIONS FEDERAL-AID CONSTRUCTION CONTRACTS

- General
- II. Nondiscrimination
- III. Nonsegregated Facilities
- IV. Davis-Bacon and Related Act Provisions
- Contract Work Hours and Safety Standards Act Provisions
- VI. Subletting or Assigning the Contract
- VII. Safety: Accident Prevention
- VIII. False Statements Concerning Highway Projects
- IX. Implementation of Clean Air Act and Federal Water Pollution Control Act
- Compliance with Governmentwide Suspension and Debarment Requirements
- Certification Regarding Use of Contract Funds for Lobbying

#### ATTACHMENTS

A. Employment and Materials Preference for Appalachian Development Highway System or Appalachian Local Access Road Contracts (included in Appalachian contracts only)

#### I. GENERAL

 Form FHWA-1273 must be physically incorporated in each construction contract funded under Title 23 (excluding emergency contracts solely intended for debris removal). The contractor (or subcontractor) must insert this form in each subcontract and further require its inclusion in all lower tier subcontracts (excluding purchase orders, rental agreements and other agreements for supplies or services).

The applicable requirements of Form FHWA-1273 are incorporated by reference for work done under any purchase order, rental agreement or agreement for other services. The prime contractor shall be responsible for compliance by any subcontractor, lower-tier subcontractor or service provider.

Form FHWA-1273 must be included in all Federal-aid designbuild contracts, in all subcontracts and in lower tier subcontracts (excluding subcontracts for design services, purchase orders, rental agreements and other agreements for supplies or services). The design-builder shall be responsible for compliance by any subcontractor, lower-tier subcontractor or service provider.

Contracting agencies may reference Form FHWA-1273 in bid proposal or request for proposal documents, however, the Form FHWA-1273 must be physically incorporated (not referenced) in all contracts, subcontracts and lower-tier subcontracts (excluding purchase orders, rental agreements and other agreements for supplies or services related to a construction contract).

 Subject to the applicability criteria noted in the following sections, these contract provisions shall apply to all work performed on the contract by the contractor's own organization and with the assistance of workers under the contractor's immediate superintendence and to all work performed on the contract by piecework, station work, or by subcontract.

- A breach of any of the stipulations contained in these Required Contract Provisions may be sufficient grounds for withholding of progress payments, withholding of final payment, termination of the contract, suspension / debarment or any other action determined to be appropriate by the contracting agency and FHWA.
- 4. Selection of Labor: During the performance of this contract, the contractor shall not use convict labor for any purpose within the limits of a construction project on a Federal-aid highway unless it is labor performed by convicts who are on parole, supervised release, or probation. The term Federal-aid highway does not include roadways functionally classified as local roads or rural minor collectors.

#### II. NONDISCRIMINATION

The provisions of this section related to 23 CFR Part 230 are applicable to all Federal-aid construction contracts and to all related construction subcontracts of \$10,000 or more. The provisions of 23 CFR Part 230 are not applicable to material supply, engineering, or architectural service contracts.

In addition, the contractor and all subcontractors must comply with the following policies: Executive Order 11246, 41 CFR 60, 29 CFR 1625-1627, Title 23 USC Section 140, the Rehabilitation Act of 1973, as amended (29 USC 794), Title VI of the Civil Rights Act of 1964, as amended, and related regulations including 49 CFR Parts 21, 26 and 27; and 23 CFR Parts 200, 230, and 633.

The contractor and all subcontractors must comply with: the requirements of the Equal Opportunity Clause in 41 CFR 60-1.4(b) and, for all construction contracts exceeding \$10,000, the Standard Federal Equal Employment Opportunity Construction Contract Specifications in 41 CFR 60-4.3.

Note: The U.S. Department of Labor has exclusive authority to determine compliance with Executive Order 11246 and the policies of the Secretary of Labor including 41 CFR 60, and 29 CFR 1625-1627. The contracting agency and the FHWA have the authority and the responsibility to ensure compliance with Title 23 USC Section 140, the Rehabilitation Act of 1973, as amended (29 USC 794), and Title VI of the Civil Rights Act of 1964, as amended, and related regulations including 49 CFR Parts 21, 26 and 27; and 23 CFR Parts 200, 230, and 633.

The following provision is adopted from 23 CFR 230, Appendix A, with appropriate revisions to conform to the U.S. Department of Labor (US DOL) and FHWA requirements.

1. Equal Employment Opportunity: Equal employment opportunity (EEO) requirements not to discriminate and to take affirmative action to assure equal opportunity as set forth under laws, executive orders, rules, regulations (28 CFR 35, 29 CFR 1630, 29 CFR 1625-1627, 41 CFR 60 and 49 CFR 27) and orders of the Secretary of Labor as modified by the provisions prescribed herein, and imposed pursuant to 23 U.S.C. 140 shall constitute the EEO and specific affirmative action standards for the contractor's project activities under

this contract. The provisions of the Americans with Disabilities Act of 1990 (42 U.S.C. 12101 et seq.) set forth under 28 CFR 35 and 29 CFR 1630 are incorporated by reference in this contract. In the execution of this contract, the contractor agrees to comply with the following minimum specific requirement activities of EEO:

- a. The contractor will work with the contracting agency and the Federal Government to ensure that it has made every good faith effort to provide equal opportunity with respect to all of its terms and conditions of employment and in their review of activities under the contract.
- b. The contractor will accept as its operating policy the following statement:

"It is the policy of this Company to assure that applicants are employed, and that employees are treated during employment, without regard to their race, religion, sex, color, national origin, age or disability. Such action shall include: employment, upgrading, demotion, or transfer; recruitment or recruitment advertising; layoff or termination; rates of pay or other forms of compensation; and selection for training, including apprenticeship, pre-apprenticeship, and/or on-the-job training."

- 2. EEO Officer: The contractor will designate and make known to the contracting officers an EEO Officer who will have the responsibility for and must be capable of effectively administering and promoting an active EEO program and who must be assigned adequate authority and responsibility to do so
- 3. Dissemination of Policy: All members of the contractor's staff who are authorized to hire, supervise, promote, and discharge employees, or who recommend such action, or who are substantially involved in such action, will be made fully cognizant of, and will implement, the contractor's EEO policy and contractual responsibilities to provide EEO in each grade and classification of employment. To ensure that the above agreement will be met, the following actions will be taken as a minimum:
- a. Periodic meetings of supervisory and personnel office employees will be conducted before the start of work and then not less often than once every six months, at which time the contractor's EEO policy and its implementation will be reviewed and explained. The meetings will be conducted by the EEO Officer.
- b. All new supervisory or personnel office employees will be given a thorough indoctrination by the EEO Officer, covering all major aspects of the contractor's EEO obligations within thirty days following their reporting for duty with the contractor.
- c. All personnel who are engaged in direct recruitment for the project will be instructed by the EEO Officer in the contractor's procedures for locating and hiring minorities and women.
- d. Notices and posters setting forth the contractor's EEO policy will be placed in areas readily accessible to employees, applicants for employment and potential employees.
- e. The contractor's EEO policy and the procedures to implement such policy will be brought to the attention of employees by means of meetings, employee handbooks, or other appropriate means.

- 4. Recruitment: When advertising for employees, the contractor will include in all advertisements for employees the notation: "An Equal Opportunity Employer." All such advertisements will be placed in publications having a large circulation among minorities and women in the area from which the project work force would normally be derived.
- a. The contractor will, unless precluded by a valid bargaining agreement, conduct systematic and direct recruitment through public and private employee referral sources likely to yield qualified minorities and women. To meet this requirement, the contractor will identify sources of potential minority group employees, and establish with such identified sources procedures whereby minority and women applicants may be referred to the contractor for employment consideration.
- b. In the event the contractor has a valid bargaining agreement providing for exclusive hiring hall referrals, the contractor is expected to observe the provisions of that agreement to the extent that the system meets the contractor's compliance with EEO contract provisions. Where implementation of such an agreement has the effect of discriminating against minorities or women, or obligates the contractor to do the same, such implementation violates Federal nondiscrimination provisions.
- c. The contractor will encourage its present employees to refer minorities and women as applicants for employment. Information and procedures with regard to referring such applicants will be discussed with employees.
- 5. Personnel Actions: Wages, working conditions, and employee benefits shall be established and administered, and personnel actions of every type, including hiring, upgrading, promotion, transfer, demotion, layoff, and termination, shall be taken without regard to race, color, religion, sex, national origin, age or disability. The following procedures shall be followed:
- The contractor will conduct periodic inspections of project sites to insure that working conditions and employee facilities do not indicate discriminatory treatment of project site personnel.
- b. The contractor will periodically evaluate the spread of wages paid within each classification to determine any evidence of discriminatory wage practices.
- c. The contractor will periodically review selected personnel actions in depth to determine whether there is evidence of discrimination. Where evidence is found, the contractor will promptly take corrective action. If the review indicates that the discrimination may extend beyond the actions reviewed, such corrective action shall include all affected persons.
- d. The contractor will promptly investigate all complaints of alleged discrimination made to the contractor in connection with its obligations under this contract, will attempt to resolve such complaints, and will take appropriate corrective action within a reasonable time. If the investigation indicates that the discrimination may affect persons other than the complainant, such corrective action shall include such other persons. Upon completion of each investigation, the contractor will inform every complainant of all of their avenues of appeal.

#### 6. Training and Promotion:

a. The contractor will assist in locating, qualifying, and increasing the skills of minorities and women who are

applicants for employment or current employees. Such efforts should be aimed at developing full journey level status employees in the type of trade or job classification involved.

- b. Consistent with the contractor's work force requirements and as permissible under Federal and State regulations, the contractor shall make full use of training programs, i.e., apprenticeship, and on-the-job training programs for the geographical area of contract performance. In the event a special provision for training is provided under this contract, this subparagraph will be superseded as indicated in the special provision. The contracting agency may reserve training positions for persons who receive welfare assistance in accordance with 23 U.S.C. 140(a).
- c. The contractor will advise employees and applicants for employment of available training programs and entrance requirements for each.
- d. The contractor will periodically review the training and promotion potential of employees who are minorities and women and will encourage eligible employees to apply for such training and promotion.
- 7. Unions: If the contractor relies in whole or in part upon unions as a source of employees, the contractor will use good faith efforts to obtain the cooperation of such unions to increase opportunities for minorities and women. Actions by the contractor, either directly or through a contractor's association acting as agent, will include the procedures set forth below:
- a. The contractor will use good faith efforts to develop, in cooperation with the unions, joint training programs aimed toward qualifying more minorities and women for membership in the unions and increasing the skills of minorities and women so that they may qualify for higher paying employment.
- b. The contractor will use good faith efforts to incorporate an EEO clause into each union agreement to the end that such union will be contractually bound to refer applicants without regard to their race, color, religion, sex, national origin, age or disability.
- c. The contractor is to obtain information as to the referral practices and policies of the labor union except that to the extent such information is within the exclusive possession of the labor union and such labor union refuses to furnish such information to the contractor, the contractor shall so certify to the contracting agency and shall set forth what efforts have been made to obtain such information.
- d. In the event the union is unable to provide the contractor with a reasonable flow of referrals within the time limit set forth in the collective bargaining agreement, the contractor will, through independent recruitment efforts, fill the employment vacancies without regard to race, color, religion, sex, national origin, age or disability; making full efforts to obtain qualified and/or qualifiable minorities and women. The failure of a union to provide sufficient referrals (even though it is obligated to provide exclusive referrals under the terms of a collective bargaining agreement) does not relieve the contractor from the requirements of this paragraph. In the event the union referral practice prevents the contractor from meeting the obligations pursuant to Executive Order 11246, as amended, and these special provisions, such contractor shall immediately notify the contracting agency.
- 8. Reasonable Accommodation for Applicants / Employees with Disabilities: The contractor must be familiar

- with the requirements for and comply with the Americans with Disabilities Act and all rules and regulations established there under. Employers must provide reasonable accommodation in all employment activities unless to do so would cause an undue hardship.
- 9. Selection of Subcontractors, Procurement of Materials and Leasing of Equipment: The contractor shall not discriminate on the grounds of race, color, religion, sex, national origin, age or disability in the selection and retention of subcontractors, including procurement of materials and leases of equipment. The contractor shall take all necessary and reasonable steps to ensure nondiscrimination in the administration of this contract.
- a. The contractor shall notify all potential subcontractors and suppliers and lessors of their EEO obligations under this contract
- b. The contractor will use good faith efforts to ensure subcontractor compliance with their EEO obligations.

#### 10. Assurance Required by 49 CFR 26.13(b):

- a. The requirements of 49 CFR Part 26 and the State DOT's U.S. DOT-approved DBE program are incorporated by reference.
- b. The contractor or subcontractor shall not discriminate on the basis of race, color, national origin, or sex in the performance of this contract. The contractor shall carry out applicable requirements of 49 CFR Part 26 in the award and administration of DOT-assisted contracts. Failure by the contractor to carry out these requirements is a material breach of this contract, which may result in the termination of this contract or such other remedy as the contracting agency deems appropriate.
- 11. Records and Reports: The contractor shall keep such records as necessary to document compliance with the EEO requirements. Such records shall be retained for a period of three years following the date of the final payment to the contractor for all contract work and shall be available at reasonable times and places for inspection by authorized representatives of the contracting agency and the FHWA.
- a. The records kept by the contractor shall document the following:
- (1) The number and work hours of minority and nonminority group members and women employed in each work classification on the project;
  - (2) The progress and efforts being made in cooperation with unions, when applicable, to increase employment opportunities for minorities and women; and
- (3) The progress and efforts being made in locating, hiring, training, qualifying, and upgrading minorities and women;
- b. The contractors and subcontractors will submit an annual report to the contracting agency each July for the duration of the project, indicating the number of minority, women, and non-minority group employees currently engaged in each work classification required by the contract work. This information is to be reported on <a href="Form FHWA-1391">Form FHWA-1391</a>. The staffing data should represent the project work force on board in all or any part of the last payroll period preceding the end of July. If on-the-job training is being required by special provision, the contractor

will be required to collect and report training data. The employment data should reflect the work force on board during all or any part of the last payroll period preceding the end of July.

#### III. NONSEGREGATED FACILITIES

This provision is applicable to all Federal-aid construction contracts and to all related construction subcontracts of \$10,000 or more.

The contractor must ensure that facilities provided for employees are provided in such a manner that segregation on the basis of race, color, religion, sex, or national origin cannot result. The contractor may neither require such segregated use by written or oral policies nor tolerate such use by employee custom. The contractor's obligation extends further to ensure that its employees are not assigned to perform their services at any location, under the contractor's control, where the facilities are segregated. The term "facilities" includes waiting rooms, work areas, restaurants and other eating areas, time clocks, restrooms, washrooms, locker rooms, and other storage or dressing areas, parking lots, drinking fountains, recreation or entertainment areas, transportation, and housing provided for employees. The contractor shall provide separate or single-user restrooms and necessary dressing or sleeping areas to assure privacy between sexes.

#### IV. DAVIS-BACON AND RELATED ACT PROVISIONS

This section is applicable to all Federal-aid construction projects exceeding \$2,000 and to all related subcontracts and lower-tier subcontracts (regardless of subcontract size). The requirements apply to all projects located within the right-of-way of a roadway that is functionally classified as Federal-aid highway. This excludes roadways functionally classified as local roads or rural minor collectors, which are exempt. Contracting agencies may elect to apply these requirements to other projects.

The following provisions are from the U.S. Department of Labor regulations in 29 CFR 5.5 "Contract provisions and related matters" with minor revisions to conform to the FHWA-1273 format and FHWA program requirements.

#### 1. Minimum wages

a. All laborers and mechanics employed or working upon the site of the work, will be paid unconditionally and not less often than once a week, and without subsequent deduction or rebate on any account (except such payroll deductions as are permitted by regulations issued by the Secretary of Labor under the Copeland Act (29 CFR part 3)), the full amount of wages and bona fide fringe benefits (or cash equivalents thereof) due at time of payment computed at rates not less than those contained in the wage determination of the Secretary of Labor which is attached hereto and made a part hereof, regardless of any contractual relationship which may be alleged to exist between the contractor and such laborers and mechanics.

Contributions made or costs reasonably anticipated for bona fide fringe benefits under section 1(b)(2) of the Davis-Bacon Act on behalf of laborers or mechanics are considered wages paid to such laborers or mechanics, subject to the provisions

of paragraph 1.d. of this section; also, regular contributions made or costs incurred for more than a weekly period (but not less often than quarterly) under plans, funds, or programs which cover the particular weekly period, are deemed to be constructively made or incurred during such weekly period. Such laborers and mechanics shall be paid the appropriate wage rate and fringe benefits on the wage determination for the classification of work actually performed, without regard to skill, except as provided in 29 CFR 5.5(a)(4). Laborers or mechanics performing work in more than one classification may be compensated at the rate specified for each classification for the time actually worked therein: Provided, That the employer's payroll records accurately set forth the time spent in each classification in which work is performed The wage determination (including any additional classification and wage rates conformed under paragraph 1.b. of this section) and the Davis-Bacon poster (WH-1321) shall be posted at all times by the contractor and its subcontractors at the site of the work in a prominent and accessible place where it can be easily seen by the workers.

- b.(1) The contracting officer shall require that any class of laborers or mechanics, including helpers, which is not listed in the wage determination and which is to be employed under the contract shall be classified in conformance with the wage determination. The contracting officer shall approve an additional classification and wage rate and fringe benefits therefore only when the following criteria have been met:
  - (i) The work to be performed by the classification requested is not performed by a classification in the wage determination; and
  - (ii) The classification is utilized in the area by the construction industry; and
  - (iii) The proposed wage rate, including any bona fide fringe benefits, bears a reasonable relationship to the wage rates contained in the wage determination.
- (2) If the contractor and the laborers and mechanics to be employed in the classification (if known), or their representatives, and the contracting officer agree on the classification and wage rate (including the amount designated for fringe benefits where appropriate), a report of the action taken shall be sent by the contracting officer to the Administrator of the Wage and Hour Division, Employment Standards Administration, U.S. Department of Labor, Washington, DC 20210. The Administrator, or an authorized representative, will approve, modify, or disapprove every additional classification action within 30 days of receipt and so advise the contracting officer or will notify the contracting officer within the 30-day period that additional time is necessary.
- (3) In the event the contractor, the laborers or mechanics to be employed in the classification or their representatives, and the contracting officer do not agree on the proposed classification and wage rate (including the amount designated for fringe benefits, where appropriate), the contracting officer shall refer the questions, including the views of all interested parties and the recommendation of the contracting officer, to the Wage and Hour Administrator for determination. The Wage and Hour Administrator, or an authorized representative, will issue a determination within 30 days of receipt and so advise the contracting officer or

will notify the contracting officer within the 30-day period that additional time is necessary.

- (4) The wage rate (including fringe benefits where appropriate) determined pursuant to paragraphs 1.b.(2) or 1.b.(3) of this section, shall be paid to all workers performing work in the classification under this contract from the first day on which work is performed in the classification.
- c. Whenever the minimum wage rate prescribed in the contract for a class of laborers or mechanics includes a fringe benefit which is not expressed as an hourly rate, the contractor shall either pay the benefit as stated in the wage determination or shall pay another bona fide fringe benefit or an hourly cash equivalent thereof.
- d. If the contractor does not make payments to a trustee or other third person, the contractor may consider as part of the wages of any laborer or mechanic the amount of any costs reasonably anticipated in providing bona fide fringe benefits under a plan or program, Provided, That the Secretary of Labor has found, upon the written request of the contractor, that the applicable standards of the Davis-Bacon Act have been met. The Secretary of Labor may require the contractor to set aside in a separate account assets for the meeting of obligations under the plan or program.

#### 2. Withholding

The contracting agency shall upon its own action or upon written request of an authorized representative of the Department of Labor, withhold or cause to be withheld from the contractor under this contract, or any other Federal contract with the same prime contractor, or any other federallyassisted contract subject to Davis-Bacon prevailing wage requirements, which is held by the same prime contractor, so much of the accrued payments or advances as may be considered necessary to pay laborers and mechanics, including apprentices, trainees, and helpers, employed by the contractor or any subcontractor the full amount of wages required by the contract. In the event of failure to pay any laborer or mechanic, including any apprentice, trainee, or helper, employed or working on the site of the work, all or part of the wages required by the contract, the contracting agency may, after written notice to the contractor, take such action as may be necessary to cause the suspension of any further payment, advance, or guarantee of funds until such violations have ceased.

#### 3. Payrolls and basic records

a. Payrolls and basic records relating thereto shall be maintained by the contractor during the course of the work and preserved for a period of three years thereafter for all laborers and mechanics working at the site of the work. Such records shall contain the name, address, and social security number of each such worker, his or her correct classification, hourly rates of wages paid (including rates of contributions or costs anticipated for bona fide fringe benefits or cash equivalents thereof of the types described in section 1(b)(2)(B) of the Davis-Bacon Act), daily and weekly number of hours worked, deductions made and actual wages paid. Whenever the Secretary of Labor has found under 29 CFR 5.5(a)(1)(iv) that the wages of any laborer or mechanic include the amount of any costs reasonably anticipated in providing benefits under a plan or program described in section 1(b)(2)(B) of the Davis-

Bacon Act, the contractor shall maintain records which show that the commitment to provide such benefits is enforceable, that the plan or program is financially responsible, and that the plan or program has been communicated in writing to the laborers or mechanics affected, and records which show the costs anticipated or the actual cost incurred in providing such benefits. Contractors employing apprentices or trainees under approved programs shall maintain written evidence of the registration of apprenticeship programs and certification of trainee programs, the registration of the apprentices and trainees, and the ratios and wage rates prescribed in the applicable programs.

- b.(1) The contractor shall submit weekly for each week in which any contract work is performed a copy of all payrolls to the contracting agency. The payrolls submitted shall set out accurately and completely all of the information required to be maintained under 29 CFR 5.5(a)(3)(i), except that full social security numbers and home addresses shall not be included on weekly transmittals. Instead the payrolls shall only need to include an individually identifying number for each employee ( e.g., the last four digits of the employee's social security number). The required weekly payroll information may be submitted in any form desired. Optional Form WH-347 is available for this purpose from the Wage and Hour Division Web site at http://www.dol.gov/esa/whd/forms/wh347instr.htm or its successor site. The prime contractor is responsible for the submission of copies of payrolls by all subcontractors Contractors and subcontractors shall maintain the full social security number and current address of each covered worker, and shall provide them upon request to the contracting agency for transmission to the State DOT, the FHWA or the Wage and Hour Division of the Department of Labor for purposes of an investigation or audit of compliance with prevailing wage requirements. It is not a violation of this section for a prime contractor to require a subcontractor to provide addresses and social security numbers to the prime contractor for its own records, without weekly submission to the contracting agency..
- (2) Each payroll submitted shall be accompanied by a "Statement of Compliance," signed by the contractor or subcontractor or his or her agent who pays or supervises the payment of the persons employed under the contract and shall certify the following:
  - (i) That the payroll for the payroll period contains the information required to be provided under §5.5 (a)(3)(ii) of Regulations, 29 CFR part 5, the appropriate information is being maintained under §5.5 (a)(3)(i) of Regulations, 29 CFR part 5, and that such information is correct and complete;
  - (ii) That each laborer or mechanic (including each helper, apprentice, and trainee) employed on the contract during the payroll period has been paid the full weekly wages earned, without rebate, either directly or indirectly, and that no deductions have been made either directly or indirectly from the full wages earned, other than permissible deductions as set forth in Regulations, 29 CFR part 3;
  - (iii) That each laborer or mechanic has been paid not less than the applicable wage rates and fringe benefits or cash equivalents for the classification of work performed, as specified in the applicable wage determination incorporated into the contract.

- (3) The weekly submission of a properly executed certification set forth on the reverse side of Optional Form WH–347 shall satisfy the requirement for submission of the "Statement of Compliance" required by paragraph 3.b.(2) of this section.
- (4) The falsification of any of the above certifications may subject the contractor or subcontractor to civil or criminal prosecution under section 1001 of title 18 and section 231 of title 31 of the United States Code
- c. The contractor or subcontractor shall make the records required under paragraph 3.a. of this section available for inspection, copying, or transcription by authorized representatives of the contracting agency, the State DOT, the FHWA, or the Department of Labor, and shall permit such representatives to interview employees during working hours on the job. If the contractor or subcontractor fails to submit the required records or to make them available, the FHWA may, after written notice to the contractor, the contracting agency or the State DOT, take such action as may be necessary to cause the suspension of any further payment, advance, or guarantee of funds. Furthermore, failure to submit the required records upon request or to make such records available may be grounds for debarment action pursuant to 29 CFR 5.12.

#### 4. Apprentices and trainees

a. Apprentices (programs of the USDOL).

Apprentices will be permitted to work at less than the predetermined rate for the work they performed when they are employed pursuant to and individually registered in a bona fide apprenticeship program registered with the U.S. Department of Labor, Employment and Training Administration, Office of Apprenticeship Training, Employer and Labor Services, or with a State Apprenticeship Agency recognized by the Office, or if a person is employed in his or her first 90 days of probationary employment as an apprentice in such an apprenticeship program, who is not individually registered in the program, but who has been certified by the Office of Apprenticeship Training, Employer and Labor Services or a State Apprenticeship Agency (where appropriate) to be eligible for probationary employment as an apprentice.

The allowable ratio of apprentices to journeymen on the job site in any craft classification shall not be greater than the ratio permitted to the contractor as to the entire work force under the registered program. Any worker listed on a payroll at an apprentice wage rate, who is not registered or otherwise employed as stated above, shall be paid not less than the applicable wage rate on the wage determination for the classification of work actually performed. In addition, any apprentice performing work on the job site in excess of the ratio permitted under the registered program shall be paid not less than the applicable wage rate on the wage determination for the work actually performed. Where a contractor is performing construction on a project in a locality other than that in which its program is registered, the ratios and wage rates (expressed in percentages of the journeyman's hourly rate) specified in the contractor's or subcontractor's registered program shall be observed.

Every apprentice must be paid at not less than the rate specified in the registered program for the apprentice's level of progress, expressed as a percentage of the journeymen hourly

rate specified in the applicable wage determination. Apprentices shall be paid fringe benefits in accordance with the provisions of the apprenticeship program. If the apprenticeship program does not specify fringe benefits, apprentices must be paid the full amount of fringe benefits listed on the wage determination for the applicable classification. If the Administrator determines that a different practice prevails for the applicable apprentice classification, fringes shall be paid in accordance with that determination.

In the event the Office of Apprenticeship Training, Employer and Labor Services, or a State Apprenticeship Agency recognized by the Office, withdraws approval of an apprenticeship program, the contractor will no longer be permitted to utilize apprentices at less than the applicable predetermined rate for the work performed until an acceptable program is approved.

b. Trainees (programs of the USDOL).

Except as provided in 29 CFR 5.16, trainees will not be permitted to work at less than the predetermined rate for the work performed unless they are employed pursuant to and individually registered in a program which has received prior approval, evidenced by formal certification by the U.S. Department of Labor, Employment and Training Administration.

The ratio of trainees to journeymen on the job site shall not be greater than permitted under the plan approved by the Employment and Training Administration.

Every trainee must be paid at not less than the rate specified in the approved program for the trainee's level of progress, expressed as a percentage of the journeyman hourly rate specified in the applicable wage determination. Trainees shall be paid fringe benefits in accordance with the provisions of the trainee program. If the trainee program does not mention fringe benefits, trainees shall be paid the full amount of fringe benefits listed on the wage determination unless the Administrator of the Wage and Hour Division determines that there is an apprenticeship program associated with the corresponding journeyman wage rate on the wage determination which provides for less than full fringe benefits for apprentices. Any employee listed on the payroll at a trainee rate who is not registered and participating in a training plan approved by the Employment and Training Administration shall be paid not less than the applicable wage rate on the wage determination for the classification of work actually performed. In addition, any trainee performing work on the job site in excess of the ratio permitted under the registered program shall be paid not less than the applicable wage rate on the wage determination for the work actually performed.

In the event the Employment and Training Administration withdraws approval of a training program, the contractor will no longer be permitted to utilize trainees at less than the applicable predetermined rate for the work performed until an acceptable program is approved.

c. Equal employment opportunity. The utilization of apprentices, trainees and journeymen under this part shall be in conformity with the equal employment opportunity requirements of Executive Order 11246, as amended, and 29 CFR part 30. d. Apprentices and Trainees (programs of the U.S. DOT).

Apprentices and trainees working under apprenticeship and skill training programs which have been certified by the Secretary of Transportation as promoting EEO in connection with Federal-aid highway construction programs are not subject to the requirements of paragraph 4 of this Section IV. The straight time hourly wage rates for apprentices and trainees under such programs will be established by the particular programs. The ratio of apprentices and trainees to journeymen shall not be greater than permitted by the terms of the particular program.

- 5. Compliance with Copeland Act requirements. The contractor shall comply with the requirements of 29 CFR part 3, which are incorporated by reference in this contract.
- 6. Subcontracts. The contractor or subcontractor shall insert Form FHWA-1273 in any subcontracts and also require the subcontractors to include Form FHWA-1273 in any lower tier subcontracts. The prime contractor shall be responsible for the compliance by any subcontractor or lower tier subcontractor with all the contract clauses in 29 CFR 5.5.
- 7. Contract termination: debarment. A breach of the contract clauses in 29 CFR 5.5 may be grounds for termination of the contract, and for debarment as a contractor and a subcontractor as provided in 29 CFR 5.12.
- Compliance with Davis-Bacon and Related Act requirements. All rulings and interpretations of the Davis-Bacon and Related Acts contained in 29 CFR parts 1, 3, and 5 are herein incorporated by reference in this contract.
- 9. Disputes concerning labor standards. Disputes arising out of the labor standards provisions of this contract shall not be subject to the general disputes clause of this contract. Such disputes shall be resolved in accordance with the procedures of the Department of Labor set forth in 29 CFR parts 5, 6, and 7. Disputes within the meaning of this clause include disputes between the contractor (or any of its subcontractors) and the contracting agency, the U.S. Department of Labor, or the employees or their representatives.

#### 10. Certification of eligibility.

- a. By entering into this contract, the contractor certifies that neither it (nor he or she) nor any person or firm who has an interest in the contractor's firm is a person or firm ineligible to be awarded Government contracts by virtue of section 3(a) of the Davis-Bacon Act or 29 CFR 5.12(a)(1).
- b. No part of this contract shall be subcontracted to any person or firm ineligible for award of a Government contract by virtue of section 3(a) of the Davis-Bacon Act or 29 CFR 5.12(a)(1).
- c. The penalty for making false statements is prescribed in the U.S. Criminal Code, 18 U.S.C. 1001.

#### V. CONTRACT WORK HOURS AND SAFETY STANDARDS ACT

The following clauses apply to any Federal-aid construction contract in an amount in excess of \$100,000 and subject to the overtime provisions of the Contract Work Hours and Safety Standards Act. These clauses shall be inserted in addition to the clauses required by 29 CFR 5.5(a) or 29 CFR 4.6. As used in this paragraph, the terms laborers and mechanics include watchmen and quards.

- 1. Overtime requirements. No contractor or subcontractor contracting for any part of the contract work which may require or involve the employment of laborers or mechanics shall require or permit any such laborer or mechanic in any workweek in which he or she is employed on such work to work in excess of forty hours in such workweek unless such laborer or mechanic receives compensation at a rate not less than one and one-half times the basic rate of pay for all hours worked in excess of forty hours in such workweek.
- 2. Violation; liability for unpaid wages; liquidated damages. In the event of any violation of the clause set forth in paragraph (1.) of this section, the contractor and any subcontractor responsible therefor shall be liable for the unpaid wages. In addition, such contractor and subcontractor shall be liable to the United States (in the case of work done under contract for the District of Columbia or a territory, to such District or to such territory), for liquidated damages. Such liquidated damages shall be computed with respect to each individual laborer or mechanic, including watchmen and guards, employed in violation of the clause set forth in paragraph (1.) of this section, in the sum of \$10 for each calendar day on which such individual was required or permitted to work in excess of the standard workweek of forty hours without payment of the overtime wages required by the clause set forth in paragraph (1.) of this section.
- 3. Withholding for unpaid wages and liquidated damages. The FHWA or the contacting agency shall upon its own action or upon written request of an authorized representative of the Department of Labor withhold or cause to be withheld, from any moneys payable on account of work performed by the contractor or subcontractor under any such contract or any other Federal contract with the same prime contractor, or any other federally-assisted contract subject to the Contract Work Hours and Safety Standards Act, which is held by the same prime contractor, such sums as may be determined to be necessary to satisfy any liabilities of such contractor or subcontractor for unpaid wages and liquidated damages as provided in the clause set forth in paragraph (2.) of this section.
- 4. Subcontracts. The contractor or subcontractor shall insert in any subcontracts the clauses set forth in paragraph (1.) through (4.) of this section and also a clause requiring the subcontractors to include these clauses in any lower tier subcontracts. The prime contractor shall be responsible for compliance by any subcontractor or lower tier subcontractor with the clauses set forth in paragraphs (1.) through (4.) of this section.

#### VI. SUBLETTING OR ASSIGNING THE CONTRACT

This provision is applicable to all Federal-aid construction contracts on the National Highway System.

- 1. The contractor shall perform with its own organization contract work amounting to not less than 30 percent (or a greater percentage if specified elsewhere in the contract) of the total original contract price, excluding any specialty items designated by the contracting agency. Specialty items may be performed by subcontract and the amount of any such specialty items performed may be deducted from the total original contract price before computing the amount of work required to be performed by the contractor's own organization (23 CFR 635.116).
- a. The term "perform work with its own organization" refers to workers employed or leased by the prime contractor, and equipment owned or rented by the prime contractor, with or without operators. Such term does not include employees or equipment of a subcontractor or lower tier subcontractor, agents of the prime contractor, or any other assignees. The term may include payments for the costs of hiring leased employees from an employee leasing firm meeting all relevant Federal and State regulatory requirements. Leased employees may only be included in this term if the prime contractor meets all of the following conditions:
- the prime contractor maintains control over the supervision of the day-to-day activities of the leased employees;
- (2) the prime contractor remains responsible for the quality of the work of the leased employees;
- (3) the prime contractor retains all power to accept or exclude individual employees from work on the project; and
  - (4) the prime contractor remains ultimately responsible for the payment of predetermined minimum wages, the submission of payrolls, statements of compliance and all other Federal regulatory requirements.
- b. "Specialty Items" shall be construed to be limited to work that requires highly specialized knowledge, abilities, or equipment not ordinarily available in the type of contracting organizations qualified and expected to bid or propose on the contract as a whole and in general are to be limited to minor components of the overall contract.
- The contract amount upon which the requirements set forth in paragraph (1) of Section VI is computed includes the cost of material and manufactured products which are to be purchased or produced by the contractor under the contract provisions.
- 3. The contractor shall furnish (a) a competent superintendent or supervisor who is employed by the firm, has full authority to direct performance of the work in accordance with the contract requirements, and is in charge of all construction operations (regardless of who performs the work) and (b) such other of its own organizational resources (supervision, management, and engineering services) as the contracting officer determines is necessary to assure the performance of the contract.
- 4. No portion of the contract shall be sublet, assigned or otherwise disposed of except with the written consent of the contracting officer, or authorized representative, and such consent when given shall not be construed to relieve the contractor of any responsibility for the fulfillment of the contract. Written consent will be given only after the contracting agency has assured that each subcontract is

evidenced in writing and that it contains all pertinent provisions and requirements of the prime contract.

 The 30% self-performance requirement of paragraph (1) is not applicable to design-build contracts; however, contracting agencies may establish their own self-performance requirements.

#### VII. SAFETY: ACCIDENT PREVENTION

This provision is applicable to all Federal-aid construction contracts and to all related subcontracts.

- 1. In the performance of this contract the contractor shall comply with all applicable Federal, State, and local laws governing safety, health, and sanitation (23 CFR 635). The contractor shall provide all safeguards, safety devices and protective equipment and take any other needed actions as it determines, or as the contracting officer may determine, to be reasonably necessary to protect the life and health of employees on the job and the safety of the public and to protect property in connection with the performance of the work covered by the contract.
- 2. It is a condition of this contract, and shall be made a condition of each subcontract, which the contractor enters into pursuant to this contract, that the contractor and any subcontractor shall not permit any employee, in performance of the contract, to work in surroundings or under conditions which are unsanitary, hazardous or dangerous to his/her health or safety, as determined under construction safety and health standards (29 CFR 1926) promulgated by the Secretary of Labor, in accordance with Section 107 of the Contract Work Hours and Safety Standards Act (40 U.S.C. 3704).
- 3. Pursuant to 29 CFR 1926.3, it is a condition of this contract that the Secretary of Labor or authorized representative thereof, shall have right of entry to any site of contract performance to inspect or investigate the matter of compliance with the construction safety and health standards and to carry out the duties of the Secretary under Section 107 of the Contract Work Hours and Safety Standards Act (40 U.S.C.3704).

#### VIII. FALSE STATEMENTS CONCERNING HIGHWAY PROJECTS

This provision is applicable to all Federal-aid construction contracts and to all related subcontracts.

In order to assure high quality and durable construction in conformity with approved plans and specifications and a high degree of reliability on statements and representations made by engineers, contractors, suppliers, and workers on Federal-aid highway projects, it is essential that all persons concerned with the project perform their functions as carefully, thoroughly, and honestly as possible. Willful falsification, distortion, or misrepresentation with respect to any facts related to the project is a violation of Federal law. To prevent any misunderstanding regarding the seriousness of these and similar acts, Form FHWA-1022 shall be posted on each Federal-aid highway project (23 CFR 635) in one or more places where it is readily available to all persons concerned with the project:

18 U.S.C. 1020 reads as follows:

"Whoever, being an officer, agent, or employee of the United States, or of any State or Territory, or whoever, whether a person, association, firm, or corporation, knowingly makes any false statement, false representation, or false report as to the character, quality, quantity, or cost of the material used or to be used, or the quantity or quality of the work performed or to be performed, or the cost thereof in connection with the submission of plans, maps, specifications, contracts, or costs of construction on any highway or related project submitted for approval to the Secretary of Transportation; or

Whoever knowingly makes any false statement, false representation, false report or false claim with respect to the character, quality, quantity, or cost of any work performed or to be performed, or materials furnished or to be furnished, in connection with the construction of any highway or related project approved by the Secretary of Transportation; or

Whoever knowingly makes any false statement or false representation as to material fact in any statement, certificate, or report submitted pursuant to provisions of the Federal-aid Roads Act approved July 1, 1916, (39 Stat. 355), as amended and supplemented:

Shall be fined under this title or imprisoned not more than 5 years or both."

#### IX. IMPLEMENTATION OF CLEAN AIR ACT AND FEDERAL WATER POLLUTION CONTROL ACT

This provision is applicable to all Federal-aid construction contracts and to all related subcontracts.

By submission of this bid/proposal or the execution of this contract, or subcontract, as appropriate, the bidder, proposer, Federal-aid construction contractor, or subcontractor, as appropriate, will be deemed to have stipulated as follows:

- 1. That any person who is or will be utilized in the performance of this contract is not prohibited from receiving an award due to a violation of Section 508 of the Clean Water Act or Section 306 of the Clean Air Act.
- 2. That the contractor agrees to include or cause to be included the requirements of paragraph (1) of this Section X in every subcontract, and further agrees to take such action as the contracting agency may direct as a means of enforcing such requirements.

## X. CERTIFICATION REGARDING DEBARMENT, SUSPENSION, INELIGIBILITY AND VOLUNTARY EXCLUSION

This provision is applicable to all Federal-aid construction contracts, design-build contracts, subcontracts, lower-tier subcontracts, purchase orders, lease agreements, consultant contracts or any other covered transaction requiring FHWA approval or that is estimated to cost \$25,000 or more – as defined in 2 CFR Parts 180 and 1200.

#### 1. Instructions for Certification - First Tier Participants:

- a. By signing and submitting this proposal, the prospective first tier participant is providing the certification set out below.
- b. The inability of a person to provide the certification set out below will not necessarily result in denial of participation in this

covered transaction. The prospective first tier participant shall submit an explanation of why it cannot provide the certification set out below. The certification or explanation will be considered in connection with the department or agency's determination whether to enter into this transaction. However, failure of the prospective first tier participant to furnish a certification or an explanation shall disqualify such a person from participation in this transaction.

- c. The certification in this clause is a material representation of fact upon which reliance was placed when the contracting agency determined to enter into this transaction. If it is later determined that the prospective participant knowingly rendered an erroneous certification, in addition to other remedies available to the Federal Government, the contracting agency may terminate this transaction for cause of default.
- d. The prospective first tier participant shall provide immediate written notice to the contracting agency to whom this proposal is submitted if any time the prospective first tier participant learns that its certification was erroneous when submitted or has become erroneous by reason of changed circumstances.
- e. The terms "covered transaction," "debarred,"
  "suspended," "ineligible," "participant," "person," "principal,"
  and "voluntarily excluded," as used in this clause, are defined
  in 2 CFR Parts 180 and 1200. "First Tier Covered
  Transactions" refers to any covered transaction between a
  grantee or subgrantee of Federal funds and a participant (such
  as the prime or general contract). "Lower Tier Covered
  Transactions" refers to any covered transaction under a First
  Tier Covered Transaction (such as subcontracts). "First Tier
  Participant" refers to the participant who has entered into a
  covered transaction with a grantee or subgrantee of Federal
  funds (such as the prime or general contractor). "Lower Tier
  Participant" refers any participant who has entered into a
  covered transaction with a First Tier Participant or other Lower
  Tier Participants (such as subcontractors and suppliers).
- f. The prospective first tier participant agrees by submitting this proposal that, should the proposed covered transaction be entered into, it shall not knowingly enter into any lower tier covered transaction with a person who is debarred, suspended, declared ineligible, or voluntarily excluded from participation in this covered transaction, unless authorized by the department or agency entering into this transaction.
- g. The prospective first tier participant further agrees by submitting this proposal that it will include the clause titled "Certification Regarding Debarment, Suspension, Ineligibility and Voluntary Exclusion-Lower Tier Covered Transactions," provided by the department or contracting agency, entering into this covered transaction, without modification, in all lower tier covered transactions and in all solicitations for lower tier covered transactions exceeding the \$25,000 threshold.
- h. A participant in a covered transaction may rely upon a certification of a prospective participant in a lower tier covered transaction that is not debarred, suspended, ineligible, or voluntarily excluded from the covered transaction, unless it knows that the certification is erroneous. A participant is responsible for ensuring that its principals are not suspended, debarred, or otherwise ineligible to participate in covered transactions. To verify the eligibility of its principals, as well as the eligibility of any lower tier prospective participants, each participant may, but is not required to, check the Excluded Parties List System website (<a href="https://www.epls.gov/">https://www.epls.gov/</a>), which is compiled by the General Services Administration.

- i. Nothing contained in the foregoing shall be construed to require the establishment of a system of records in order to render in good faith the certification required by this clause. The knowledge and information of the prospective participant is not required to exceed that which is normally possessed by a prudent person in the ordinary course of business dealings.
- j. Except for transactions authorized under paragraph (f) of these instructions, if a participant in a covered transaction knowingly enters into a lower tier covered transaction with a person who is suspended, debarred, ineligible, or voluntarily excluded from participation in this transaction, in addition to other remedies available to the Federal Government, the department or agency may terminate this transaction for cause or default.

\* \* \* \*

## 2. Certification Regarding Debarment, Suspension, Ineligibility and Voluntary Exclusion – First Tier Participants:

- a. The prospective first tier participant certifies to the best of its knowledge and belief, that it and its principals:
- Are not presently debarred, suspended, proposed for debarment, declared in eligible, or voluntarily excluded from participating in covered transactions by any Federal department or agency;
- (2) Have not within a three-year period preceding this proposal been convicted of or had a civil judgment rendered against them for commission of fraud or a criminal offense in connection with obtaining, attempting to obtain, or performing a public (Federal, State or local) transaction or contract under a public transaction; violation of Federal or State antitrust statutes or commission of embezzlement, theft, forgery, bribery, falsification or destruction of records, making false statements, or receiving stolen property;
- (3) Are not presently indicted for or otherwise criminally or civilly charged by a governmental entity (Federal, State or local) with commission of any of the offenses enumerated in paragraph (a)(2) of this certification; and
- (4) Have not within a three-year period preceding this application/proposal had one or more public transactions (Federal, State or local) terminated for cause or default.
- b. Where the prospective participant is unable to certify to any of the statements in this certification, such prospective participant shall attach an explanation to this proposal.

#### 2. Instructions for Certification - Lower Tier Participants:

(Applicable to all subcontracts, purchase orders and other lower tier transactions requiring prior FHWA approval or estimated to cost \$25,000 or more - 2 CFR Parts 180 and 1200)

- a. By signing and submitting this proposal, the prospective lower tier is providing the certification set out below.
- b. The certification in this clause is a material representation of fact upon which reliance was placed when this transaction was entered into. If it is later determined that the prospective lower tier participant knowingly rendered an erroneous certification, in addition to other remedies available to the Federal Government, the department, or agency with which

this transaction originated may pursue available remedies, including suspension and/or debarment.

- c. The prospective lower tier participant shall provide immediate written notice to the person to which this proposal is submitted if at any time the prospective lower tier participant learns that its certification was erroneous by reason of changed circumstances.
- d. The terms "covered transaction," "debarred,"
  "suspended," "ineligible," "participant," "person," "principal,"
  and "voluntarily excluded," as used in this clause, are defined
  in 2 CFR Parts 180 and 1200. You may contact the person to
  which this proposal is submitted for assistance in obtaining a
  copy of those regulations. "First Tier Covered Transactions"
  refers to any covered transaction between a grantee or
  subgrantee of Federal funds and a participant (such as the
  prime or general contract). "Lower Tier Covered Transactions"
  refers to any covered transaction under a First Tier Covered
  Transaction (such as subcontracts). "First Tier Participant"
  refers to the participant who has entered into a covered
  transaction with a grantee or subgrantee of Federal funds
  (such as the prime or general contractor). "Lower Tier
  Participant" refers any participant who has entered into a
  covered transaction with a First Tier Participant or other Lower
  Tier Participants (such as subcontractors and suppliers).
- e. The prospective lower tier participant agrees by submitting this proposal that, should the proposed covered transaction be entered into, it shall not knowingly enter into any lower tier covered transaction with a person who is debarred, suspended, declared ineligible, or voluntarily excluded from participation in this covered transaction, unless authorized by the department or agency with which this transaction originated.
- f. The prospective lower tier participant further agrees by submitting this proposal that it will include this clause titled "Certification Regarding Debarment, Suspension, Ineligibility and Voluntary Exclusion-Lower Tier Covered Transaction," without modification, in all lower tier covered transactions and in all solicitations for lower tier covered transactions exceeding the \$25,000 threshold.
- g. A participant in a covered transaction may rely upon a certification of a prospective participant in a lower tier covered transaction that is not debarred, suspended, ineligible, or voluntarily excluded from the covered transaction, unless it knows that the certification is erroneous. A participant is responsible for ensuring that its principals are not suspended, debarred, or otherwise ineligible to participate in covered transactions. To verify the eligibility of its principals, as well as the eligibility of any lower tier prospective participants, each participant may, but is not required to, check the Excluded Parties List System website (<a href="https://www.epls.gov/">https://www.epls.gov/</a>), which is compiled by the General Services Administration.
- h. Nothing contained in the foregoing shall be construed to require establishment of a system of records in order to render in good faith the certification required by this clause. The knowledge and information of participant is not required to exceed that which is normally possessed by a prudent person in the ordinary course of business dealings.
- i. Except for transactions authorized under paragraph e of these instructions, if a participant in a covered transaction knowingly enters into a lower tier covered transaction with a person who is suspended, debarred, ineligible, or voluntarily excluded from participation in this transaction, in addition to other remedies available to the Federal Government, the

department or agency with which this transaction originated may pursue available remedies, including suspension and/or debarment.

\*\*\*\*

#### Certification Regarding Debarment, Suspension, Ineligibility and Voluntary Exclusion--Lower Tier Participants:

- The prospective lower tier participant certifies, by submission of this proposal, that neither it nor its principals is presently debarred, suspended, proposed for debarment, declared ineligible, or voluntarily excluded from participating in covered transactions by any Federal department or agency.
- Where the prospective lower tier participant is unable to certify to any of the statements in this certification, such prospective participant shall attach an explanation to this proposal.

\*\*\*\*

#### XI. CERTIFICATION REGARDING USE OF CONTRACT FUNDS FOR LOBBYING

This provision is applicable to all Federal-aid construction contracts and to all related subcontracts which exceed \$100,000 (49 CFR 20).

- 1. The prospective participant certifies, by signing and submitting this bid or proposal, to the best of his or her knowledge and belief, that:
- a. No Federal appropriated funds have been paid or will be paid, by or on behalf of the undersigned, to any person for influencing or attempting to influence an officer or employee of any Federal agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with the awarding of any Federal contract, the making of any Federal grant, the making of any Federal loan, the entering into of any cooperative agreement, and the extension, continuation, renewal, amendment, or modification of any Federal contract, grant, loan, or cooperative agreement.
- b. If any funds other than Federal appropriated funds have been paid or will be paid to any person for influencing or attempting to influence an officer or employee of any Federal agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with this Federal contract, grant, loan, or cooperative agreement, the undersigned shall complete and submit Standard Form-LLL, "Disclosure Form to Report Lobbying," in accordance with its instructions.
- 2. This certification is a material representation of fact upon which reliance was placed when this transaction was made or entered into. Submission of this certification is a prerequisite for making or entering into this transaction imposed by 31 U.S.C. 1352. Any person who fails to file the required certification shall be subject to a civil penalty of not less than \$10,000 and not more than \$100,000 for each such failure.
- 3. The prospective participant also agrees by submitting its bid or proposal that the participant shall require that the language of this certification be included in all lower tier subcontracts, which exceed \$100,000 and that all such recipients shall certify and disclose accordingly.

#### **8 PROSECUTION AND PROGRESS**

10-19-12

Replace "working days"	in the 1st paragraph of	f section 8-1.02B(1) with:

original working days

10-19-12

Replace "working days" at each occurrence in the 1st paragraph of section 8-1.02C(1) with:

original working days

10-19-12

Delete the 4th paragraph of section 8-1.02C(1).

04-20-12

Replace "Contract" in the 9th paragraph of section 8-1.02C(1) with:

work

10-19-12

Replace the 1st paragraph of section 8-1.02C(3)(a) with:

Submit a description of your proposed schedule software for authorization.

04-20-12

Delete the last paragraph of section 8-1.02C(3)(a).

04-20-12

Replace section 8-1.02C(3)(b) with:

8-1.02C(3)(b) Reserved

10-19-12

Delete the 3rd paragraph of section 8-1.02C(5).

04-20-12

Replace "Contract" in the last paragraph of section 8-1.02C(5) with:

original

10-19-12

Replace "working days" in the 1st paragraph of section 8-1.02D(1) with:

original working days

10-19-12

Replace "8-1.02D(1)" in the 2nd paragraph of section 8-1.02D(1) with:

01-20-12

8-1.02C(1)

#### Replace "Contract" in the 3rd paragraph of section 8-1.02D(2) with:

10-19-12 work

#### Replace "Contract" in item 9 in the list in the 4th paragraph of section 8-1.02D(4) with:

10-19-12 work

Replace "Contract completion" in the 4th paragraph of section 8-1.02D(6) with:

work completion

Replace "Contract working days" in the 4th paragraph of section 8-1.02D(6) with:

original working days

Delete items 1.3 and 1.4 in the list in the 1st paragraph of section 8-1.02D(10).

Replace the last paragraph of section 8-1.04B with:

10-19-12

The Department does not adjust time for starting before receiving notice of Contract approval.

#### Replace the 1st paragraph of section 8-1.05 with:

10-19-12

Contract time starts on the last day specified to start job site activities in section 8-1.04 or on the day you start job site activities, whichever occurs first.

#### Replace the 2nd paragraph of section 8-1.05 with:

10-19-12

Complete the work within the Contract time.

10-19-12

Delete "unless the Contract is suspended for reasons unrelated to your performance" in the 4th paragraph of section 8-1.05.

#### Replace the headings and paragraphs in section 8-1.06 with:

10-19-12

The Engineer may suspend work wholly or in part due to conditions unsuitable for work progress. Provide for public safety and a smooth and unobstructed passageway through the work zone during the suspension as specified under sections 7-1.03 and 7-1.04. Providing the passageway is force account work. The Department makes a time adjustment for the suspension due to a critical delay.

The Engineer may suspend work wholly or in part due to your failure to (1) fulfill the Engineer's orders, (2) fulfill a Contract part, or (3) perform weather-dependent work when conditions are favorable so that weather-related unsuitable conditions are avoided or do not occur. The Department may provide for a

smooth and unobstructed passageway through the work during the suspension and deduct the cost from payments. The Department does not make a time adjustment for the suspension.

Upon the Engineer's order of suspension, suspend work immediately. Resume work when ordered.

#### Replace the 1st sentence in the 1st paragraph of section 8-1.07B with:

For a critical delay, the Department may make a time adjustment.

10-19-12

#### Add to the end of section 8-1.07C:

10-19-12

The Department does not make a payment adjustment for overhead incurred during non–working days that extend the Contract into an additional construction season.

#### Replace the 1st paragraph of section 8-1.07C with:

10-19-12

For an excusable delay that affects your costs, the Department may make a payment adjustment.

#### Replace "8-1.08B and 8-1.08C" in the 1st paragraph of section 8-1.10A with:

08-05-11

8-1.10B and 8-1.10C

#### Replace section 8-1.10D with:

10-19-12

8-1.10D Reserved

#### 

#### 9 PAYMENT

01-18-13

#### Replace item 1 in the 3rd paragraph of section 9-1.03 with:

01-18-13

 Full compensation for all work involved in each bid item shown on the Bid Item List by the unit of measure shown for that bid item

#### Replace "in" in the 3rd paragraph of section 9-1.04A with:

10-19-12

for

#### Add to the end of section 9-1.04A:

10-19-12

For nonsubcontracted work paid by force account for a contract with a TRO bid item, the markups are those shown in the following table instead of those specified in sections 9-1.04B–D:

Cost	Percent markup
Labor	30
Materials	10
Equipment rental	10

04-20-12

Delete ", Huntington Beach," in the 3rd paragraph of section 9-1.07A.

Replace the formula in section 9-1.07B(2) with:

04-20-12

 $Qh = HMATT \times Xa$ 

Replace "weight of dry aggregate" in the definition of the variable Xa in section 9-1.07B(2) with:

total weight of HMA

04-20-12

#### Replace the formula in section 9-1.07B(3) with:

04-20-12

 $Qrh = RHMATT \times 0.80 \times Xarb$ 

Replace "weight of dry aggregate" in the definition of the variable Xarb in section 9-1.07B(3) with:

04-20-12

total weight of rubberized HMA

Replace the heading of section 9-1.07B(4) with:

Hot Mix Asphalt with Modified Asphalt Binder

04-20-12

Add between "in" and "modified" in the introductory clause of section 9-1.07B(4):

HMA with

04-20-12

Replace the formula in section 9-1.07B(4) with:

04-20-12

 $Qmh = MHMATT \times [(100 - Xam) / 100] \times Xmab$ 

Replace "weight of dry aggregate" in the definition of the variable Xmab in section 9-1.07B(4) with:

04-20-12

total weight of HMA

Replace the formula in section 9-1.07B(5) with:

04-20-12

Qrap = HMATT x Xaa

## Replace "weight of dry aggregate" in the definitions of the variables *Xaa* and *Xta* in section 9-1.07B(5) with:

total weight of HMA

#### Add after the variable definitions in section 9-1.07B(9):

04-20-12

The quantity of extender oil is included in the quantity of asphalt.

## Replace the headings and paragraphs in section 9-1.11 with:

10-19-12

#### 9-1.11A General

Section 9-1.11 applies if a bid item for time-related overhead is included in the Contract. If a bid item for time-related overhead is included, you must exclude the time-related overhead from every other bid item price.

## 9-1.11B Payment Quantity

The TRO quantity does not include the number of working days to complete plant establishment work.

For a contract with a TRO lump sum quantity on the Bid Item List, the Department pays you based on the following conversions:

- 1. LS unit of measure is replaced with WDAY
- 2. Lump sum quantity is replaced with the number of working days bid
- 3. Lump sum unit price is replaced with the item total divided by the number of working days bid

## 9-1.11C Payment Inclusions

Payment for the TRO bid item includes payment for time-related field- and home-office overhead for the time required to complete the work.

The field office overhead includes time-related expenses associated with the normal and recurring construction activities not directly attributed to the work, including:

- 1. Salaries, benefits, and equipment costs of:
  - 1.1. Project managers
  - 1.2. General superintendents
  - 1.3. Field office managers
  - 1.4. Field office staff assigned to the project
- 2. Rent
- 3. Utilities
- 4. Maintenance
- 5. Security
- 6. Supplies
- 7. Office equipment costs for the project's field office

The home-office overhead includes the fixed general and administrative expenses for operating your business, including:

- 1. General administration
- 2. Insurance
- 3. Personnel and subcontract administration
- 4. Purchasing
- 5. Accounting
- 6. Project engineering and estimating

Payment for the TRO bid item does not include payment for:

- 1. The home-office overhead expenses specifically related to:
  - 1.1. Your other contracts or other businesses
  - 1.2. Equipment coordination
  - 1.3. Material deliveries
  - 1.4. Consultant and legal fees
- 2. Non-time-related costs and expenses such as mobilization, licenses, permits, and other charges incurred once during the Contract
- 3. Additional overhead involved in incentive/disincentive provisions to satisfy an internal milestone or multiple calendar requirements
- 4. Additional overhead involved in performing additional work that is not a controlling activity
- 5. Overhead costs incurred by your subcontractors of any tier or suppliers

## 9-1.11D Payment Schedule

For progress payments, the total work completed for the TRO bid item is the number of working days shown for the pay period on the *Weekly Statement of Working Days*.

For progress payments, the Department pays a unit price equal to the lesser of the following amounts:

- 1. Price per working day as bid or as converted under section 9-1.11B.
- 2. 20 percent of the total bid divided by the number of original working days

For a contract without plant establishment work, the Department pays you the balance due of the TRO item total as specified in section 9-1.17B.

For a contract with plant establishment work, the Department pays you the balance due of the TRO item total in the 1st progress payment after all non–plant establishment work is completed.

## 9-1.11E Payment Adjustments

The 3rd paragraph of section 9-1.17C does not apply.

The Department does not adjust the unit price for an increase or decrease in the TRO quantity except as specified in section 9-1.11E.

Section 9-1.17D(2)(b) does not apply except as specified for the audit report below.

If the TRO bid item quantity exceeds 149 percent of the quantity shown on the Bid Item List or as converted under section 9-1.11B, the Engineer may adjust or you may request an adjustment of the unit price for the excess quantity. For the adjustment, submit an audit report within 60 days of the Engineer's request. The report must be prepared as specified for an audit report for an overhead claim in section 9-1.17D(2)(b).

Within 20 days of the Engineer's request, make your financial records available for an audit by the State for the purpose of verifying the actual rate of TRO described in your audit. The actual rate of TRO described is subject to the Engineer's authorization.

The Department pays the authorized actual rate for TRO in excess of 149 percent of the quantity shown on the Bid Item List or as converted under section 9-1.11B.

The Department pays for 1/2 the cost of the report; the Contractor pays for the other 1/2. The cost is determined under section 9-1.05.

10-19-12

Delete "revised Contract" in item 1 of the 1st paragraph of section 9-1.16E(2).

Replace "2014" in the 1st paragraph of section 9-1.16F with:

10-19-12

2020

## Replace the 2nd paragraph of section 9-1.17C with:

10-19-12

Submit either a written acceptance of the proposed final estimate or a claim statement postmarked or hand delivered before the 31st day after receiving the proposed final estimate.

Add between "the" and "final estimate" in the 1st sentence in the 3rd paragraph of section 9-1.17C:

proposed 10-19-12

^^^^^

# DIVISION II GENERAL CONSTRUCTION 10 GENERAL

07-20-12

Replace "Reserved" in section 10-1 with:

01-20-12

07-20-12

07-20-12

10-1.01 GENERAL

Reserved

#### 10-1.02 WORK SEQUENCING

Before obliterating any traffic stripes, pavement markings, and pavement markers to be replaced at the same location, reference the stripes, markings, and markers. Include limits and transitions with control points to reestablish the new stripes, markings, and markers.

## 10-1.03 TIME CONSTRAINTS

Reserved

10-1.04-10-1.10 RESERVED

Replace "Reserved" in section 10-2.01 with:

10-2.01A General

**CALGREEN TIER 1** 

Reserved

10-2.01B-10-2.01H Reserved

Replace the heading of section 10-2.02 with:

Replace section 10-2.03 with:

07-20-12

10-2.03 LEED

10-2.03A-10-2.03H Reserved

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## 12 TEMPORARY TRAFFIC CONTROL

10-19-12

## Replace the 1st paragraph of section 12-3.01A(4) with:

10-19-12

Category 2 temporary traffic control devices must be on FHWA's list of acceptable, crashworthy Category 2 hardware for work zones. This list is available on FHWA's Safety Program Web site.

Replace "project" in the 4th paragraph of section 12-3.02C with:

10-19-12

work

Replace "project" in the 3rd paragraph of section 12-3.07C with:

10-19-12

work

## Add between the 7th and 8th paragraphs of section 12-4.03:

10-19-12

The contingency plan must identify the operations, equipment, processes, and materials that may fail and delay a reopening of a closure to traffic. List the additional or alternate equipment, materials, or workers necessary to ensure continuing operations and on-time opening of closures whenever a problem occurs. If the additional or alternate equipment, materials, or workers are not on site, specify their location, the method for mobilizing these items, and the required time to complete mobilization.

Based on the Engineer's review, additional materials, equipment, workers, or time to complete operations from that specified in the contingency plan may be required.

Provide a general time-scaled logic diagram displaying the major activities and sequence of planned operations that comply with the requirements of section 12-4.03. For each operation, identify the critical event when the contingency plan will be activated.

Submit any revisions to the contingency plan for an operation at least 3 business days before starting that operation. Do not close any lanes until the contingency plan has been authorized.

The 5th paragraph of section 5-1.23B(1) does not apply to reviewing contingency plans.

Replace section 12-7 with:

09-16-11

12-7 RESERVED

^^^^^

## 13 WATER POLLUTION CONTROL

10-19-12 **Add to section 13-1.01A:** 

01-20-12

Comply with the Department's general permit issued by the State Water Resources Control Board for Order No. 99-06-DWQ, NPDES No. CAS000003, National Pollutant Discharge Elimination System (NPDES) Permit, Statewide Storm Water Permit and Waste Discharge Requirements (WDRs) for the

State of California, Department of Transportation (Caltrans). The Department's general permit governs stormwater and nonstormwater discharges from the Department's properties, facilities, and activities. The Department's general permit may be viewed at the Web site for the State Water Resources Control Board, Storm Water Program, Caltrans General Permit.

## Add to the list in the 1st paragraph of section 13-1.01D(3)(b): 10-21-11 3. Have completed SWRCB approved QSD training and passed the QSD exam Add to the list in the 2nd paragraph of section 13-1.01D(3)(b): 10-21-11 3. Have completed SWRCB approved QSP training and passed the QSP exam Replace "working days" at each occurrence in section 13-3.04 with. 10-19-12 original working days Replace the paragraph in section 13-4.04 with: 04-20-12 Not Used 10-19-12 Delete "or stockpile" in the 3rd paragraph of section 13-5.02F. Replace section 13-5.03F with: 04-20-12 13-5.03F Reserved 10-19-12 Delete "or stockpile" in item 1 in the list in the 1st paragraph of section 13-5.03K. 10-19-12 Delete the 3rd paragraph of section 13-5.03K. Replace the 2nd sentence in the 1st paragraph of section 13-9.01A with: 10-19-12 You may use any of the following systems for temporary concrete washout: 1. Temporary concrete washout facility 2. Portable temporary concrete washout 3. Temporary concrete washout bin

O. d. ad No. 20 454004

10-19-12

Retain and submit an informational submittal for records of disposed concrete waste.

Replace the 2nd paragraph of section 13-9.01B with:

## Delete the 4th paragraph of section 13-9.01B.

10-19-12

Delete "if authorized" in the 1st sentence in the 1st paragraph of section 13-9.02A.

## Replace "at least 3-inch" in the 3rd sentence in the 1st paragraph of section 13-9.02A with:

10-19-12

6-inch

^^^^^^

## 15 EXISTING FACILITIES

01-18-13

## Replace the 4th paragraph of section 15-2.10B with:

01-18-13

Instead of using new materials similar in character to those in the existing structure, you may use raising devices to adjust a manhole to grade. Before starting paving work, measure and fabricate raising devices. Raising devices must:

- 1. Comply with the specifications for section 75 except that galvanizing is not required
- 2 Have a shape and size that matches the existing frame
- 3. Be match marked by painting identification numbers on the device and corresponding structure
- 4. Result in an installation that is equal to or better than the existing one in stability, support, and nonrocking characteristics
- 5. Be fastened securely to the existing frame without projections above the surface of the road or into the clear opening

## Replace the 1st paragraph of section 15-5.01C(1) with:

10-19-12

Before starting deck rehabilitation activities, complete the removal of any traffic stripes, pavement markings, and pavement markers.

## Replace the 2nd and 3rd paragraphs of section 15-5.01C(2) with:

10-19-12

Perform the following activities in the order listed:

- 1. Abrasive blast the deck surface with steel shot. Perform abrasive blasting after the removal of any unsound concrete and placement of any rapid setting concrete patches.
- 2. Sweep the deck surface.
- 3. Blow the deck surface clean using high-pressure air.

## Replace the 2nd paragraph of section 15-5.01C(4) with:

10-19-12

Before removing asphalt concrete surfacing, verify the depth of the surfacing at the supports and midspans of each structure (1) in each shoulder, (2) in the traveled way, and (3) at the roadway crown, if a crown is present.

## Replace the 2nd paragraph of section 15-5.03A(2) with:

10-19-12

For a contract with less than 60 original working days, submit certificates of compliance for the filler material and bonding agents.

#### Replace the 4th paragraph of section 15-5.03B with:

10-19-12

For a contract with less than 60 original working days, alternative materials must be authorized before use.

## Add between the 5th and 6th paragraphs of section 15-5.03C:

The final surface finish of the patched concrete surface must comply with section 51-1.03F.

10-19-12

10-19-12

Delete the 4th paragraph of section 15-5.05C.

. . .

Replace "51-1.03F(5)" in the 3rd paragraph of section 15-5.06C(1) with:

51-1.01D(4)

10-19-12

Replace "51-1.03E(5)" in the 5th paragraph of section 15-5.06C(1) with:

51-1.03F(5)

10-19-12

Delete the 9th paragraph of section 15-5.06C(1).

10-19-12

Add to section 15-5.06C(1):

Texture the polyester concrete surface before gelling occurs by longitudinal tining under 51-1.03F(5)(b)(iii), except do not perform initial texturing.

10-19-12

Replace the 1st paragraph in section 15-5.07B(4) with:

10-19-12

Payment for furnishing dowels is not included in the payment for core and pressure grout dowel.

10 10 12

Replace the heading of section 15-6.04 with:

**INVERT PAVING** 

01-18-13

^^^^^

# DIVISION III GRADING 19 EARTHWORK

01-18-13

## Replace the 2nd paragraph of section 19-3.01A(2)(b) with:

07-01-11

For cofferdams on or affecting railroad property, allow 85 days for review.

## Add to the list in the 1st paragraph of section 19-3.01A(2)(d):

01-20-12

9. Provisions for discontinuous rows of soil nails

#### Add to section 19-3.01A(3)(b):

01-20-12

For soil nail walls, wall zones are specified in the special provisions.

For ground anchor walls, a wall zone is the entire wall unless otherwise specified in the special provisions.

01-20-12

Delete the 2nd sentence in the 4th paragraph of section 19-3.01A(3)(b).

## Replace "90" in the paragraph of section 19-3.02G with:

01-18-13

90-1

## Replace the 1st paragraph of section 19-3.03E(3) with:

01-20-12

Compact structure backfill behind lagging of soldier pile walls by hand tamping, mechanical compaction, or other authorized means.

## Replace the 2nd paragraph of section 19-3.03F with:

01-20-12

Do not backfill over or place material over slurry cement backfill until 4 hours after placement. When concrete sand is used as aggregate and the in-place material is free draining, you may start backfilling as soon as the surface water is gone.

## Add between the 2nd and 3rd paragraphs of section 19-3.03K:

01-20-12

Before you excavate for the installation of ground anchors in a wall zone:

- 1. Complete stability testing
- 2. Obtain authorization of test data

## Replace the 2nd sentence of the 7th paragraph of section 19-3.03K:

01-20-12

Stop construction in unstable areas until remedial measures have been taken. Remedial measures must be submitted and authorized.

#### Add between the 8th and 9th paragraphs of section 19-3.03K:

01-20-12

When your excavation and installation methods result in a discontinuous wall along any soil nail row, the ends of the structurally completed wall section must extend beyond the ends of the next lower excavation lift by a distance equal to twice the lift height. Maintain temporary slopes at the ends of each wall section to ensure slope stability.

### Replace the 9th paragraph of section 19-3.03K:

01-20-12

Do not excavate to the next underlying excavation lift until the following conditions have been attained for the portion of the soil nail or ground anchor wall in the current excavation lift:

- 1. Soil nails or ground anchors are installed and grouted.
- 2. Reinforced shotcrete facing is constructed.

01-18-13

3. Grout and shotcrete have cured for at least 72 hours.

01-20-12

- 4. Specified tests are complete for that portion of wall and the results are authorized.
- 5. Soil nail facing anchorages are attached or ground anchors are locked off.

## Replace the 2nd sentence in the 7th paragraph of section 19-3.04 with:

01-18-13

Structure excavation more than 0.5 foot from the depth shown is paid for as a work-character change if you request an adjustment or the Engineer orders an adjustment.

## Replace "Contract completion time" in the 8th paragraph of section 19-6.03D with:

10-19-12

work completion date

#### Add to section 19:

01-18-13

19-10-19-20 RESERVED

^^^^^

## **20 LANDSCAPE**

10-19-12

10-19-12

Add "preparing holes," before "and" in the 1st paragraph of section 20-7.01A.

## Replace "and handling" in the 1st paragraph of section 20-7.03A with:

handling, and preparing holes

10-19-12

## Replace the 1st paragraph of section 20-7.03D with:

10-19-12

The location of all plants is as shown unless the Engineer designates otherwise. If the Engineer designates the location of plants, the location will be marked by stakes, flags, or other markers.

### Replace item 1 in the list in the 1st paragraph of section 20-7.03l with:

1. Preparing holes and planting plants

10-19-12

10-19-12

Delete "Prepare Hole," in the last paragraph of section 20-7.04.

^^^^^^

## 21 EROSION CONTROL

01-18-13

Replace ", bonded fiber matrix, and polymer-stabilized fiber matrix" in the 1st paragraph of section 21-1.01B with:

and bonded fiber matrix

04-20-12

Delete the last paragraph of section 21-1.02E.

04-20-12

Replace section 21-1.02F(2) with:

21-1.02F(2) Reserved

04-20-12

Replace section 21-1.02J with:

04-20-12

21-1.02J Reserved

## Replace the row for organic matter content in the table in the 4th paragraph of section 21-1.02M with:

			01-18-13
Organic matter	TMECC 05.07-A	30–100	
content	Loss-on-ignition organic matter method (LOI)		
	% dry weight basis		

## Replace the paragraph in section 21-1.02P with:

10-19-12

Fiber roll must be a premanufactured roll filled with rice or wheat straw, wood excelsior, or coconut fiber. Fiber roll must be covered with biodegradable jute, sisal, or coir fiber netting secured tightly at each end and must be one of the following:

- 1. 8 to 10 inches in diameter and at least 1.1 lb/ft
- 2. 10 to 12 inches in diameter and at least 3 lb/ft

Fiber roll must have a minimum functional longevity of 1 year.

## Add between the 1st and 2nd paragraphs of section 21-1.03A:

01-18-13

Remove and dispose of trash, debris, and weeds in areas to receive erosion control materials.

Remove and dispose of loose rocks larger than 2-1/2 inches in maximum dimension unless otherwise authorized.

Protect the traveled way, sidewalks, lined drainage channels, and existing vegetation from overspray of hydraulically-applied material.

## Replace section 21-1.03B with:

01-18-13

21-1.03B Reserved

Replace section 21-1.03I with:

04-20-12

21-1.03I Reserved

## Add between the 4th and 5th paragraphs of section 21-1.03P:

10-19-12

If soil conditions do not permit driving the stakes into the soil, drill pilot holes to facilitate driving of the stakes.

01-18-13

Delete the 1st and 2nd sentences of the 3rd paragraph in section 21-1.04.

# DIVISION IV SUBBASES AND BASES 29 TREATED PERMEABLE BASES

04-20-12

Replace "section 68-4.02C" in the 6th paragraph of section 29-1.03A with:

04-20-12

section 64-4.03

^^^^^

## Replace section 30 with:

04-20-12

#### 30 RECLAIMED PAVEMENTS

04-20-12 **30-1 GENERAL** 

#### **30-1.01 GENERAL**

Section 30 includes specifications for reclaiming the pavement section and constructing a base.

#### 30-2 FULL DEPTH RECLAIMED—FOAMED ASPHALT

Reserved

30-3-30-6 RESERVED

^^^^^^

# DIVISION V SURFACINGS AND PAVEMENTS 37 BITUMINOUS SEALS

01-18-13 **Replace section 37-1.01 with:** 

01-18-13

#### **37-1.01 GENERAL**

## 37-1.01A Summary

Section 37-1 includes general specifications for applying bituminous seals.

#### 37-1.01B Definitions

Reserved

#### 37-1.01C Submittals

Reserved

#### 37-1.01D Quality Control and Assurance

## 37-1.01D(1) General

Reserved

#### 37-1.01D(2) Prepaying Conference

For seal coats and micro-surfacing, schedule a prepaving conference at a mutually agreed upon time and place to meet with the Engineer.

Prepaving conference attendees must sign an attendance sheet provided by the Engineer. The prepaving conference must be attended by your:

- 1. Project superintendent
- 2. Paving construction foreman
- 3. Traffic control foreman

#### Be prepared to discuss:

- 1. Quality control
- 2. Acceptance testing
- 3. Placement
- 4. Training on placement methods
- 5. Checklist of items for proper placement
- 6. Unique issues specific to the project, including:
  - 6.1. Weather
  - 6.2. Alignment and geometrics

- 6.3. Traffic control issues
- 6.4. Haul distances
- 6.5. Presence and absence of shaded areas
- 6.6. Any other local issues

#### 37-1.02 MATERIALS

Not Used

#### 37-1.03 CONSTRUCTION

Not Used

#### **37-1.04 PAYMENT**

Not Used

## Replace "Reserved" in section 37-2.01D(1) with:

01-18-13

Aggregate suppliers, chip spreader operators, emulsion distributor, and for coated chips, the coated chips producer must attend the prepaving conference.

#### Add to section 37-2.03A:

04-20-12

If you fail to place the permanent traffic stripes and pavement markings within the specified time, the Department withholds 50 percent of the estimated value of the seal coat work completed that has not received permanent traffic stripes and pavement markings.

## Add to section 37-3.01D(1):

01-18-13

Micro-surfacing spreader operators must attend the prepaving conference.

## 

#### 39 HOT MIX ASPHALT

02-22-13 Add to section 39-1.01B:

02-22-13

processed RAP: RAP that has been fractionated.

substitution rate: Amount of RAP aggregate substituted for virgin aggregate in percent.

binder replacement: Amount of RAP binder in OBC in percent.

surface course: Upper 0.2 feet of HMA exclusive of OGFC.

#### Add to the end of the paragraph in section 39-1.02A:

10-19-12

as shown

#### Replace the paragraphs in section 39-1.02F with:

02-22-13

#### 39-1.02F(1) General

You may produce HMA Type A or B using RAP. HMA produced using RAP must comply with the specifications for HMA, except aggregate quality specifications do not apply to RAP. You may substitute RAP at a substitution rate not exceeding 25 percent of the aggregate blend. Do not use RAP in OGFC and RHMA-G.

Assign the substitution rate of RAP aggregate for virgin aggregate with the JMF submittal. The JMF must include the percent of RAP used.

Provide enough space for meeting RAP handling requirements at your facility. Provide a clean, graded, well-drained area for stockpiles. Prevent material contamination and segregation.

If RAP is from multiple sources, blend the RAP thoroughly and completely. RAP stockpiles must be homogeneous.

Isolate the processed RAP stockpiles from other materials. Store processed RAP in conical or longitudinal stockpiles. Processed RAP must not be agglomerated or be allowed to congeal in large stockpiles.

AASHTO T 324 (Modified) is AASHTO T 324, "Hamburg Wheel-Track Testing of Compacted Hot Mix Asphalt (HMA)," with the following parameters:

- 1. Target air voids must equal 7 ± 1 percent
- 2. Number of test specimens must be 4
- 3. Test specimen must be a 6-inch gyratory compacted specimen
- 4. Test temperature must be set at 140 ± 2 degrees F
- 5. Measurements for impression must be taken at every 100 passes
- 6. Inflection point defined as the number of wheel passes at the intersection of the creep slope and the stripping slope
- 7. Testing shut off must be set at 25,000 passes

## 39-1.02F(2) Substitution Rate of 15 Percent or Less

For a RAP substitution rate of 15 percent or less, you may stockpile RAP during the entire project.

#### 39-1.02F(3) Substitution Rate Greater than 15 Percent

For a RAP substitution rate greater than 15 percent, fractionate RAP into 2 sizes, a coarse fraction RAP retained on 1/4-inch screen and a fine fraction RAP passing 1/4-inch screen.

Sample and test processed RAP at a minimum frequency of 1 sample per 1000 tons with a minimum of 6 samples for each processed RAP stockpile. The asphalt binder content and specific gravity must meet the processed RAP quality characteristics. If a processed RAP stockpile is augmented, sample and test processed RAP quality characteristics at a minimum frequency of 1 sample per 500 tons of augmented RAP.

The processed RAP asphalt binder content must be within  $\pm$  2.0 percent of the average processed RAP stockpile asphalt binder content when tested under ASTM D 2172, Method B. If a new processed RAP stockpile is required, the average binder content of the new processed RAP stockpile must be within  $\pm$  2.0 percent of the average binder content of the original processed RAP stockpile.

The maximum specific gravity for processed RAP must be within ± 0.06 when tested under California Test 309 of the average maximum specific gravity reported on page 4 of your *Contractor Hot Mix Asphalt Design Data* form.

Replace "less than 10 percent" in note "b" in the table in the 5th paragraph of section 39-1.02E with:

01-20-12

10 percent or less

## Replace items 7 and 8 in the 5th paragraph of section 39-1.03A with:

02-22-13

- 7. Substitution rate by more than 5 percent if your assigned RAP substitution rate is 15 percent or less
- 8. Substitution rate by more than 3 percent if your assigned RAP substitution rate is greater than 15 percent
- 9. Average binder content by more than 2 percent from the average binder content of the original processed RAP stockpile used in the mix design
- 10. Maximum specific gravity of processed RAP by more than ±0.060 from the average maximum specific gravity of processed RAP reported on page 4 of your *Contractor Hot Mix Asphalt Design Data* form
- 11. Any material in the JMF

## Replace the 1st paragraph of section 39-1.03B with:

02-22-13

Perform a mix design that produces HMA with the values for the quality characteristics shown in the following table:

**HMA Mix Design Requirements** 

Quality characteristic	Test	•	HMA ty	/pe
	method	Α	В	RHMA-G
Air void content (%)	California	4.0	4.0	Section 39-1.03B
	Test 367			
Voids in mineral aggregate (% min.)	California			
No. 4 grading	Test 367	17.0	17.0	
3/8" grading		15.0	15.0	
1/2" grading		14.0	14.0	18.0–23.0
3/4" grading		13.0	13.0	18.0–23.0
Voids filled with asphalt (%)	California			Note a
No. 4 grading	Test 367	65.0–75.0	65.0-75.0	
3/8" grading		65.0–75.0	65.0–75.0	
1/2" grading		65.0–75.0	65.0-75.0	
3/4" grading		65.0-75.0	65.0-75.0	
Dust proportion	California			Note a
No. 4 and 3/8" gradings	Test 367	0.6–1.2	0.6–1.2	
1/2" and 3/4" gradings		0.6–1.2	0.6–1.2	
Stabilometer value (min.)	California			
No. 4 and 3/8" gradings	Test 366	30	30	
1/2" and 3/4" gradings		37	35	23

<sup>&</sup>lt;sup>a</sup> Report this value in the JMF submittal.

For RAP substitution rate greater than 15 percent, the mix design must comply with the additional quality characteristics shown in the following table:

## Additional HMA Mix Design Requirements for RAP Substitution Rate Greater Than 15 Percent

Quality characteristic	Test method		HMA type	
		Α	В	RHMA-G
Hamburg wheel track	AASHTO			
(minimum number of passes at 0.5	T 324			
inch average rut depth)	(Modified) <sup>a</sup>			
PG-58		10,000	10,000	
PG-64		15,000	15,000	
PG-70		20,000	20,000	
PG-76 or higher		25,000	25,000	
Hamburg wheel track	AASHTO			
(inflection point minimum number of	T 324			
passes) <sup>†</sup>	(Modified) <sup>a</sup>			
PG-58		10,000	10,000	
PG-64		10,000	10,000	
PG-70		12,500	12,500	
PG-76 or higher		15000	15000	
Moisture susceptibility	California	120	120	
(minimum dry strength, psi)	Test 371 <sup>a</sup>	120	120	
Moisture susceptibility	California	70	70	
(tensile strength ration, %)	Test 371 <sup>a</sup>	/ 0	/0	

<sup>&</sup>lt;sup>a</sup>Test plant produced HMA.

For HMA with RAP, the maximum binder replacement must be 25.0 percent of OBC for surface course and 40.0 percent of OBC for lower courses.

For HMA with a binder replacement less than or equal to 25 percent of OBC, you may request that the PG asphalt binder grade with upper and lower temperature classifications be reduced by 6 degrees C from the specified grade.

For HMA with a binder replacement greater than 25 percent but less than or equal to 40 percent of OBC, you must use a PG asphalt binder grade with upper and lower temperature classifications reduced by 6 degrees C from the specified grade.

## Replace item 4 in the list in the 1st paragraph of section 39-1.03C with:

4. JMF renewal on a Caltrans Job Mix Formula Renewal form, if applicable

01-20-12

## Add after the last paragraph of section 39-1.03C:

02-22-13

For RAP substitution rate greater than 15 percent, submit with the JMF submittal:

- 1. California Test 371 tensile strength ratio and minimum dry strength test results
- 2. AASHTO T 324 (Modified) test results

For RAP substitution rate greater than 15 percent, submit California Test 371 and AASHTO T 324 (Modified) test results to the Engineer and to:

Moisture\_Tests@dot.ca.gov

## Replace the 2nd paragraph of section 39-1.03E with:

04-20-12

Use the OBC specified on your *Contractor Hot Mix Asphalt Design Data* form. No adjustments to asphalt binder content are allowed. Based on your testing and production experience, you may submit an adjusted aggregate gradation TV on a *Contractor Job Mix Formula Proposal* form before verification testing. Aggregate gradation TV must be within the TV limits specified in the aggregate gradation tables.

## Add between the 3rd and 4th paragraphs of section 39-1.03E:

04-20-12

Asphalt binder set point for HMA must be the OBC specified on your *Contractor Hot Mix Asphalt Design Data* form. When RAP is used, asphalt binder set point for HMA must be:

Asphalt Binder Set Point = 
$$\frac{\frac{BC_{OBC}}{\left(1 - \frac{BC_{OBC}}{100}\right)} - R_{RAP}}{\left(1 - \frac{BC_{RAP}}{100}\right)} = \frac{BC_{RAP}}{\left(1 - \frac{BC_{OBC}}{100}\right)}$$

Where:

BC<sub>OBC</sub> = optimum asphalt binder content, percent based on total weight of mix

 $R_{RAP} = RAP$  ratio by weight of aggregate

BC<sub>RAP</sub> = asphalt binder content of RAP, percent based on total weight of RAP mix

## Replace item 4 in the list in the 8th paragraph of section 39-1.03E with:

04-20-12

- 4. HMA quality specified in the table titled "HMA Mix Design Requirements" except:
  - 4.1. Air void content, design value ±2.0 percent
  - 4.2. Voids filled with asphalt, report only
  - 4.3. Dust proportion, report only

#### Replace the 12th paragraph of section 39-1.03E with:

04-20-12

If tests on plant-produced samples do not verify the JMF, the Engineer notifies you and you must submit a new JMF or submit an adjusted JMF based on your testing. JMF adjustments may include a change in aggregate gradation TV within the TV limits specified in the aggregate gradation tables.

## Replace the 14th paragraph of section 39-1.03E with:

01-20-12

A verified JMF is valid for 12 months.

## Replace the last sentence in the 15th paragraph of section 39-1.03E with:

01-20-12

This deduction does not apply to verifications initiated by the Engineer or JMF renewal.

## Replace the 16th paragraph of section 39-1.03E with:

02-22-13

Except for RAP substitution rate greater than 15 percent, for any HMA produced under the QC/QA process the Department does not use California Test 371 test results for verification.

## Add between the 1st and 2nd paragraphs of section 39-1.03F:

04-20-12

Target asphalt binder content on your Contractor *Job Mix Formula Proposal* form and the OBC specified on your *Contractor Hot Mix Asphalt Design Data* form must be the same.

01-20-12

## Delete the 4th paragraph of section 39-1.03F.

#### Replace items 3 and 5 in the list in the 6th paragraph of section 39-1.03F with:

01-20-12

- 3. Engineer verifies each proposed JMF renewal within 20 days of receiving verification samples.
- 5. For each HMA type and aggregate gradation specified, the Engineer verifies at the Department's expense 1 proposed JMF renewal within a 12-month period.

### Add between the 6th and 7th paragraphs of section 39-1.03F:

01-20-12

The most recent aggregate quality test results within the past 12 months may be used for verification of JMF renewal or the Engineer may perform aggregate quality tests for verification of JMF renewal.

## Replace section 39-1.03G with:

04-20-12

#### 39-1.03G Job Mix Formula Modification

For an accepted JMF, you may change asphalt binder source one time during production.

Submit your modified JMF request a minimum of 3 business days before production. Each modified JMF submittal must consist of:

- 1. Proposed modified JMF on Contractor Job Mix Formula Proposal form
- Mix design records on Contractor Hot Mix Asphalt Design Data form for the accepted JMF to be modified
- 3. JMF verification on Hot Mix Asphalt Verification form for the accepted JMF to be modified
- 4. Quality characteristics test results for the modified JMF as specified in section 39-1.03B. Perform tests at the mix design OBC as shown on the *Contractor Asphalt Mix Design Data* form
- 5. If required, California Test 371 test results for the modified JMF.

With an accepted modified JMF submittal, the Engineer verifies each modified JMF within 5 business days of receiving all verification samples. If California Test 371 is required, the Engineer tests for California Test 371 within 10 days of receiving verification samples.

The Engineer verifies the modified JMF after the modified JMF HMA is placed on the project and verification samples are taken within the first 750 tons following sampling requirements in section 39-1.03E, "Job Mix Formula Verification." The Engineer tests verification samples for compliance with:

- 1. Stability as shown in the table titled "HMA Mix Design Requirements"
- 2. Air void content at design value ±2.0 percent
- 3. Voids in mineral aggregate as shown in the table titled "HMA Mix Design Requirements"
- 4. Voids filled with asphalt, report only

#### 5. Dust proportion, report only

If the modified JMF is verified, the Engineer revises your *Hot Mix Asphalt Verification* form to include the new asphalt binder source. Your revised form will have the same expiration date as the original form.

If a modified JMF is not verified, stop production and any HMA placed using the modified JMF is rejected.

The Engineer deducts \$2,000 from payments for each modified JMF verification. The Engineer deducts an additional \$2,000 for each modified JMF verification that requires California Test 371.

#### Add to section 39-1.03:

01-20-12

#### 39-1.03H Job Mix Formula Acceptance

You may start HMA production if:

- 1. The Engineer's review of the JMF shows compliance with the specifications.
- 2. The Department has verified the JMF within 12 months before HMA production.
- 3. The Engineer accepts the verified JMF.

## Replace "3 days" in the 1st paragraph of section 39-1.04A with:

3 business days

01-20-12

## Replace the 2nd sentence in the 2nd paragraph of section 39-1.04A with:

01-20-12

During production, take samples under California Test 125. You may sample HMA from:

## Replace the 2nd paragraph of section 39-1.04E with:

02-22-13

For RAP substitution rate of 15 percent or less, sample RAP once daily.

For RAP substitution rate of greater than 15percent, sample processed RAP twice daily.

Perform QC testing for processed RAP aggregate gradation under California Test 367, appendix B, and submit the results with the combined aggregate gradation.

## Replace "5 days" in the 1st paragraph of section 39-1.06 with:

01-20-12

5 business days

## Replace the 3rd paragraph of section 39-1.08A with:

04-20-12

During production, you may adjust hot or cold feed proportion controls for virgin aggregate and RAP.

#### Add to section 39-1.08A:

04-20-12

During production, asphalt binder set point for HMA Type A, HMA Type B, HMA Type C, and RHMA-G must be the OBC shown in *Contractor Hot Mix Asphalt Design Data* form. For OGFC, asphalt binder set

point must be the OBC shown on *Caltrans Hot Mix Asphalt Verification* form. If RAP is used, asphalt binder set point for HMA must be calculated as specified in section 39-1.03E.

02-22-13

For RAP substitution rate of 15 percent or less, you may adjust the RAP by ±5 percent.

For RAP substitution greater than 15, you may adjust the RAP by ±3 percent.

04-20-12

You must request adjustments to the plant asphalt binder set point based on new RAP stockpiles average asphalt binder content. Do not adjust the HMA plant asphalt binder set point until authorized.

#### Replace the 3rd paragraph of section 39-1.08B with:

09-16-11

Asphalt rubber binder must be from 375 to 425 degrees F when mixed with aggregate.

## Replace section 39-1.11 with:

01-18-13

#### 39-1.11 CONSTRUCTION

#### 39-1.11A General

Do not place HMA on wet pavement or a frozen surface.

You may deposit HMA in a windrow and load it in the paver if:

- 1. Paver is equipped with a hopper that automatically feeds the screed
- 2. Loading equipment can pick up the windrowed material and deposit it in the paver hopper without damaging base material
- 3. Activities for deposit, pickup, loading, and paving are continuous
- 4. HMA temperature in the windrow does not fall below 260 degrees F

You may place HMA in 1 or more layers on areas less than 5 feet wide and outside the traveled way, including shoulders. You may use mechanical equipment other than a paver for these areas. The equipment must produce uniform smoothness and texture.

HMA handled, spread, or windrowed must not stain the finished surface of any improvement, including pavement.

Do not use petroleum products such as kerosene or diesel fuel to release HMA from trucks, spreaders, or compactors.

HMA must be free of:

- Segregation
- 2. Coarse or fine aggregate pockets
- 3. Hardened lumps

## 39-1.11B Longitudinal Joints

## 39-1.11B(1) General

Longitudinal joints in the top layer must match specified lane edges. Alternate the longitudinal joint offsets in the lower layers at least 0.5 foot from each side of the specified lane edges. You may request other longitudinal joint placement patterns.

A vertical longitudinal joint of more than 0.15 ft is not allowed at any time between adjacent lanes open to traffic.

For HMA thickness of 0.15 ft or less, the distance between the ends of the adjacent surfaced lanes at the end of each day's work must not be greater than can be completed in the following day of normal paving.

For HMA thickness greater than 0.15 ft, you must place HMA on adjacent traveled way lanes so that at the end of each work shift the distance between the ends of HMA layers on adjacent lanes is from 5 to 10 feet. Place additional HMA along the transverse edge at each lane's end and along the exposed longitudinal edges between adjacent lanes. Hand rake and compact the additional HMA to form temporary conforms. You may place Kraft paper or another authorized bond breaker under the conform tapers to facilitate the taper removal when paving operations resume.

#### 39-1.11B(2) Tapered Notched Wedge

For divided highways with an HMA lift thickness greater than 0.15 foot, you may construct a 1-foot wide tapered notched wedge joint as a longitudinal joint between adjacent lanes open to traffic. A vertical notch of 0.75 inch maximum must be placed at the top and bottom of the tapered wedge.

The tapered notched wedge must retain its shape while exposed to traffic. Pave the adjacent lane within 1 day.

Construct the tapered portion of the tapered notched wedge with an authorized strike-off device. The strike-off device must provide a uniform slope and must not restrict the main screed of the paver.

You may use a device attached to the screed to construct longitudinal joints that will form a tapered notched wedge in a single pass. The tapered notched wedge must be compacted to a minimum of 91 percent compaction.

Perform QC testing on the completed tapered notch wedge joint as follows:

- 1. Perform field compaction tests at the rate of 1 test for each 750-foot section along the joint. Select random locations for testing within each 750-foot section.
- 2. Perform field compaction tests at the centerline of the joint, 6 inches from the upper vertical notch, after the adjacent lane is placed and before opening the pavement to traffic.
- 3. Determine maximum density test results.
- 4. Determine percent compaction of the longitudinal joint as the ratio of the average of the field compaction values and the maximum density test results.

For HMA under QC/QA construction process, the additional quality control compaction results associated with the tapered notch wedge will not be included in the computation of any quality factor and process control.

For acceptance of the completed tapered notch wedge joint, take two 4- or 6-inch diameter cores 6 inches from the upper vertical notch of the completed longitudinal joint for every 3,000 feet at locations designated by the Engineer. Take cores after the adjacent lane is placed and before opening the pavement to traffic. Cores must be taken in the presence of the Engineer and must be marked to identify the test sites. Submit the cores. One core will be used for determination of the field density and 1 core will be used for dispute resolution. The Engineer determines:

- Field compaction by measuring the bulk specific gravity of the cores under California Test 308, Method A
- 2. Percent compaction as the ratio of the average of the bulk specific gravity of the core for each day's production to the maximum density test value

For HMA under QC/QA construction process, the additional quality assurance testing by the Engineer to determine field compaction associated with the tapered notch wedge will not be included in the Engineer's verification testing and in the computation of any quality factor and process control.

Determine percent compaction values each day the joint is completed and submit values within 24 hours of testing. If the percent compaction of 1 day's production is less than 91 percent, that day's notched wedge joint is rejected. Discontinue placement of the tapered notched wedge and notify the Engineer of changes you will make to your construction process in order to meet the specifications.

For HMA under QC/QA construction process, quantities of HMA placed in the completed longitudinal joint will have a quality factor QF<sub>QC5</sub> of 1.0.

## 39-1.11C Widening Existing Pavement

If widening existing pavement, construct new pavement structure to match the elevation of the existing pavement's edge before placing HMA over the existing pavement.

#### 39-1.11D Shoulders, Medians, and Other Road Connections

Until the adjoining through lane's top layer has been paved, do not pave the top layer of:

- Shoulders
- 2. Tapers
- 3. Transitions
- 4. Road connections
- 5. Driveways
- 6. Curve widenings
- 7. Chain control lanes
- 8. Turnouts
- 9. Turn pockets

If the number of lanes changes, pave each through lane's top layer before paving a tapering lane's top layer. Simultaneous to paving a through lane's top layer, you may pave an adjoining area's top layer, including shoulders. Do not operate spreading equipment on any area's top layer until completing final compaction.

#### 39-1.11E Leveling

If leveling with HMA is specified, fill and level irregularities and ruts with HMA before spreading HMA over the base, existing surfaces, or bridge decks. You may use mechanical equipment other than a paver for these areas. The equipment must produce uniform smoothness and texture. HMA used to change an existing surface's cross slope or profile is not paid for as HMA (leveling).

If placing HMA against the edge of existing pavement, sawcut or grind the pavement straight and vertical along the joint and remove extraneous material.

## 39-1.11F Compaction

Rolling must leave the completed surface compacted and smooth without tearing, cracking, or shoving. Complete finish rolling activities before the pavement surface temperature is:

- 1. Below 150 degrees F for HMA with unmodified binder
- 2. Below 140 degrees F for HMA with modified binder
- 3. Below 200 degrees F for RHMA-G

If a vibratory roller is used as a finish roller, turn the vibrator off.

Do not use a pneumatic-tired roller to compact RHMA-G.

For Standard and QC/QA construction processes, if 3/4-inch aggregate grading is specified, you may use a 1/2-inch aggregate grading if the specified total paved thickness is at least 0.15 foot and less than 0.20 foot thick.

Spread and compact HMA under sections 39-3.03 and 39-3.04 if any of the following applies:

- 1. Specified paved thickness is less than 0.15 foot.
- 2. Specified paved thickness is less than 0.20 foot and 3/4-inch aggregate grading is specified and used.
- 3. You spread and compact at:
  - 3.1. Asphalt concrete surfacing replacement areas
  - 3.2. Leveling courses
  - 3.3. Areas for which the Engineer determines conventional compaction and compaction measurement methods are impeded

Do not open new HMA pavement to public traffic until its mid-depth temperature is below 160 degrees F.

If you request and if authorized, you may cool HMA Type A and Type B with water when rolling activities are complete. Apply water under section 17-3.

Spread sand at a rate from 1 to 2 lb/sq yd on new RHMA-G, RHMA-O, and RHMA-O-HB pavement when finish rolling is complete. Sand must be free of clay or organic matter. Sand must comply with section 90-1.02C(4)(c). Keep traffic off the pavement until spreading sand is complete.

## Replace the 5th and 6th paragraphs of section 39-1.12C with:

07-20-12

On tangents and horizontal curves with a centerline radius of curvature 2,000 feet or more, the Pl<sub>0</sub> must be at most 2.5 inches per 0.1-mile section.

On horizontal curves with a centerline radius of curvature between 1,000 feet and 2,000 feet including pavement within the superelevation transitions, the PI<sub>0</sub> must be at most 5 inches per 0.1-mile section.

#### Add to section 39-1.12:

01-20-12

#### 39-1.12E Reserved

#### Add to section 39-1.14:

01-20-12

Prepare the area to receive HMA for miscellaneous areas and dikes, including any excavation and backfill as needed.

## Replace "6.8" in item 3 in the list in the 4th paragraph of section 39-1.14 with:

04-20-12

6.4

## Replace "6.0" in item 3 in the list in the 4th paragraph of section 39-1.14 with:

04-20-12

5.7

#### Replace "6.8" in the 1st paragraph of section 39-1.15B with:

04-20-12

6.4

## Replace "6.0" in the 1st paragraph of section 39-1.15B with:

04-20-12

5.7

## Replace the 1st paragraph of section 39-2.02B with:

02-22-13

Perform sampling and testing at the specified frequency for the quality characteristics shown in the following table:

Minimum Quality Control—Standard Construction Process

	Minimum Quality Control—Standard Construction Process						
Quality	Test	Minimum	71				
characteristic	method	sampling					
		and testing	Α	В	RHMA-G	OGFC	
		frequency					
Aggregate	California	1 per 750	JMF $\pm$	JMF $\pm$	JMF ±	JMF ±	
gradation <sup>a</sup>	Test 202	tons and	Tolerance <sup>b</sup>	Tolerance <sup>b</sup>	Tolerance <sup>b</sup>	Tolerance <sup>b</sup>	
Sand equivalent	California	any	47	42	47		
(min) <sup>c</sup>	Test 217	remaining					
Asphalt binder	California	part at the	JMF±0.40	JMF±0.40	JMF ± 0.40	JMF ± 0.40	
content (%)	Test 379	end of the					
	or 382	project					
HMA moisture	California	1 per 2,500	1.0	1.0	1.0	1.0	
content (%, max)	Test 226	tons but					
	or 370	not less					
		than 1 per					
		paving day					
Field compaction	QC plan	2 per	91–97	91–97	91–97		
(% max.		business					
theoretical		day (min.)					
density) <sup>d,e</sup>		, ,					
Stabilometer	California	1 per 4,000					
value (min) <sup>c</sup>	Test 366	tons or 2					
No. 4 and 3/8"		per 5	30	30			
gradings		business					
1/2" and 3/4"		days,	37	35	23		
gradings		whichever					
Air void content	California	is greater	4 ± 2	4 ± 2	TV ± 2		
(%) <sup>c, f</sup>	Test 367						
Aggregate	California						
moisture content	Test 226						
at continuous	or 370						
mixing plants and		2 per day					
RAP moisture		during					
content at		production					
continuous mixing							
plants and batch							
mixing plants <sup>g</sup>							
Percent of	California						
crushed particles	Test 205						
coarse aggregate							
(%, min)							
One fractured			90	25		90	
face							
Two fractured			75		90	75	
faces		As					
Fine aggregate		designated					
(%, min)		in the QC					
(Passing no.		plan. At					
4 sieve and		least once					
retained on		per project					
no. 8 sieve.)			70	00	70	00	
One fractured			70	20	70	90	
face	Colifornia						
Los Angeles	California						
Rattler (%, max)	Test 211		10		10	10	
Loss at 100			12		12	12	
rev.							

	1		1 45		10	10
Loss at 500 rev.			45	50	40	40
Flat and elongated particles (%, max by weight @ 5:1)	California Test 235		Report only	Report only	Report only	Report only
Fine aggregate angularity (%, min) <sup>h</sup>	California Test 234		45	45	45	
Voids filled with asphalt (%) <sup>i</sup> No. 4 grading 3/8" grading 1/2" grading 3/4" grading	California Test 367		65.0–75.0 65.0–75.0 65.0–75.0 65.0–75.0	65.0–75.0 65.0–75.0 65.0–75.0 65.0–75.0	Report only	
Voids in mineral aggregate (% min) <sup>i</sup> No. 4 grading 3/8" grading 1/2" grading	California Test 367		17.0 15.0 14.0	17.0 15.0 14.0	  18.0–23.0	
3/4" grading  Dust proportion	California		13.0	13.0	18.0–23.0	
No. 4 and 3/8" gradings 1/2" and 3/4"	Test 367		0.6-1.2 0.6-1.2	0.6-1.2 0.6-1.2	Report only	
gradings	AASHTO					
Hamburg wheel track (minimum number of passes at 0.5 inch average rut depth) PG-58 PG-64 PG-70 PG-76 or higher	T 324 (Modified)	1 per 10,000 tons or 1 per project whichever is more	10,000 15,000 20,000 25,000	10,000 15,000 20,000 25,000		
Hamburg wheel track (inflection point minimum number of passes)  PG-58 PG-64 PG-70 PG-76 or higher	AASHTO T 324 (Modified)	1 per 10,000 tons or 1 per project whichever is more	10,000 10,000 12,500 15000	10,000 10,000 12,500 15000		
Moisture susceptibility (minimum dry strength, psi) <sup>j</sup>	California Test 371	For RAP ≥15% 1 per 10,000 tons or 1 per project whichever is greater	120	120		
Moisture susceptibility (tensile strength ration, %) <sup>j</sup>	California Test 371	For RAP ≥15% 1 per 10,000 tons or 1	70	70		

		per project whichever is greater				
Smoothness	Section 39-1.12		12-foot straight- edge, must grind, and PI <sub>0</sub>			
Asphalt rubber binder viscosity @ 375 °F, centipoises	Section 39-1.02D	Section 39-1.04C			1,500– 4,000	1,500– 4,000
Asphalt modifier	Section 39-1.02D	Section 39-1.04C			Section 39-1.02D	Section 39-1.02D
CRM	Section 39-1.02D	Section 39-1.04C			Section 39-1.02D	Section 39-1.02D

<sup>&</sup>lt;sup>a</sup> Determine combined aggregate gradation containing RAP under California Test 367.

- 1. 1/2-inch, 3/8-inch, or no. 4 aggregate grading is used and the specified total paved thickness is at least 0.15 foot.
- 2. 3/4-inch aggregate grading is used and the specified total paved thickness is at least 0.20 foot.
- <sup>e</sup> To determine field compaction use:
  - 1. In-place density measurements using the method specified in your QC plan.
  - 2. California Test 309 to determine the maximum theoretical density at the frequency specified in California Test 375, Part 5C.

b The tolerances must comply with the allowable tolerances in section 39-1.02E.

<sup>&</sup>lt;sup>c</sup> Report the average of 3 tests from a single split sample.

<sup>&</sup>lt;sup>d</sup> Determine field compaction for any of the following conditions:

<sup>&</sup>lt;sup>f</sup> Determine the bulk specific gravity of each lab-compacted briquette under California Test 308, Method A, and theoretical maximum specific gravity under California Test 309.

<sup>&</sup>lt;sup>9</sup> For adjusting the plant controller at the HMA plant.

<sup>&</sup>lt;sup>h</sup> The Engineer waives this specification if HMA contains 10 percent or less of nonmanufactured sand by weight of total aggregate. Manufactured sand is fine aggregate produced by crushing rock or gravel.

Report only.

Applies to RAP substitution rate greater than 15 percent.

## Replace the 1st paragraph of section 39-2.03A with:

02-22-13

The Department samples for acceptance testing and tests for the quality characteristics shown in the following table:

	HMA Acceptance—Standard Construction Process								
Qua	ality cha	racteris	stic	Test			A type		
				method	Α	В	RHMA-G	OGFC	
Agg	regate (	gradatio	on <sup>a</sup>	California	JMF ±	JMF ±	JMF ±	JMF ±	
Sieve	3/4"	1/2"	3/8"	Test 202	tolerance <sup>c</sup>	tolerance <sup>c</sup>	tolerance <sup>c</sup>	tolerance <sup>c</sup>	
1/2"	Χb			1					
3/8"		Χ							
No. 4			Х						
No. 8	Χ	Χ	Х						
No. 200	Х	Χ	Х						
Sand equ	uivalent	(min) <sup>o</sup>		California Test 217	47	42	47		
Asphalt b	oinder c	ontent	(%)	California Test 379 or 382	JMF±0.40	JMF±0.40	JMF ± 0.40	JMF ± 0.40	
HMA mo (%, max)		ontent		California Test 226 or 370	1.0	1.0	1.0	1.0	
Field con theoretic	npactioi al densi	n (% m itv) <sup>e, f</sup>	ax.	California Test 375	91–97	91–97	91–97		
Stabilom	eter val	ue (mir	າ) <sup>d,</sup>	California					
No. 4	4 and 3/ and 3/4	'8" grac	dings	Test 366	30 37	30 35	 23		
Air void o	content	(%) <sup>d, g</sup>	<u> </u>	California Test 367	4 ± 2	4 ± 2	TV ± 2		
Two Fine agg (Pas	nggrega fracture fracture regate ( sing no	te (%, i ed face ed face: (%, mir . 4 siev	min) s i) ee and	California Test 205	90 75	25 	 90	90 75	
One	ned on fracture	ed face	,		70	20	70	90	
Loss	at 100 at 500	rev. rev.	,	California Test 211	12 45	 50	12 40	12 40	
Fine agg	regate a	angular	rity (%,	California Test 234	45	45	45		
Flat and (%, max				California Test 235	Report only	Report only	Report only	Report only	
Voids fille No. 4 3/8" ( 1/2" (		asphal g		California Test 367	65.0–75.0 65.0–75.0 65.0–75.0 65.0–75.0	65.0–75.0 65.0–75.0 65.0–75.0 65.0–75.0	Report only		
Voids in (% min) 1 No. 4 3/8" (1/2" (1/2")	mineral 4 gradin grading grading grading	aggreç g	gate	California Test 367	17.0 15.0 14.0 13.0	17.0 15.0 14.0 13.0	  18.0–23.0 18.0–23.0 Report only		
Dust bio	μοιτιστί			Camornia			i neport only	<u></u>	

No. 4 and 3/8" gradings	Test 367	0.6-1.2	0.6-1.2		
1/2" and 3/4" gradings		0.6–1.2	0.6–1.2		
Hamburg wheel track	AASHTO				
(minimum number of passes at	T 324				
0.5 inch average rut depth) <sup>J</sup>	(Modified)				
PG-58		10,000	10,000		
PG-64		15,000	15,000		
PG-70		20,000	20,000		
PG-76 or higher		25,000	25,000		
Hamburg wheel track	AASHTO				
(inflection point minimum	T 324				
number of passes) <sup>j</sup>	(Modified)				
PG-58		10,000	10,000		
PG-64		10,000	10,000		
PG-70		12,500	12,500		
PG-76 or higher		15000	15000		
Moisture susceptibility	California	100	100		
(minimum dry strength, psi) <sup>j</sup>	Test 371	120	120		
Moisture susceptibility	California	70	70		
(tensile strength ration, %) <sup>j</sup>	Test 371	70	70		
Smoothness	Section	12-foot	12-foot	12-foot	12-foot
	39-1.12	straight-	straight-	straight-	straight-
		edge,	edge, must	edge, must	edge and
		must	grind, and	grind, and	must grind
		grind, and	$Pl_0$	$PI_0$	Ü
		$PI_0$	Ů	· ·	
Asphalt binder	Various	Section 92	Section 92	Section 92	Section 92
Asphalt rubber binder	Various			Section	Section
<u>'</u>				92-	92-1.01D(2)
				1.01D(2)	and section
				and section	39-1.02D
				39-1.02D	
Asphalt modifier	Various			Section	Section
				39-1.02D	39-1.02D
CRM	Various			Section	Section
				39-1.02D	39-1.02D

<sup>&</sup>lt;sup>a</sup> The Engineer determines combined aggregate gradations containing RAP under California Test 367.

- 1. California Test 308, Method A, to determine in-place density of each density core.
- 2. California Test 309 to determine the maximum theoretical density at the frequency specified in California Test 375, Part 5C.

<sup>&</sup>lt;sup>b</sup> "X" denotes the sieves the Engineer tests for the specified aggregate gradation.

<sup>&</sup>lt;sup>c</sup> The tolerances must comply with the allowable tolerances in section 39-1.02E.

<sup>&</sup>lt;sup>d</sup> The Engineer reports the average of 3 tests from a single split sample.

<sup>&</sup>lt;sup>e</sup> The Engineer determines field compaction for any of the following conditions:

<sup>1. 1/2-</sup>inch, 3/8-inch, or no. 4 aggregate grading is used and the specified total paved thickness is at least 0.15 foot.2. 3/4-inch aggregate grading is used and the specified total paved thickness is at least 0.20 foot.

<sup>&</sup>lt;sup>f</sup> To determine field compaction, the Engineer uses:

<sup>&</sup>lt;sup>9</sup>The Engineer determines the bulk specific gravity of each lab-compacted briquette under California Test 308, Method A, and theoretical maximum specific gravity under California Test 309.

<sup>&</sup>lt;sup>h</sup> The Engineer waives this specification if HMA contains 10 percent or less of nonmanufactured sand by weight of total aggregate. Manufactured sand is fine aggregate produced by crushing rock or gravel.

Report only.

Applies to RAP substitution rate greater than 15 percent.

## Replace the 5th paragraph of section 39-2.03A with:

01-20-12

The Engineer determines the percent of maximum theoretical density from density cores taken from the final layer measured the full depth of the total paved HMA thickness if any of the following applies:

- 1. 1/2-inch, 3/8-inch, or no. 4 aggregate grading is used and the specified total paved thickness is at least 0.15 foot and any layer is less than 0.15 foot.
- 2. 3/4-inch aggregate grading is used and the specified total paved thickness is at least 0.2 foot and any layer is less than 0.20 foot.

## Replace the 1st paragraph of section 39-3.02A with:

02-22-13

The Department samples for acceptance testing and tests for the quality characteristics shown in the following table:

**HMA Acceptance—Method Construction Process** 

				OGFC				
	JMF ± [	JMF ± [	JMF ± [	JMF ± [				
	tolerance <sup>o</sup>	tolerance <sup>D</sup>	tolerance <sup>D</sup>	tolerance <sup>b</sup>				
	47	42	47					
	JMF±0.40	JMF±0.40	JMF ± 0.40	JMF ± 0.40				
	1.0	1.0	1.0	1.0				
Test 366								
0 111	37	35	23					
Test 205	00	0.5		00				
				90				
	75		90	75				
	70	20	70	90				
California	70	20	70	30				
	12		12	12				
1631211		50		40				
California								
Test 367	4 ± 2	4 ± 2	IV ± 2					
California	4E	4E	4E					
Test 234	45	45	45					
California	Report	Roport only	Roport only	Report only				
Test 235	only	neport only	neport only	neport only				
Test 367								
			Report only					
			Troport orny					
0 "' '	65.0-/5.0	65.0-/5.0						
1 est 367	17.0	17.0						
			10 0 00 0					
California	13.0	13.0	10.0-23.0					
	06.12	06.12	Roport only	_				
1631307			i report offiny					
ΔΔΩΗΤΩ	0.0-1.2	0.0-1.2						
(Wickinga)	40.000	40.000						
	10,000	10,000						
	Test method California Test 202 California Test 217 California Test 379 or 382 California Test 226 or 370 California Test 366 California Test 205  California Test 205  California Test 205	Test method A California Test 202 California Test 217 California Test 379 or 382 California Test 226 or 370 California Test 205 California Test 205 California Test 205 California Test 211 California Test 211 California Test 367	Test method A B  California Test 202 tolerance toleranc	method         A         B         RHMA-G           California Test 202         JMF ± tolerance b         JMF ± tolerance b tolerance b tolerance b tolerance b tolerance b           California Test 217         JMF±0.40         JMF±0.40         JMF±0.40           Test 379 or 382         JMF±0.40         JMF±0.40         JMF±0.40           California Test 226 or 370         1.0         1.0         1.0           California Test 366         30         30            California Test 205         90         25            70         20         70           California Test 367         4±2         4±2         TV±2           California Test 234         45         45         45           California Test 235         Report only         Report only         Report only           California Test 367         Report only         Report only         Report only           California Test 367         17.0             California Test 367         17.0         17.0            California Test 367         15.0             California Test 367         15.0				

PG-70		20,000	20,000		
PG-76 or higher		25,000	25,000		
Hamburg wheel track	AASHTO				
(inflection point minimum	T 324				
number of passes) <sup>g</sup>	(Modified)				
PG-58		10,000	10,000		
PG-64		10,000	10,000		
PG-70		12,500	12,500		
PG-76 or higher		15000	15000		
Moisture susceptibility	California	120	120		
(minimum dry strength, psi) <sup>9</sup>	Test 371	120	120		
Moisture susceptibility	California	70	70		
(tensile strength ration, %) <sup>9</sup>	Test 371	70	70		
Smoothness	Section	12-foot	12-foot	12-foot	12-foot
	39-1.12	straight-	straight-	straight-	straight-
		edge and	edge and	edge and	edge and
		must-grind	must-grind	must-grind	must-grind
Asphalt binder	Various	Section 92	Section 92	Section 92	Section 92
Asphalt rubber binder	Various			Section	Section
				92-	92-
				1.01D(2)	1.01D(2)
				and section	and section
				39-1.02D	39-1.02D
Asphalt modifier	Various			Section	Section
				39-1.02D	39-1.02D
CRM	Various			Section	Section
				39-1.02D	39-1.02D

<sup>&</sup>lt;sup>a</sup> The Engineer determines combined aggregate gradations containing RAP under California Test 367.

## Replace "280 degrees F" in item 2 in the list in the 6th paragraph of section 39-3.04 with:

01-20-12

285 degrees F

## Replace "5,000" in the 5th paragraph of section 39-4.02C with:

02-22-13

10,000

### Replace the 7th paragraph of section 39-4.02C with:

02-22-13

Except for RAP substitution rate of greater than 15 percent, the Department does not use results from California Test 371 to determine specification compliance.

<sup>&</sup>lt;sup>b</sup> The tolerances must comply with the allowable tolerances in section 39-1.02E.

<sup>&</sup>lt;sup>c</sup> The Engineer reports the average of 3 tests from a single split sample.

<sup>&</sup>lt;sup>d</sup> The Engineer determines the bulk specific gravity of each lab-compacted briquette under California Test 308, Method A, and theoretical maximum specific gravity under California Test 309.

<sup>&</sup>lt;sup>e</sup> The Engineer waives this specification if HMA contains 10 percent or less of nonmanufactured sand by weight of total aggregate. Manufactured sand is fine aggregate produced by crushing rock or gravel.

Report only.

<sup>&</sup>lt;sup>9</sup> Applies to RAP substitution rate greater than 15 percent.

## Replace the 8th paragraph of section 39-4.02C with:

02-22-13

Comply with the values for the HMA quality characteristics and minimum random sampling and testing for quality control shown in the following table:

Minimum Quality Control—QC/QA Construction Process

	Minimum Quality Control—QC/QA Construction Process								
Quality	Test	Minimum		HMA Type		Location	Maxi-		
characteristic	method	sampling				of	mum		
		and	Δ.		DUMA	sampling	report		
		testing frequency	Α	В	RHMA-G		-ing time		
		rrequericy					allow-		
							ance		
Aggregate	California		JMF ±	JMF ±	JMF ±	California	arioo		
gradation	Test 202		tolerance b	tolerance b	tolerance b	Test 125			
9			JMF±0.40	JMF±0.40	JMF ±0.40	Loose			
						mix			
Asphalt	California					behind			
binder	Test 379					paver			
content (%)	or 382	1 per 750				See	. 24		
		tons				California	hours		
Field						Test 125			
compaction									
(% max.	QC plan		92–96	92–96	91–96	QC plan			
theoretical									
density) <sup>c,d</sup>									
Aggregate									
moisture									
content at									
continuous									
mixing plants and RAP	California	2 per day				Stock-			
moisture	Test 226	during				piles or			
content at	or 370	production				cold feed			
continuous						belts			
mixing plants									
and batch									
mixing									
plants <sup>e</sup>									
Sand equivalent	California	1 per 750	47	42	47	California	24		
(min) <sup>f</sup>	Test 217	tons	47	42	47	Test 125	hours		
(11111)		1 per							
LINAA		2,500 tons							
HMA moisture	California	but					24		
content	Test 226	not less	1.0	1.0	1.0		24 hours		
(%,max)	or 370	than 1 per				Loose	110015		
(70,1110A)		paving				Mix			
Ctobile		day				Behind			
Stabilometer		1 000				Paver			
value (min) <sup>t</sup>		1 per 4,000 tons				See			
No. 4 and	California	or 2 per 5	30	30		California			
3/8" gradings	Test 366	business				Test 125	. 48		
1/2" and 3/4"		days,	37	35	23		hours		
gradings		whichever							
Air void	California	is greater	4 ± 2	4 ± 2	TV ± 2				
content (%) <sup>f,g</sup>	Test 367		, <u></u>						

Davisant of			I				
Percent of crushed							
particles							
coarse							
aggregate							
(% min.):							
One							
fractured			00	05			
face			90	25			
Two							
fractured	California		75		00	California	
faces	Test 205		75		90	Test 125	
Fine							
aggregate							
(% min)							
(Passing no.							
4 sieve and							
retained on							
no. 8 sieve):							
One							
fractured			70	20	70		
face							
Los Angeles Rattler (%							
max):							
Loss at 100	California	As desig-	12		12	California	
rev.	Test 211	nated in	12		12	Test 125	
Loss at 500		QC plan.	45	50	40		
rev.		QO pian.	10	00	40		48
Fine		At least					hours
aggregate	California	once per	45	45	45	California	
angularity	Test 234	project.	45	45	45	Test 125	
(% min) h							
Flat and							
elongated							
particle	California		Report	Report	Report	California	
(% max by	Test 235		only	only	only	Test 125	
weight @							
5:1)	0 111						
Voids filled	California						
with asphalt	Test 367						
(%)							
No. 4 grading					Report		
3/8" grading			65.0–75.0	65.0–75.0	only		
1/2" grading			65.0-75.0	65.0-75.0			
3/4" grading			65.0-75.0	65.0-75.0			
			65.0-75.0	65.0 <del>-</del> 75.0			
Voids in	California						
mineral	Test 367						
aggregate							
(% min.) <sup>i</sup>							
No. 4 grading							
3/8" grading			17.0	17.0			
1/2" grading			15.0	15.0			
3/4" grading			14.0	14.0	18.0–23.0		
			13.0	13.0	18.0–23.0		

No. 4 and 3/8" gradings   No. 5 and 3/4" gradings   No. 6 and 3/4" g		0 !!( '	I	T		T	ı	
No. 4 and 3/8" gradings   1/2" and 3/4"   1/2"	Dust	California						
No. 4 and 3/8" gradings   1/2" and 3/4" gradings   1/2" and 3/4"   1 per   10,000   10,000   15,000   15,000   20,000	proportion	Test 367						
No. 4 and 3/8" gradings   1/2" and 3/4" gradings   1/2" and 3/4"   1 per   10,000   10,000   15,000   15,000   20,000	No 4 and					Report		
1/2" and 3/4" gradings				0610	0610			
Section   Sect				0.0-1.2	0.0-1.2	-		
Hamburg wheel track (minimum number of passes at 0.5 inch average rut depth)				0610	0610			
wheel track (minimum number of passes at 0.5 inch average rut depth) <sup>1</sup> PG-58 PG-64 PG-70 PG-76 or higher         T 324 (biglier         1 per project whichever is greater         10,000 15,000 20,000         10,000 20,000         10,000 20,000         15,000 20,000         15,000 20,000         10,000 20,000         10,000 20,0		AACUTO		0.0-1.2	0.6-1.2			
(minimum number of passes at 0.5 inch average rut depth) <sup>1</sup> 10,000 tons or 1 per project whichever is greater         10,000 10,000 15,000 20,			1 22					
number of passes at 0.5 inch average rut depth) <sup>ll</sup> tons or 1 per project whichever is greater         10,000 10,000 15,000 20,0								
Passes at 0.5   cha warage whichever is greater   10,000   10,000   15,000   20,00		(Modified)	•					
Inch average rut depth								
rut depth)	•							
PG-58								
PG-64			is greater	10.000	10 000			
PG-76 or higher   AASHTO   T 324   1 per   10,000   10,								
PG-76 or higher								
Hamburg   AASHTO   T 324   1 per   10,000   tons or 1   per project   whichever   is greater   10,000   10,000   12,50				20,000	20,000			
Hamburg   Machton   T 324				25.000	25.000			
wheel track (inflection point minimum number of passes) <sup>1</sup> T 324 (Modified)         1 per 10,000 tons or 1 per project whichever is greater         1 per 10,000 10,000 12,500         1 per 10,000 10,000 12,500         1 per 10,000 12,500         1 per 10,000 12,500         1 per 10,000 12,500         1 per 10,000 12,500         1 per 10,000 10,000 10,000         1 per 10,000 10,000         1 per 10,000         1 per 10,000<		AASHTO						
(inflection point minimum number of passes) <sup>1</sup> (Modified)         10,000 tons or 1 per project whichever is greater         10,000 10,000 PG-76 PG-76 or higher         10,000 10,000 PG-76 or higher         10,000 12,500 PG-76 or higher         15000 15000         15000 <td></td> <td></td> <td>1 per</td> <td></td> <td></td> <td></td> <td></td> <td></td>			1 per					
Doint   Ininimum   Inimimum   I								
Minimum number of passes)		(**************************************						
Number of passes)								
PG-58								
PG-58	passes) <sup>j</sup>		is greater					
PG-76 or higher				10,000	10,000			
Moisture susceptibility (minmum dry strength, psi)    Moisture susceptibility (minmum dry strength, psi)    Moisture susceptibility (tensile strength ratio, %)    Smoothness    Smoothness    Asphalt    Moisture susceptibility (minmum dry strength, psi)    Moisture susceptibility (tensile strength ratio, %)    Section 39-1.12    Asphalt    Asphalt    California 1 per 120 120	PG-64			10,000	10,000			
Moisture susceptibility (minimum dry strength, psi) j	PG-70			12,500	12,500			
Moisture susceptibility (minimum dry strength, psi) i	PG-76 or							
susceptibility (minimum dry strength, psi)				15000	15000			
(minimum dry strength, psi) j10,000 tons or 1 per project whichever is greater120120Moisture susceptibility (tensile strength ratio, %) jCalifornia Test 3711 per 10,000 tons or 1 per project whichever is greater707070SmoothnessSection 39-1.1212-foot straight- edge, must- grind, and Pl012-foot straight- edge, must- grind, and Pl012-foot straight- edge, must- grind, and Pl012-foot straight- edge, must- grind, and Pl0								
dry strength, psi) i tons or 1 per project whichever is greater  Moisture susceptibility (tensile strength ratio, %) i Section 39-1.12  Asphalt  Asphalt  Tons or 1 per project whichever is greater  120  120  120   120  120   120  120		Test 371						
moisture susceptibility (tensile strength ratio, %) <sup>j</sup> Smoothness  Section 39-1.12  Asphalt  per project whichever is greater    Der project whichever is greater   Too to tons or 1 per project whichever is greater   Too to tons or 1 per project whichever is greater   Too to tons or 1 per project whichever is greater   Too to tons or 1 per project whichever is greater   Too to tons or 1 per project whichever is greater   Too to tons or 1 per project whichever is greater   Too to tons or 1 per project whichever is greater   Too to tons or 1 per project whichever is greater   Too to tons or 1 per project whichever is greater   Too to tons or 1 per project whichever is greater   Too tons or 1 per project whichever is greater   Too tons or 1 per project whichever is greater   Too tons or 1 per project whichever is greater   Too tons or 1 per project whichever is greater   Too tons or 1 per project whichever is greater   Too tons or 1 per project whichever is greater   Too tons or 1 per project whichever is greater   Too tons or 1 per project whichever is greater   Too tons or 1 per project whichever is greater   Too tons or 1 per project whichever is greater   Too tons or 1 per project whichever is greater   Too tons or 1 per project whichever is greater   Too tons or 1 per project whichever is greater   Too tons or 1 per project whichever is greater   Too tons or 1 per project whichever is greater   Too tons or 1 per project whichever   Too tons or 1 per project whichever   Too tons or 1 per project   Too tons or 1 per			•					
Moisture susceptibility (tensile strength ratio, %) <sup>j</sup> Smoothness  Section 39-1.12  Asphalt  Moisture is greater  Whichever is greater  1 per project whichever is greater  1 2-foot straight-edge, must-grind, and Pl <sub>0</sub> Pl <sub>0</sub> Pl <sub>0</sub> Moisture sig greater  1 per project whichever is greater  70  70  70  70  70  70  70  70  70  7				120	120			
Moisture susceptibility (tensile strength ratio, %) <sup>j</sup> Smoothness  Section 39-1.12  Asphalt  Solution is greater  California 1 per 10,000 tons or 1 per project whichever is greater  To 70 70 70 70 70 70 70 70 70 70 70 70 70	psi) <sup>1</sup>							
Moisture susceptibility (tensile strength ratio, %) <sup>j</sup>								
susceptibility (tensile strength ratio, %) <sup>j</sup> Smoothness  Soction Section 39-1.12  Asphalt  Test 371  10,000 tons or 1 per project whichever is greater  70  70  70  70  70  70  70  70  70  7								
tons or 1 per project whichever is greater  Smoothness  Section 39-1.12  Asphalt  Strength per project whichever is greater  Smoothness  Ton 70 70  Ton Ton Yell Straight								
strength ratio, %) <sup>j</sup> per project whichever is greater  Smoothness  Section 39-1.12  Asphalt		Test 3/1	•					
strength ratio, %) <sup>j</sup> per project whichever is greater  Smoothness  Section Section 39-1.12  Asphalt  Service Project whichever is greater  12-foot straight-straight				70	70	70		
Smoothness   12-foot   12-foot   12-foot   straight-   edge,   edge,   must-   grind, and   Pl <sub>0</sub>   Pl <sub>0</sub>   Pl <sub>0</sub>   Asphalt								
Smoothness         12-foot straight-straight-edge, must-grind, and Pl <sub>0</sub> 12-foot straight-straight-edge, edge, must-grind, and Pl <sub>0</sub>	ratio, %)							
Section 39-1.12   straight- edge, must- grind, and Pl <sub>0</sub> Pl <sub>0</sub> Straight- straight- edge, must- must- grind, and pl <sub>0</sub> Pl <sub>0</sub> Pl <sub>0</sub> Asphalt	Cmoothness		is greater	10 foot	10 foot	10 foot		
Section - edge, edge, must- must- must- grind, and grind, and Pl <sub>0</sub> Pl <sub>0</sub> Pl <sub>0</sub> Asphalt	SITIOOUTHESS							
39-1.12 must- must- must- grind, and grind, and PI <sub>0</sub> PI <sub>0</sub> PI <sub>0</sub>		Section		_		•		
grind, and grind, and grind, and PI <sub>0</sub> PI <sub>0</sub> PI <sub>0</sub> Asphalt								
Asphalt PI <sub>0</sub> PI <sub>0</sub> PI <sub>0</sub>		39-1.12						
Asphalt								
	Asphalt			1 10	1 10	1 10		
rubber binder	rubber binder							
viscosity @   Section     1,500-   Section   24								
375 °F, 39-1.02D 4,000 39-1.02D hours		39-1.02D				4,000	39-1.02D	hours
centipoises								
CRM Section Section Section 48		Section				Section	Section	48
39-1.02D 39-1.02D hours								

<sup>b</sup> The tolerances must comply with the allowable tolerances in section 39-1.02E.

- 1. In-place density measurements using the method specified in your QC plan.
- 2. California Test 309 to determine the maximum theoretical density at the frequency specified in California Test 375, Part 5C.

#### Replace the 1st sentence in the 1st paragraph of section 39-4.03B(2) with:

01-20-12

For aggregate gradation and asphalt binder content, the minimum ratio of verification testing frequency to quality control testing frequency is 1:5.

Replace the 2nd "and" in the 7th paragraph of section 39-4.03B(2) with:

01-20-12

or

<sup>&</sup>lt;sup>a</sup> Determine combined aggregate gradation containing RAP under California Test 367.

<sup>&</sup>lt;sup>c</sup> Determines field compaction for any of the following conditions:

<sup>1. 1/2-</sup>inch, 3/8-inch, or no. 4 aggregate grading is used and the specified total paved thickness 3/4-inch aggregate grading is used and the specified total paved is at least 0.15 foot.2. thickness is at least 0.20 foot.

<sup>&</sup>lt;sup>d</sup> To determine field compaction use:

<sup>&</sup>lt;sup>e</sup> For adjusting the plant controller at the HMA plant.

Report the average of 3 tests from a single split sample.

<sup>&</sup>lt;sup>9</sup> Determine the bulk specific gravity of each lab-compacted briquette under California Test 308. Method A, and theoretical maximum specific gravity under California Test 309.

<sup>&</sup>lt;sup>h</sup> The Engineer waives this specification if HMA contains 10 percent or less of nonmanufactured sand by weight of total aggregate. Manufactured sand is fine aggregate produced by crushing rock or gravel.

Report only.

Applies to RAP substitution rate greater than 15 percent.

#### Replace the 1st paragraph of section 39-4.04A with:

02-22-13

The Engineer samples for acceptance testing and tests for the following quality characteristics:

HMA Acceptance—QC/QA Construction Process

						C/QA Constr	uction Proce		
Index	Qua	ality cha	aracteri	stic	Weight	Test		HMA type	
(i)					-ing	method			
					factor		Α	В	RHMA-G
					(w)				
	Aggregate								
		g	radatio	n <sup>a</sup>					
	Sieve	3/4"	1/2"	3/8"					
1	1/2"	Χb			0.05	California		MF ± Tolerand	C C
1	3/8"		Х		0.05	Test 202	3	ivii ± roleiand	.6
1	No. 4			Χ	0.05				
2	No. 8	Χ	Χ	Χ	0.10				
3	No.	Х	Х	Х	0.15				
	200								
4	Asphal	t binder	conter	nt (%)	0.30	California	JMF±0.40	JMF±0.40	JMF ± 0.40
						Test 379			
						or 382			
5	Field co			max.	0.40	California	92–96	92–96	91–96
	theoret			e		Test 375			
	Sand e	quivale	nt (min	) †		California	47	42	47
						Test 217			
	Stabilo	meter v	alue (n	nin) <sup>†</sup>		California			
		. 4 and				Test 366	30	30	
	1/2" and 3/4" gradings Air void content (%) <sup>1, g</sup>				37	35	23		
				California	4 ± 2	4 ± 2	TV ± 2		
					Test 367				
				articles		California			
	coarse					Test 205			
		e fractu					90	25	
		o fractu					75		90
	Fine ag								
		assing r							
		d retain	ed on N	No. 8					
		ve.)							70
		e fractu					70	20	70
	HMA m		conter	nt		California	1.0	1.0	1.0
	(%, ma	X)				Test 226			
<del></del>	1.55 4	ada: F	) a #1 a == /-	0/		or 370			
1	Los An	geies F	ıaπıer (	%		California			
	max)	00 0t 10	10 rcv			Test 211	10		10
		s at 10					12 45	50	12 40
		ss at 50		larity		California	45 45	45	45
	Fine ag		<del>c</del> angul	any		Test 234	45	45	40
<del>                                     </del>	(% min		atod sa	article		California	Report	Report only	Report only
		c by we				Test 235	only	i report only	ineport only
		n miner				California	Offig		
1	(% min		aı ayyı	eyale		Test 367			
		<i>)</i> . 4 grac	lina			1681307	17.0	17.0	
1		. 4 gradir " gradir	_				15.0	15.0	18.0–23.0
1		" gradir					14.0	14.0	18.0–23.0
		" gradir					13.0	13.0	10.0 20.0
	5	grauli	'9				10.0	10.0	

Voids filled with asphalt (%)	California Test 367			
No. 4 grading	1001007	65.0–75.0	65.0-75.0	Report only
3/8" grading		65.0-75.0	65.0-75.0	
1/2" grading 3/4" grading		65.0–75.0 65.0–75.0	65.0–75.0 65.0–75.0	
Dust proportion	California	03.0-73.0	05.0-75.0	
No. 4 and 3/8" gradings	Test 367	0.6–1.2	0.6–1.2	Report only
1/2" and 3/4" gradings		0.6-1.2	0.6-1.2	, ,
Hamburg Wheel Tracker	AASHTO			
(minimum number of	T 324			
passes at 0.5 inch average rut depth) j	(Modified)			
PG-58		10,000	10,000	
PG-64		15,000	15,000	
PG-70		20,000	20,000	
PG-76 or higher		25,000	25,000	
Hamburg Wheel Tracker	AASHTO			
(inflection point minimum number of passes) <sup>j</sup>	T 324 (Modified)			
PG-58	(Modified)	10,000	10,000	
PG-64		15,000	15,000	
PG-70		20,000	20,000	
PG-76 or higher		25,000	25,000	
Moisture susceptibility	California	120	120	
(minimum dry strength, psi)  Moisture susceptibility	Test 371 California			
(tensile strength ratio %)	Test 371	70	70	70
Smoothness	Section	12-foot	12-foot	12-foot
	39-1.12	straight-	straight-	straight-
		edge,	edge, must	edge, must
		must	grind, and	grind, and
		grind, and	PI <sub>0</sub>	PI <sub>0</sub>
Asphalt binder	Various	PI <sub>0</sub> Section 92	Section 92	Section 92
/ Aprian billion	Various	30000011 32	5000011 52	Section
Applet who are binder	Mariana			92-1.01D(2)
Asphalt rubber binder	Various			and section
				39-1.02D
Asphalt modifier	Various			Section
				39-1.02D Section
CRM	Various			39-1.02D

- 1. California Test 308, Method A, to determine in-place density of each density core.
- 2. California Test 309 to determine the maximum theoretical density at the frequency specified in California Test 375. Part 5C.

#### Replace the 3rd paragraph of section 39-4.04A with:

01-20-12

The Department determines the percent of maximum theoretical density from density cores taken from the final layer measured the full depth of the total paved HMA thickness if any of the following applies:

- 1. 1/2-inch, 3/8-inch, or no. 4 aggregate grading is used and the specified total paved thickness is at least 0.15 foot and any lager is less than 0.15 foot.
- 2. 3/4-inch aggregate grading is used and the specified total paved thickness is at least 0.20 and any layer is less than 0.20 foot.

#### ^^^^^

#### **40 CONCRETE PAVEMENT**

01-20-12 **Replace section 40-1.01C(4) with:** 

01-20-12

#### 40-1.01C(4) Authorized Laboratory

Submit for authorization the name of the laboratory you propose to use for testing the drilled core specimens for air content.

#### Replace the paragraph in section 40-1.01C(8) with:

01-20-12

Submit a plan for protecting concrete pavement during the initial 72 hours after paving when the forecasted minimum ambient temperature is below 40 degrees F.

01-20-12

Delete "determined under California Test 559" in section 40-1.01C(9).

<sup>&</sup>lt;sup>a</sup> The Engineer determines combined aggregate gradations containing RAP under California Test 367.

b "X" denotes the sieves the Engineer tests for the specified aggregate gradation.

<sup>&</sup>lt;sup>c</sup> The tolerances must comply with the allowable tolerances in section 39-1.02E.

<sup>&</sup>lt;sup>d</sup> The Engineer determines field compaction for any of the following conditions:

<sup>1. 1/2-</sup>inch, 3/8-inch, or no. 4 aggregate grading is used and the specified total paved thickness is at least 0.15 foot and less than 0.20 foot. 3/4-inch aggregate grading is used and the specified total paved thickness is at least 0.20 foot.

<sup>&</sup>lt;sup>e</sup> To determine field compaction, the Engineer uses:

<sup>&</sup>lt;sup>f</sup> The Engineer reports the average of 3 tests from a single split sample.

<sup>&</sup>lt;sup>9</sup> The Engineer determines the bulk specific gravity of each lab-compacted briquette under California Test 308, Method A, and theoretical maximum specific gravity under California Test 309.

<sup>&</sup>lt;sup>h</sup> The Engineer waives this specification if HMA contains 10 percent or less of nonmanufactured sand by weight of total aggregate. Manufactured sand is fine aggregate produced by crushing rock or gravel.

Report only.

Applies to RAP substitution rate greater than 15 percent.

#### Replace the 2nd and 3rd paragraphs in section 40-1.01D(4) with:

01-20-12

The QC plan must include details of corrective action to be taken if any process is out of control. As a minimum, a process is out of control if any of the following occurs:

- 1. For fine and coarse aggregate gradation, 2 consecutive running averages of 4 tests are outside the specification limits
- 2. For individual penetration or air content measurements:
  - 2.1. One point falls outside the suspension limit line
  - 2.2. Two points in a row fall outside the action limit line

Stop production and take corrective action for out of control processes or the Engineer rejects subsequent material.

#### Replace the 1st paragraph in section 40-1.01D(5) with:

01-20-12

Determine the minimum cementitious materials content. Use your value for minimum cementitious material content for *MC* in equation 1 and equation 2 of section 90-1.02B(3).

#### Replace the 1st sentence of the 3rd paragraph of section 40-1.01D(9) with:

01-20-12

Use a California profilograph to determine the concrete pavement profile.

#### Replace the title of the table in section 40-1.01D(13)(a) with:

01-20-12

#### **Concrete Pavement Acceptance Testing**

#### Replace the 2nd and 3rd paragraphs in section 40-1.01D(13)(a) with:

01-20-12

Pavement smoothness may be accepted based on the Department's testing. A single test represents no more than 0.1 mile.

Acceptance of modulus of rupture, thickness, dowel bar and tie bar placement, coefficient of friction, smoothness, and air content, does not constitute final concrete pavement acceptance.

01-20-12

#### Delete item 4 in the list in the 2nd paragraph in section 40-1.01D(13)(c)(2).

#### Replace items 1 and 2 in the list in the 2nd paragraph in 40-1.01D(13)(d) with:

01-20-12

- 1. For tangents and horizontal curves having a centerline radius of curvature 2,000 feet or more, the PI<sub>0</sub> must be at most 2-1/2 inches per 0.1-mile section.
- 2. For horizontal curves having a centerline radius of curvature from 1,000 to 2,000 feet including concrete pavement within the superelevation transitions of those curves, the PI<sub>0</sub> must be at most 5 inches per 0.1-mile section.

#### Replace the 1st and 2nd variables in the equation in section 40-1.01D(13)(f) with:

01-20-12

n<sub>c</sub> = Number of your quality control tests (minimum of 6 required)

### Replace "Your approved third party independent testing laboratory" in the 4th paragraph of section 40-1.01D(13)(f) with:

01-20-12

The authorized laboratory

#### Replace item 2 in the list in the 2nd paragraph of section 40-1.01D(13)(g):

01-20-12

2. One test for every 4,000 square yards of concrete pavement with tie bars or remaining fraction of that area. Each tie bar test consists of 2 cores with 1 on each tie-bar-end to expose both ends and allow measurement.

#### Replace section 40-1.01D(13)(h) with:

01-20-12

#### 40-1.01D(13)(h) Bar Reinforcement

Bar reinforcement is accepted based on inspection before concrete placement.

#### Replace the paragraph in section 40-1.02B(2) with:

01-20-12

PCC for concrete pavement must comply with section 90-1 except as otherwise specified.

#### Replace the paragraphs in section 40-1.02D with:

01-20-12

Bar reinforcement must be deformed bars.

If the project is not shown to be in high desert or any mountain climate region, bar reinforcement must comply with section 52.

If the project is shown to be in high desert or any mountain climate regions, bar reinforcement must be one of the following:

- Epoxy-coated bar reinforcement under section 52-2.03B except bars must comply with either ASTM A 706/A 706M; ASTM A 996/A 996M; or ASTM A 615/A 615M, Grade 40 or 60. Bars must be handled under ASTM D 3963/D 3963M and section 52-2.02C.
- 2. Low carbon, chromium steel bar complying with ASTM A 1035/A 1035M

#### Replace the paragraphs in section 40-1.02E with:

01-20-12

Tie bars must be deformed bars.

If the project is not shown to be in high desert or any mountain climate region, tie bars must be one of the following:

- 1. Epoxy-coated bar reinforcement. Bars must comply with either section 52-2.02B or 52-2.03B except bars must comply with either ASTM A 706/A 706M; ASTM A 996/A 996M; or ASTM A 615/A 615M, Grade 40 or 60.
- 2. Stainless-steel bars. Bars must be descaled, pickled, polished, and solid stainless-steel bars under ASTM A 955/A 955M, Grade 60, UNS Designation S31603 or S31803.
- 3. Low carbon, chromium-steel bars under ASTM A 1035/A 1035M.

If the project is shown to be in high desert or any mountain climate region, tie bars must be one of the following:

- 1. Epoxy-coated bar reinforcement. Bars must comply with section 52-2.03B except bars must comply with either ASTM A 706/A 706M; ASTM A 996/A 996M; or ASTM A 615/A 615M, Grade 40 or 60.
- 2. Stainless-steel bars. Bars must be descaled, pickled, polished, and solid stainless-steel bars under ASTM A 955/A 955M, Grade 60, UNS Designation S31603 or S31803.

Fabricate, sample, and handle epoxy-coated tie bars under ASTM D 3963/D 3963M, section 52-2.02C, or section 52-2.03C.

Do not bend tie bars.

#### Replace the 1st, 2nd, and 3rd paragraphs in section 40-1.02F with:

01-20-12

Dowel bars must be plain bars. Fabricate, sample, and handle epoxy-coated dowel bars under ASTM D 3963/D 3963M and section 52-2.03C except each sample must be 18 inches long.

If the project is not shown to be in high desert or any mountain climate region, dowel bars must be one of the following:

- 1. Epoxy-coated bars. Bars must comply with ASTM A 615/A 615M, Grade 40 or 60. Epoxy coating must comply with either section 52-2.02B or 52-2.03B.
- 2. Stainless-steel bars. Bars must be descaled, pickled, polished, and solid stainless-steel bars under ASTM A 955/A 955M, Grade 60, UNS Designation S31603 or S31803.
- 3. Low carbon, chromium-steel bars under ASTM A 1035/A 1035M.

If the project is shown to be in high desert or any mountain climate region, dowel bars must be one of the following:

- 1. Epoxy-coated bars. Bars must comply with ASTM A 615/A 615M, Grade 40 or 60. Epoxy coating must comply with section 52-2.03B.
- 2. Stainless-steel bars. Bars must be descaled, pickled, polished, and solid stainless-steel bars under ASTM A 955/A 955M, Grade 60, UNS Designation S31603 or S31803.

#### Replace the paragraphs in section 40-1.02G with:

01-20-12

For dowel and tie bar baskets, wire must comply with ASTM A 82/A 82M and be welded under ASTM A 185/A 185M, Section 7.4. The minimum wire-size no. is W10. Use either U-frame or A-frame shaped assemblies.

If the project is not shown to be in high desert or any mountain climate region. Baskets may be epoxycoated, and the epoxy coating must comply with either section 52-2.02B or 52-2.03B.

If the project is shown to be in high desert or any mountain climate region, wire for dowel bar and tie bar baskets must be one of the following:

- 1. Epoxy-coated wire complying with section 52-2.03B
- 2. Stainless-steel wire. Wire must be descaled, pickled, and polished solid stainless-steel. Wire must comply with (1) the chemical requirements in ASTM A 276/A 276M, UNS Designation S31603 or S31803 and (2) the tension requirements in ASTM A 1022/ A 1022M.

Handle epoxy-coated tie bar and dowel bar baskets under ASTM D 3963/D 3963M and either section 52-2.02B or 52-2.03B.

Fasteners must be driven fasteners under ASTM F 1667. Fasteners on lean concrete base or HMA must have a minimum shank diameter of 3/16 inch and a minimum shank length of 2-1/2 inches. For asphalt

treated permeable base or cement treated permeable base, the shank diameter must be at least 3/16 inch and the shank length must be at least 5 inches.

Fasteners, clips, and washers must have a minimum 0.2-mil thick zinc coating applied by either electroplating or galvanizing.

#### Replace the 1st paragraph in section 40-1.02H with:

01-20-12

Chemical adhesive for drilling and bonding dowels and tie bars must be on the Authorized Material List. The Authorized Material List indicates the appropriate chemical adhesive system for the concrete temperature and installation conditions.

#### Replace section 40-1.02I(2) with:

#### 40-1.02I(2) Silicone Joint Sealant

01-20-12

Silicone joint sealant must be on the Authorized Material List.

#### Replace the last sentence in section 40-1.02I(4) with:

01-20-12

Show evidence that the seals are compressed from 30 to 50 percent for the joint width at time of installation.

#### Replace the paragraph in section 40-1.02L with:

01-20-12

Water for core drilling may be obtained from a potable water source, or submit proof that it does not contain:

- 1. More than 1,000 parts per million of chlorides as CI
- 2. More than 1,300 parts per million of sulfates as SO<sub>4</sub>
- 3. Impurities that cause pavement discoloration or surface etching

#### Replace the paragraph in section 40-1.03B with:

01-20-12

Before placing concrete pavement, develop enough water supply for the work under section 17.

#### Replace the last paragraph in section 40-1.03D(1) with:

01-20-12

Removal of grinding residue must comply with section 42-1.03B.

#### Replace the 1st and 2nd paragraphs in section 40-1.03E(6)(c) with:

01-20-12

Install preformed compressions seals in isolation joints if specified in the special provisions.

Install longitudinal seals before transverse seals. Longitudinal seals must be continuous except splicing is allowed at intersections with transverse seals. Transverse seals must be continuous for the entire transverse length of concrete pavement except splices are allowed for widenings and staged construction. With a sharp instrument, cut across the longitudinal seal at the intersection with transverse

construction joints. If the longitudinal seal does not relax enough to properly install the transverse seal, trim the longitudinal seal to form a tight seal between the 2 joints.

If splicing is authorized, splicing must comply with the manufacturer's written instructions.

#### Replace the 12th and 13th paragraphs in section 40-1.03G with:

01-20-12

Construct additional test strips if you:

- 1. Propose different paving equipment including:
  - 1.1. Paver
  - 1.2. Dowel bar inserter
  - 1.3. Tie bar inserter
  - 1.4. Tining
  - 1.5. Curing equipment
- 2. Change concrete mix proportions

You may request authorization to eliminate the test strip if you use paving equipment and personnel from a Department project (1) for the same type of pavement and (2) completed within the past 12 months. Submit supporting documents and previous project information with your request.

#### Replace the 1st paragraph in section 40-1.03l with:

01-20-12

Place tie bars in compliance with the tolerances shown in the following table:

#### **Tie Bar Tolerance**

Dimension	Tolerance
Horizontal and vertical skew	10 degrees maximum
Longitudinal translation	± 2 inch maximum
Horizontal offset (embedment)	± 2 inch maximum
Vertical depth	<ol> <li>Not less than 1/2 inch below the saw cut depth of joints</li> <li>When measured at any point along the bar, not less than 2 inches clear of the pavement's surface and bottom</li> </ol>

#### Replace item 4 in the list in the 2nd paragraph in section 40-1.03l with:

01-20-12

4. Use tie bar baskets. Anchor baskets at least 200 feet in advance of pavement placement activity. If you request a waiver, describe the construction limitations or restricted access preventing the advanced anchoring. After the baskets are anchored and before paving, demonstrate the tie bars do not move from their specified depth and alignment during paving. Use fasteners to anchor tie bar baskets.

### Replace "The maximum distance below the depth shown must be 0.05 foot." in the table in section 40-1.03J with:

01-20-12

The maximum distance below the depth shown must be 5/8 inch.

#### Replace sections 40-1.03L and 40-1.03M with:

01-20-12

40-1.03L Finishing 40-1.03L(1) General

Reserved

#### 40-1.03L(2) Preliminary Finishing

#### 40-1.03L(2)(a) General

Preliminary finishing must produce a smooth and true-to-grade finish. After preliminary finishing, mark each day's paving with a stamp. The stamp must be authorized before paving starts. The stamp must be approximately 1 by 2 feet in size. The stamp must form a uniform mark from 1/8 to 1/4 inch deep. Locate the mark  $20 \pm 5$  feet from the transverse construction joint formed at each day's start of paving and  $1 \pm 0.25$  foot from the pavement's outside edge. The stamp mark must show the month, day, and year of placement and the station of the transverse construction joint. Orient the stamp mark so it can be read from the pavement's outside edge.

Do not apply more water to the pavement surface than can evaporate before float finishing and texturing are completed.

#### 40-1.03L(2)(b) Stationary Side Form Finishing

If stationary side form construction is used, give the pavement a preliminary finish by the machine float method or the hand method.

If using the machine float method:

- 1. Use self-propelled machine floats.
- 2. Determine the number of machine floats required to perform the work at a rate equal to the pavement delivery rate. If the time from paving to machine float finishing exceeds 30 minutes, stop pavement delivery. When machine floats are in proper position, you may resume pavement delivery and paving.
- 3. Run machine floats on side forms or adjacent pavement lanes. If running on adjacent pavement, protect the adjacent pavement surface under section 40-1.03P. Floats must be hardwood, steel, or steel-shod wood. Floats must be equipped with devices that adjust the underside to a true flat surface.

If using the hand method, finish pavement smooth and true to grade with manually operated floats or powered finishing machines.

#### 40-1.03L(2)(c) Slip-Form Finishing

If slip-form construction is used, the slip-form paver must give the pavement a preliminary finish. You may supplement the slip-form paver with machine floats.

Before the pavement hardens, correct pavement edge slump in excess of 0.02 foot exclusive of edge rounding.

#### 40-1.03L(3) Final Finishing

After completing preliminary finishing, round the edges of the initial paving widths to a 0.04-foot radius. Round transverse and longitudinal construction joints to a 0.02-foot radius.

Before curing, texture the pavement. Perform initial texturing with a burlap drag or broom device that produces striations parallel to the centerline. Perform final texturing with a steel-tined device that produces grooves parallel with the centerline.

Construct longitudinal grooves with a self-propelled machine designed specifically for grooving and texturing pavement. The machine must have tracks to maintain constant speed, provide traction, and maintain accurate tracking along the pavement surface. The machine must have a single row of rectangular spring steel tines. The tines must be from 3/32 to 1/8 inch wide, on 3/4-inch centers, and must have enough length, thickness, and resilience to form grooves approximately 3/16 inch deep. The machine must have horizontal and vertical controls. The machine must apply constant down pressure on the pavement surface during texturing. The machines must not cause ravels.

Construct grooves over the entire pavement width in a single pass except do not construct grooves 3 inches from the pavement edges and longitudinal joints. Final texture must be uniform and smooth. Use a guide to properly align the grooves. Grooves must be parallel and aligned to the pavement edge across the pavement width. Grooves must be from 1/8 to 3/16 inch deep after the pavement has hardened.

For irregular areas and areas inaccessible to the grooving machine, you may hand-construct grooves under section 40-1.03L(2) using the hand method. Hand-constructed grooves must comply with the specifications for machine-constructed grooves.

Initial and final texturing must produce a coefficient of friction of at least 0.30 when tested under California Test 342. Notify the Engineer when the pavement is scheduled to be opened to traffic to allow at least 25 days for the Department to schedule testing for coefficient of friction. Notify the Engineer when the pavement is ready for testing which is the latter of:

- 1. Seven days after paving
- 2. When the pavement has attained a modulus of rupture of 550 psi

The Department tests for coefficient of friction within 7 days of receiving notification that the pavement is ready for testing.

Do not open the pavement to traffic unless the coefficient of friction is at least 0.30.

#### 40-1.03M Reserved

#### Replace the 4th paragraph of 40-1.03P with:

01-20-12

Construct crossings for traffic convenience. If authorized, you may use RSC for crossings. Do not open crossings until the Department determines that the pavement's modulus of rupture is at least 550 psi under California Test 523 or California Test 524.

#### Replace the 1st paragraph of section 40-6.01A with:

01-20-12

Section 40-6 includes specifications for applying a high molecular weight methacrylate resin system to pavement surface cracks that do not extend the full slab depth.

#### Replace the 4th paragraph of section 40-6.01C(2) with:

01-20-12

If the project is in an urban area adjacent to a school or residence, the public safety plan must also include an airborne emissions monitoring plan prepared by a CIH certified in comprehensive practice by the American Board of Industrial Hygiene. Submit a copy of the CIH's certification. The CIH must monitor the emissions at a minimum of 4 points including the mixing point, the application point, and the point of nearest public contact. At work completion, submit a report by the industrial hygienist with results of the airborne emissions monitoring plan.

01-20-12

Delete the 1st sentence of the 2nd paragraph in section 40-6.02B.

#### Replace item 4 in the list in the last paragraph in section 40-6.03A with:

01-20-12

4. Coefficient of friction is at least 0.30 under California Test 342

#### Replace the paragraph in section 40-6.04 with:

Not Used

Add to section 40:

01-20-12

40-7-40-15 RESERVED

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#### 41 CONCRETE PAVEMENT REPAIR

10-19-12

Replace "41-1.02" in the 1st paragraph of section 41-3.02 with:

10-19-12

Add to section 41-4.03:

10-19-12

41-4.03J-41-4.03M Reserved

Replace "41-8" in the 3rd paragraph of section 41-7.03 with:

10-19-12

41-9 except

^^^^^

# DIVISION VI STRUCTURES 46 GROUND ANCHORS AND SOIL NAILS

01-18-13

Replace the 1st paragraph of section 46-1.01C(2) with:

01-18-13

Submit 5 sets of shop drawings to OSD, Documents Unit. Notify the Engineer of the submittal. Include in the notification the date and contents of the submittal. Allow 30 days for the Department's review. After review, submit from 6 to 12 sets, as requested, for authorization and use during construction.

Shop drawings and calculations must be sealed and signed by an engineer who is registered as a civil engineer in the State.

#### Replace the 3rd paragraph of section 46-1.01C(2) with:

01-18-13

Ground anchor shop drawings must include:

- 1. Details and specifications for the anchorage system and ground anchors.
- 2. Details for the transition between the corrugated plastic sheathing and the anchorage assembly.
- 3. If shims are used during lock-off, shim thickness and supporting calculations.
- 4. Calculations for determining the bonded length. Do not rely on any capacity from the grout-to-ground bond within the unbonded length.

01-18-13

#### Delete the 5th and 6th paragraphs of section 46-1.01C(2).

#### Replace the 4th paragraph of section 46-1.01D(2)(b) with:

01-18-13

Each jack and its gage must be calibrated as a unit under the specifications for jacks used to tension prestressing steel permanently anchored at 25 percent or more of its specified minimum ultimate tensile strength in section 50-1.01D(3).

10-19-12

Delete the 3rd paragraph of section 46-1.01D(2)(d).

Add to section 46-1.03B:

04-20-12

Dispose of drill cuttings under section 19-2.03B.

#### Replace the 1st sentence of the 3rd paragraph of section 46-2.01A with:

04-20-12

Ground anchors must comply with section 50.

#### Add to section 46-2.02B:

04-20-12

Strand tendons, bar tendons, bar couplers, and anchorage assemblies must comply with section 50.

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#### **47 EARTH RETAINING SYSTEMS**

10-19-12

#### Replace the 2nd paragraph of section 47-2.01D with:

02-17-12

Coupler test samples must comply with minimum tensile specifications for steel wire in ASTM A 82/A 82M. Total wire slip must be at most 3/16 inch when tested under the specifications for tension testing of round wire test samples in ASTM A 370.

#### Replace "78-80" in the 1st table in the 2nd paragraph of section 47-2.02C with:

10-19-12

78-100

Replace the value for the sand equivalent requirement in the 2nd table in the 3rd paragraph of section 47-2.02C with:

01-20-12

12 minimum

#### Replace the 1st paragraph of section 47-2.02E with:

02-17-12

Steel wire must comply with ASTM A 82/A 82M. Welded wire reinforcement must comply with ASTM A 185/A 185M.

#### Add between the 2nd and 3rd paragraphs of section 47-3.02A:

Reinforcement must comply with section 52.

10-19-12

Delete the 1st paragraph of section 47-3.02B(2)(b).

10-19-12

#### Add between the 3rd and 4th paragraphs of section 47-5.01:

10-19-12

Reinforcement must comply with section 52.

#### Add to section 47-6.01A:

10-19-12

The alternative earth retaining system must comply with the specifications for the type of wall being constructed.

#### **48 TEMPORARY STRUCTURES**

09-16-11

#### Replace the 7th paragraph of section 48-2.01C(2) with:

09-16-11

If you submit multiple submittals at the same time or additional submittals before review of a previous submittal is complete:

- 1. You must designate a review sequence for submittals
- 2. Review time for any submittal is the review time specified plus 15 days for each submittal of higher priority still under review

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#### 49 PILING

01-18-13

Replace "Load Applied to Pile by Hydraulic Jack(s) Acting at One End of Test Beam(s) Anchored to the Pile" in the 5th paragraph of section 49-1.01D(2) with:

07-20-12

"Tensile Load Applied by Hydraulic Jack(s) Acting Upward at One End of Test Beam(s)"

#### Add to section 49-1.03:

Dispose of drill cuttings under section 19-2.03B.

04-20-12

#### Replace the 2nd paragraph of section 49-2.01D with:

01-20-12

Furnish piling is measured along the longest side of the pile from the specified tip elevation shown to the plane of pile cutoff.

#### Replace the 3rd and 4th paragraphs of section 49-2.04B(2) with:

10-19-12

Piles in a corrosive environment must be steam or water cured under section 90-4.03.

If piles in a corrosive environment are steam cured, either:

- 1. Keep the piles continuously wet for at least 3 days. The 3 days includes the holding and steam curing periods.
- 2. Apply curing compound under section 90-1.03B(3) after steam curing.

#### Add to section 49-3.01A:

01-20-12

Concrete must comply with section 51.

#### Replace the 1st paragraph of section 49-3.01C with:

01-20-12

Except for CIDH concrete piles constructed under slurry, construct CIP concrete piles such that the excavation methods and the concrete placement procedures provide for placing the concrete against undisturbed material in a dry or dewatered hole.

#### Replace "Reserved" in section 49-3.02A(2) with:

01-20-12

#### dry hole:

- 1. Except for CIDH concrete piles specified as end bearing, a drilled hole that:
  - 1.1. Accumulates no more than 12 inches of water in the bottom of the drilled hole during a period of 1 hour without any pumping from the hole during the hour.
  - 1.2. Has no more than 3 inches of water in the bottom of the drilled hole immediately before placing concrete.
- 2. For CIDH concrete piles specified as end bearing, a drilled hole free of water without the use of pumps.

#### Replace "Reserved" in section 49-3.02A(3)(a) with:

01-20-12

If plastic spacers are proposed for use, submit the manufacturer's data and a sample of the plastic spacer. Allow 10 days for review.

#### Replace item 5 in the list in the 1st paragraph of section 49-3.02A(3)(b) with:

10-19-12

- 5. Methods and equipment for determining:
  - 5.1. Depth of concrete
  - 5.2. Theoretical volume of concrete to be placed, including the effects on volume if casings are withdrawn
  - 5.3. Actual volume of concrete placed

#### Add to the list in the 1st paragraph of section 49-3.02A(3)(b):

01-18-13

8. Drilling sequence and concrete placement plan.

#### Replace item 2 in the list in the 1st paragraph of section 49-3.02A(3)(g) with:

01-20-12

- 2. Be sealed and signed by an engineer who is registered as a civil engineer in the State. This requirement is waived for either of the following conditions:
  - 2.1. The proposed mitigation will be performed under the current Department-published version of *ADSC Standard Mitigation Plan 'A' Basic Repair* without exception or modification.
  - 2.2. The Engineer determines that the rejected pile does not require mitigation due to structural, geotechnical, or corrosion concerns, and you elect to repair the pile using the current Department-published version of *ADSC Standard Mitigation Plan 'B' Grouting Repair* without exception or modification.

#### Replace item 1 in the list in the 1st paragraph of section 49-3.02A(4)(d)(ii) with:

01-20-12

 Inspection pipes must be schedule 40 PVC pipe complying with ASTM D 1785 with a nominal pipe size of 2 inches. Watertight PVC couplers complying with ASTM D 2466 are allowed to facilitate pipe lengths in excess of those commercially available. Log the location of the inspection pipe couplers with respect to the plane of pile cutoff.

#### Add to section 49-3.02A(4)(d)(iv):

01-20-12

If the Engineer determines it is not feasible to use one of ADSC's standard mitigation plans to mitigate the pile, schedule a meeting and meet with the Engineer before submitting a nonstandard mitigation plan.

The meeting attendees must include your representatives and the Engineer's representatives involved in the pile mitigation. The purpose of the meeting is to discuss the type of pile mitigation acceptable to the Department.

Provide the meeting facility. The Engineer conducts the meeting.

#### Replace the 1st paragraph of section 49-3.02B(5) with:

01-20-12

Grout used to backfill casings must comply with section 50-1.02C, except:

- 1. Grout must consist of cementitious material and water, and may contain an admixture if authorized. Cementitious material must comply with section 90-1.02B, except SCMs are not required. The minimum cementitious material content of the grout must not be less than 845 lb/cu yd of grout.
- 2. Aggregate must be used to extend the grout as follows:

- 2.1. Aggregate must consist of at least 70 percent fine aggregate and approximately 30 percent pea gravel, by weight.
- 2.2. Fine aggregate must comply with section 90-1.02C(3).
- 2.3. Size of pea gravel must be such that 100 percent passes the 1/2-inch sieve, at least 90 percent passes the 3/8-inch sieve, and not more than 5 percent passes the no. 8 sieve.
- 3. California Test 541 is not required.
- 4. Grout is not required to pass through a sieve with a 0.07-inch maximum clear opening before being introduced into the grout pump.

#### Replace section 49-3.02B(8) with:

01-20-12

#### 49-3.02B(8) Spacers

Spacers must comply with section 52-1.03D, except you may use plastic spacers.

Plastic spacers must:

- 1. Comply with sections 3.4 and 3.5 of the Concrete Reinforcing Steel Institute's *Manual of Standard Practice*
- 2. Have at least 25 percent of their gross plane area perforated to compensate for the difference in the coefficient of thermal expansion between the plastic and concrete
- 3. Be of commercial quality

#### Add to section 49-3.02C(4):

01-20-12

Unless otherwise shown, the bar reinforcing steel cage must have at least 3 inches of clear cover measured from the outside of the cage to the sides of the hole or casing.

Place spacers at least 5 inches clear from any inspection tubes.

Place plastic spacers around the circumference of the cage and at intervals along the length of the cage, as recommended by the manufacturer.

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#### **50 PRESTRESSING CONCRETE**

01-18-13

Replace the 3rd paragraph of section 50-1.01D(2) with:

10-19-12

The Department may verify the prestressing force using the Department's load cells.

#### Replace the 6th paragraph of section 50-1.01D(3) with:

01-18-13

Jacking equipment must be calibrated as follows:

- 1. Each jack and its gage must be calibrated as a unit.
- 2. Each jack used to tension prestressing steel permanently anchored at 25 percent or more of its specified minimum ultimate tensile strength must be calibrated by METS within 1 year of use and after each repair. You must:
  - 2.1. Schedule the calibration of the jacking equipment with METS
  - 2.2. Verify that the jack and supporting systems are complete, with proper components, and are in good operating condition

- 2.3. Mechanically calibrate the gages with a dead weight tester or other authorized means before calibration of the jacking equipment by METS
- 2.4. Provide enough labor, equipment, and material to (1) install and support the jacking and calibration equipment and (2) remove the equipment after the calibration is complete
- 2.5. Plot the calibration results
- 3. Each jack used to tension prestressing steel permanently anchored at less than 25 percent of its specified minimum ultimate tensile strength must be calibrated by an authorized laboratory within 6 months of use and after each repair.

#### Replace "diameter" in item 9 in the list in the 1st paragraph of section 50-1.02D with:

04-20-12

cross-sectional area

#### Add to section 50-1.02:

09-16-11

#### 50-1.02G Sheathing

Sheathing for debonding prestressing strand must:

- 1. Be split or un-split flexible polymer plastic tubing
- 2. Have a minimum wall thickness of 0.025 inch
- 3. Have an inside diameter exceeding the maximum outside diameter of the strand by 0.025 to 0.14 inch

Split sheathing must overlap at least 3/8 inch.

Waterproofing tape used to seal the ends of the sheathing must be flexible adhesive tape.

The sheathing and waterproof tape must not react with the concrete, coating, or steel.

#### Add to section 50-1.03B(1):

01-20-12

After seating, the maximum tensile stress in the prestressing steel must not exceed 75 percent of the minimum ultimate tensile strength shown.

#### Add to section 50-1.03B(2):

09-16-11

#### 50-1.03B(2)(e) Debonding Prestressing Strands

Where shown, debond prestressing strands by encasing the strands in plastic sheathing along the entire length shown and sealing the ends of the sheathing with waterproof tape.

Distribute the debonded strands symmetrically about the vertical centerline of the girder. The debonded lengths of pairs of strands must be equal.

Do not terminate debonding at any one cross section of the member for more than 40 percent of the debonded strands or 4 strands, whichever is greater.

Thoroughly seal the ends with waterproof tape to prevent the intrusion of water or cement paste before placing the concrete.

#### 51 CONCRETE STRUCTURES

10-19-12

#### Replace the paragraphs of section 51-1.01A with:

10-19-12

Section 51-1 includes general specifications for constructing concrete structures.

Earthwork for the following concrete structures must comply with section 19-3:

- 1. Sound wall footings
- 2. Sound wall pile caps
- 3. Culverts
- 4. Barrier slabs
- 5. Junction structures
- 6. Minor structures
- 7. Pipe culvert headwalls, endwalls, and wingwalls for a pipe with a diameter of 5 feet or greater

Falsework must comply with section 48-2.

Joints must comply with section 51-2.

Elastomeric bearing pads must comply with section 51-3.

Reinforcement for the following concrete structures must comply with section 52:

- 1. Sound wall footings
- 2. Sound wall pile caps
- 3. Barrier slabs
- 4. Junction structures
- 5. Minor structures
- 6. PC concrete members

You may use RSC for a concrete structure only where the specifications allow the use of RSC.

#### Add to section 51-1.03C(2)(c)(i):

04-20-12

Permanent steel deck forms are only allowed where shown or if specified as an option in the special provisions.

#### Replace the 3rd paragraph of section 51-1.03C(2)(c)(ii) with:

04-20-12

Compute the physical design properties under AISI's North American Specification for the Design of Cold-Formed Steel Structural Members.

#### Replace the 8th paragraph of section 51-1.03D(1) with:

10-19-12

Except for concrete placed as pipe culvert headwalls and endwalls, slope paving and aprons, and concrete placed under water, consolidate concrete using high-frequency internal vibrators within 15 minutes of placing concrete in the forms. Do not attach vibrators to or hold them against forms or reinforcing steel. Do not displace reinforcement, ducts, or prestressing steel during vibrating.

#### Add to section 51-1.03E(5):

08-05-11

Drill the holes without damaging the adjacent concrete. If reinforcement is encountered during drilling before the specified depth is attained, notify the Engineer. Unless coring through the reinforcement is authorized, drill a new hole adjacent to the rejected hole to the depth shown.

#### Replace "Reserved" in section 51-1.03F(5)(b) with:

04-20-12

#### 51-1.03F(5)(b)(i) General

Except for bridge widenings, texture the bridge deck surfaces longitudinally by grinding and grooving or by longitudinal tining.

10-19-12

For bridge widenings, texture the deck surface longitudinally by longitudinal tining.

04-20-12

In freeze-thaw areas, do not texture PCC surfaces of bridge decks.

#### 51-1.03F(5)(b)(ii) Grinding and Grooving

When texturing the deck surface by grinding and grooving, place a 1/4 inch of sacrificial concrete cover on the bridge deck above the finished grade shown. Place items to be embedded in the concrete based on the final profile grade elevations shown. Construct joint seals after completing the grinding and grooving.

Before grinding and grooving, deck surfaces must comply with the smoothness and deck crack treatment requirements.

Grind and groove the deck surface as follows:

- 1. Grind the surface to within 18 inches of the toe of the barrier under section 42-3. Grinding must not reduce the concrete cover on reinforcing steel to less than 1-3/4 inches.
- 2. Groove the ground surfaces longitudinally under section 42-2. The grooves must be parallel to the centerline.

#### 51-1.03F(5)(b)(iii) Longitudinal Tining

When texturing the deck surface by longitudinal tining, perform initial texturing with a burlap drag or broom device that produces striations parallel to the centerline. Perform final texturing with spring steel tines that produce grooves parallel with the centerline.

The tines must:

- 1. Be rectangular in cross section
- 2. Be from 3/32 to 1/8 inch wide on 3/4-inch centers
- 3. Have enough length, thickness, and resilience to form grooves approximately 3/16 inch deep

Construct grooves to within 6 inches of the layout line of the concrete barrier toe. Grooves must be from 1/8 to 3/16 inch deep and 3/16 inch wide after concrete has hardened.

For irregular areas and areas inaccessible to the grooving machine, you may hand construct grooves. Hand-constructed grooves must comply with the specifications for machine-constructed grooves.

Tining must not cause tearing of the deck surface or visible separation of coarse aggregate at the surface.

#### Replace the paragraphs of section 51-1.04 with:

10-19-12

If concrete involved in bridge work is not designated by type and is not otherwise paid for under a separate bid item, the concrete is paid for as structural concrete, bridge.

The payment quantity for structural concrete includes the volume in the concrete occupied by bar reinforcing steel, structural steel, prestressing steel materials, and piling.

The payment quantity for seal course concrete is the actual volume of seal course concrete placed except the payment quantity must not exceed the volume of concrete contained between vertical planes 1 foot outside the neat lines of the seal course shown. The Department does not adjust the unit price for an increase or decrease in the seal course concrete quantity.

Structural concrete for pier columns is measured as follows:

- 1. Horizontal limits are vertical planes at the neat lines of the pier column shown.
- 2. Bottom limit is the bottom of the foundation excavation in the completed work.
- 3. Upper limit is the top of the pier column concrete shown.

The payment quantity for drill and bond dowel is determined from the number and depths of the holes shown.

#### Replace "SSPC-QP 3" in the 1st paragraph of section 51-2.02A(2) with:

10-19-12

AISC-420-10/SSPC-QP 3

#### Replace the 2nd and 3rd paragraphs of section 51-2.02B(3)(b) with:

04-20-12

Concrete saws for cutting grooves in the concrete must have diamond blades with a minimum thickness of 3/16 inch. Cut both sides of the groove simultaneously for a minimum 1st pass depth of 2 inches. The completed groove must have:

- 1. Top width within 1/8 inch of the width shown or ordered
- 2. Bottom width not varying from the top width by more than 1/16 inch for each 2 inches of depth
- 3. Uniform width and depth

Cutting grooves in existing decks includes cutting any conflicting reinforcing steel.

#### Replace the 2nd paragraph of section 51-2.02E(1)(e) with:

08-05-11

Except for components in contact with the tires, the design loading must be the AASHTO LRFD Bridge Design Specifications Design Truck with 100 percent dynamic load allowance. Each component in contact with the tires must support a minimum of 80 percent of the AASHTO LRFD Bridge Design Specifications Design Truck with 100 percent dynamic load allowance. The tire contact area must be 10 inches measured normal to the longitudinal assembly axis by 20 inches wide. The assembly must provide a smooth-riding joint without slapping of components or tire rumble.

#### Add between the 1st and 2nd paragraphs of section 51-4.01A:

10-19-12

Prestressing concrete members must comply with section 50.

04-20-12

Delete the 2nd paragraph of section 51-4.01A.

#### Replace the 3rd paragraph of section 51-4.01C(2) with:

04-20-12

For segmental or spliced-girder construction, shop drawings must include the following additional information:

- 1. Details showing construction joints or closure joints
- 2. Arrangement of bar reinforcing steel, prestressing tendons, and pressure-grouting pipe
- 3. Materials and methods for making closures
- 4. Construction joint keys and surface treatment
- 5. Other requested information

For segmental girder construction, shop drawings must include concrete form and casting details.

10-19-12

#### Delete the 1st and 2nd paragraphs of section 51-4.02A.

#### Replace the 3rd paragraph of section 51-4.02B(2) with:

04-20-12

For segmental or spliced-girder construction, materials for construction joints or closure joints at exterior girders must match the color and texture of the adjoining concrete.

#### Add to section 51-4.02B(2):

04-20-12

At spliced-girder closure joints:

- 1. If shear keys are not shown, the vertical surfaces of the girder segment ends must be given a coarse texture as specified for the top surface of PC members.
- 2. Post-tensioning ducts must extend out of the vertical surface of the girder segment closure end sufficiently to facilitate splicing of the duct.

For spliced girders, pretension strand extending from the closure end of the girder segment to be embedded in the closure joint must be free of mortar, oil, dirt, excessive mill scale and scabby rust, and other coatings that would destroy or reduce the bond.

#### Add to section 51-4.03B:

04-20-12

The specifications for prestressing force distribution and sequencing of stressing in the post-tensioning activity in 50-1.03B(2)(a) do not apply if post-tensioning of spliced girders before starting deck construction is described. The composite deck-girder structure must be post-tensioned in a subsequent stage.

Temporary spliced-girder supports must comply with the specifications for falsework in section 48-2.

Before post-tensioning of spliced girders, remove the forms at CIP concrete closures and intermediate diaphragms to allow inspection for concrete consolidation.

#### Add between the 1st and 2nd paragraphs of section 51-7.01A:

10-19-12

Minor structures include:

- 1. Pipe culvert headwalls and endwalls for a pipe with a diameter less than 5 feet
- 2. Drainage inlets
- 3. Other structures described as minor structures

10-19-12

#### Delete the 4th paragraph of section 51-7.01A.

#### Replace the 1st and 2nd paragraphs of section 51-7.01B with:

10-19-12

Concrete must comply with the specifications for minor concrete.

#### Add to section 51:

10-19-12

#### 51-8-51-15 RESERVED

#### ^^^^^^

#### **52 REINFORCEMENT**

01-18-13 Add to section 52-1.01A:

07-20-12

Splicing of bar reinforcement must comply with section 52-6.

#### Replace the 1st and 2nd paragraphs of section 52-1.02B with:

10-19-12

Reinforcing bars must be deformed bars complying with ASTM A 706/A 706M, Grade 60, except you may use:

- 1. Deformed bars complying with ASTM A 615/A 615M, Grade 60, in:
  - 1.1. Junction structures
  - 1.2. Sign and signal foundations
  - 1.3. Minor structures
  - 1.4. Concrete crib members
  - 1.5. Mechanically-stabilized-embankment concrete panels
  - 1.6. Masonry block sound walls
- 2. Deformed or plain bars complying with ASTM A 615/A 615M, Grade 40 or 60, in:
  - 2.1. Slope and channel paving
  - 2.2. Concrete barriers Type 50 and 60
- 3. Plain bars for spiral or hoop reinforcement in structures and concrete piles

#### Add to the list in the 3rd paragraph of section 52-1.02B:

04-20-12

9. Shear reinforcement stirrups in PC girders

#### Replace the 6th paragraph of section 52-6.01D(4)(a) with:

01-18-13

Before performing service splice or ultimate butt splice testing, perform total slip testing on the service splice or ultimate butt splice test samples under section 52-6.01D(4)(b).

#### Replace section 52-6.02D with:

10-21-11

#### 52-6.02D Ultimate Butt Splice Requirements

When tested under California Test 670, ultimate butt splice test samples must demonstrate necking as either of the following:

- 1. For "Necking (Option I)," the test sample must rupture in the reinforcing bar outside of the affected zone and show visible necking.
- 2. For "Necking (Option II)," the largest measured strain must be at least:
  - 2.1. Six percent for no. 11 and larger bars
  - 2.2. Nine percent for no. 10 and smaller bars

#### Replace the 2nd and 3rd paragraphs of section 52-6.03B with:

01-18-13

Do not splice the following by lapping:

- 1. No. 14 bars
- 2. No. 18 bars
- 3. Hoops
- 4. Reinforcing bars where you cannot provide a minimum clear distance of 2 inches between the splice and the nearest adjacent bar

^^^^^^

#### **54 WATERPROOFING**

04-20-12

Add between "be" and "3/8 inch" in the 3rd paragraph of section 54-4.02C:

at least

^^^^^^^

#### 56 SIGNS

07-20-12

Delete item 2 in the list in the 4th paragraph of section 56-3.01A.

07-20-12

04-20-12

Delete the 7th paragraph of section 56-3.02K(2).

07-20-12

07-20-12

Delete item 4 in the list in the 1st paragraph of section 56-3.02M(1).

07-20-12

Delete "and box beam-closed truss" in the 2nd paragraph of section 56-3.02M(3)(a).

^^^^^^

#### 57 WOOD AND PLASTIC LUMBER STRUCTURES

10-19-12

Replace "51-2.01C(3)" in the 1st paragraph of section 57-2.01C(3)(a) with:

57-2.01C(3)

10-19-12

^^^^^^

#### **58 SOUND WALLS**

10-19-12

Delete the 3rd paragraph of section 58-1.01.

10-19-12

#### Replace the 1st paragraph of section 58-2.01D(5)(a) with:

08-05-11

You must employ a special inspector and an authorized laboratory to perform Level 1 inspections and structural tests of masonry to verify the masonry construction complies with section 1704, "Special Inspections," and section 2105, "Quality Assurance," of the 2007 CBC.

10-19-12

Delete the 1st paragraph of section 58-2.02F.

^^^^^

#### 59 PAINTING

10-19-12

Replace "SSPC-SP 10" at each occurrence in section 59 with:

SSPC-SP 10/NACE no. 2

10-19-12

Replace "SSPC-SP 6" at each occurrence in section 59 with:

10-19-12

SSPC-SP 6/NACE no. 3

Replace "SSPC-CS 23.00" at each occurrence in section 59 with:

10-19-12

SSPC-CS 23.00/AWS C 2.23M/NACE no. 12

Replace "SSPC-QP 3 or AISC SPE, Certification P-1 Enclosed" in item 3 in the list in the 1st paragraph of section 59-2.01D(1) with:

10-19-12

AISC-420-10/SSPC-QP 3 (Enclosed Shop)

#### Replace the paragraphs in section 59-2.03A with:

10-19-12

Clean and paint all exposed structural steel and other metal surfaces.

You must provide enclosures for cleaning and painting structural steel. Cleaning and painting of new structural steel must be performed in an Enclosed Shop as defined in AISC-420-10/SSPC-QP 3. Maintain atmospheric conditions inside enclosures within specified limits.

Except for blast cleaning within closed buildings, perform blast cleaning and painting during daylight hours.

#### Add to section 59-2.03C:

10-19-12

**59-2.03C(3) Moisture-Cured Polyurethane Coating** Reserved

#### Replace item 1 in the list in the 2nd paragraph of section 59-2.03C(1) with:

10-19-12

1. Apply a stripe coat of undercoat paint on all edges, corners, seams, crevices, interior angles, junctions of joining members, weld lines, and similar surface irregularities. The stripe coat must completely hide the surface being covered. If spot blast cleaning portions of the bridge, apply the stripe coat of undercoat paint before each undercoat and follow with the undercoat as soon as practical. If removing all existing paint from the bridge, apply the undercoat first as soon as practical and follow with the stripe coat of undercoat paint for each undercoat.

#### Add to section 59-2.03C(2)(a):

10-19-12

Coatings for new structural steel must comply with the requirements shown in the following table:

**Zinc Coating System for New Structural Steel** 

Description	Coating	Dry film thickness (mils)					
All surfaces:							
Undercoat	Inorganic zinc primer, AASHTO M 300 Type I or II	4–8					
Finish coat <sup>a</sup>	Exterior grade latex, 2 coats	2 minimum each coat, 4–8 total					
Total thickness, all coats		8–14					

<sup>&</sup>lt;sup>a</sup>If no finish coats are described, a final coat of inorganic zinc primer is required

Coatings for existing structural steel must comply with the requirements shown in the following table:

**Zinc Coating System for Existing Structural Steel** 

Description	Coating	Dry film thickness (mils)
Connections to new		
structural steel: <sup>a</sup>		
Undercoat	Inorganic zinc primer,	4–8
	AASHTO M 300 Type I or II	
Finish coat <sup>b</sup>	Exterior grade latex,	2 minimum each coat,
	2 coats	4–8 total
Total thickness, all coats		8–14
Other surfaces cleaned to		
bare metal:		
1st undercoat	State Specification PWB 145	2–3
2nd undercoat	State Specification PWB 146	2–3
1st finish coat	State Specification PWB 171	1.5–3
2nd finish coat	State Specification PWB 172	1.5–3
Total thickness, all coats		7–12
Existing painted surfaces		
to be topcoated:		
Undercoat	State Specification PWB 146	2–3
1st finish coat	State Specification PWB 171	1.5–3
2nd finish coat	State Specification PWB 172	1.5–3
Total thickness, new coats		5–9

<sup>&</sup>lt;sup>a</sup>Includes the following locations:

- 1. New and existing contact surfaces
- 2. Existing member surfaces under HS bolt heads, nuts, or washers
- 3. Bare surfaces of existing steel after trimming, cutting, drilling, or reaming
- 4. Areas within a 4-inch radius from the point of application of heat for welding or flame cutting

07-20-12

Delete "and box beam-closed truss" in the 1st sentence in the 1st paragraph of section 59-5.03.

## DIVISION VII DRAINAGE 62 ALTERNATIVE CULVERTS

10-19-12 **Add to the end of section 62-1.01:** 

10-19-12

Alternative culverts include concrete collars and concrete tees and reinforcement for connecting new pipe to existing or new facilities. Concrete for the collars and tees must be minor concrete. Reinforcement for the concrete collars or tee connections must comply with section 52.

^^^^^^

<sup>&</sup>lt;sup>b</sup>If no finish coats are described, a final coat of inorganic zinc primer is required

#### **64 PLASTIC PIPE**

10-19-12

#### Replace the 2nd paragraph of section 64-1.01A with:

10-19-12

Plastic pipe includes all necessary elbows, wyes, tees, other branches, fittings, coupling systems, concrete collars or tees, and reinforcement.

^^^^^^

#### **65 CONCRETE PIPE**

10-19-12

#### Replace the 2nd paragraph of section 65-1.01 with:

10-19-12

Concrete pipe includes all necessary elbows, wyes, tees, other branches, concrete collars or tees, and reinforcement.

^^^^^^

#### 70 MISCELLANEOUS DRAINAGE FACILITIES

01-18-13 **Replace section 70-5.02A(2) with:** 

01-20-12

#### 70-5.02A(2) Plastic Flared End Sections

Plastic flared end sections must comply with ASTM D 3350.

#### Replace the 2nd, 3rd, and 4th paragraphs of section 70-7.02B with:

01-18-13

Before shipping, the exterior surfaces of the casing must be cleaned, primed, and coated to comply with ANSI/AWWA C213 or ANSI/AWWA C214.

Wrapping tape for repairing damaged coating and wrapping field joints and fittings must be a pressuresensitive PVC or polyethylene tape with a minimum thickness of 50 mils, 2 inches wide.

#### Add to section 70-7.03:

01-18-13

Repair damaged coating on the casing and wrap field joints and fittings with wrapping tape as follows:

- 1. Before wrapping, thoroughly clean and prime the pipe casing, joints, and fittings under the tape manufacturer's instructions.
- Wrap the tape tightly with 1/2 uniform lap, free from wrinkles and voids to provide not less than a 100mil thickness.
- 3. Wrapping at joints must extend at least 6 inches over adjacent pipe casing coverings. Apply tension such that the tape will conform closely to contours of the joint.

^^^^^

## DIVISION VIII MISCELLANEOUS CONSTRUCTION 72 SLOPE PROTECTION

01-18-13

Replace the row under "Class" in the table in the 1st paragraph of section 72-3.02B with:

I/2 T	1/4 T	Light	Facing	Cobble

Replace the row under "Rock class" in the table in the 2nd paragraph of section 72-3.03E with:

1/2 T	1/4 T	Light	Facing	Cobble

#### Add to section 72-11.01B:

01-18-13

Expanded polystyrene and premolded expansion joint filler must comply with section 51-2.

#### Replace the 1st paragraph of section 72-11.01C(2) with:

01-18-13

Construct and finish minor concrete slope paving under section 51-1.

^^^^^^

#### 74 PUMPING EQUIPMENT AND CONTROLS

01-20-12

Replace the 1st sentence of the 1st paragraph in section 74-2.01D(2) with:

01-20-12

Drainage pumps must be factory certified under ANSI/HI 14.6.

^^^^^

#### 75 MISCELLANEOUS METAL

10-19-12

Replace "SSPC-QP 3" in the 3rd paragraph of section 75-1.03E(4) with:

10-19-12

AISC-420-10/SSPC-QP3

^^^^^

#### Replace section 78 with:

07-20-12

#### **78 INCIDENTAL CONSTRUCTION**

07-20-12 **78-1 GENERAL** 

Section 78 includes specifications for incidental bid items that are not closely associated with other sections.

#### 78-2-78-50 RESERVED

^^^^^^

#### **80 FENCES**

10-19-12 **Add to section 80-2.02D:** 

Vertical stays must:

- 1. Comply with ASTM A641
- 2. Be 12-1/2 gage
- 3. Have a Class 3 zinc coating

#### Replace item 1 in the list in section 80-2.02E with:

10-19-12

10-19-12

Comply with ASTM A 116, Type Z, Grade 60, Class 1

#### Add after "galvanized wire" in the 1st paragraph of section 80-2.02F:

10-19-12

complying with ASTM A 641

#### Replace the 3rd and 4th paragraphs of section 80-2.02F with:

10-19-12

Each staple used to fasten barbed wire and wire mesh fabric to wood posts must:

- 1. Comply with ASTM F 1667
- 2. Be at least 1-3/4 inches long
- 3. Be manufactured from 9-gage galvanized wire

Wire ties used to fasten barbed wire and wire mesh to metal posts must be at least 11-gage galvanized wire complying with ASTM F 626. Clips and hog rings used for metal posts must be at least 9-gage galvanized wire complying with ASTM F 626.

#### Replace the 8th through 14th paragraphs of section 80-2.03 with:

10-19-12

Attach the wire mesh and barbed wire to each post.

Securely fasten tension wires to wood posts. Make a single or double loop around each post at each attachment point and staple the wire to the post. Use wire ties, hog rings, or wire clips to fasten the wires to the metal posts.

Connect each wood brace to its adjacent post with a 3/8 by 4-inch steel dowel. Twist the tension wires until the installation is rigid.

Stretch barbed wire and wire mesh fabric and fasten to each wood or steel end, corner, or gate post. Apply tension according to the manufacturer's instructions using a mechanical stretcher or other device designed for such use. If no tension is specified by the manufacturer, use 250 pounds for the required tension. Evenly distribute the pull over the longitudinal wires in the wire mesh such that no more than 50 percent of the original depth of the tension curves is removed. Do not use a motorized vehicle, truck, or tractor to stretch the wire.

Attach barbed wire and wire mesh fabric to the private-property side of posts. On curved alignments, place the wire mesh and barbed wire on the face of the post against which the normal pull of the wire mesh and wire will be exerted. Terminate the wire mesh and barbed wire at each end, corner, pull, and gate post in the new fence line. Attach wire mesh and barbed wire to each wood or steel end, corner, pull, or gate post by wrapping each horizontal strand around the post and tying it back on itself with at least 4 tightly-wound wraps.

At line posts, fasten the wire mesh to the post at the top and bottom and at intermediate points not exceeding 10 inches apart. Fasten each line of barbed wire to each line post. Use wire ties or clips to fasten the wires to metal posts under the post manufacturer's instructions. Drive staples crosswise with the grain of the wood and pointed slightly downward. Drive staples just short of actual contact with the wires to allow free longitudinal movement of those wires and to prevent damage to the wire's protective coating. Secure all wires to posts to maintain horizontal alignment.

Splices in barbed wire and wire mesh are allowed provided there are no more than 2 splices per 50 feet of fence. Use commercially-available galvanized mechanical wire splices or a wire splice created by tying off wire. Install mechanical wire splices with a tool designed for that purpose under the manufacturer's instructions. Tie off the wire as follows:

- 1. Carry the ends of each wire 3 inches past the tied-off knot location and wrap around the wire for at least 6 turns in opposite directions.
- 2. Remove the splice tool and close the space by pulling the end of the wires together.
- 3. Cut the unused ends of the wire close and neat.

#### Add to "≤ 6" in the table in the 4th paragraph of section 80-3.02B:

10-19-12

feet

# DIVISION IX TRAFFIC CONTROL FACILITIES 83 RAILINGS AND BARRIERS

10-19-12

Replace "80-2.02" in the 2nd paragraph of section 83-1.02E with:

10-19-12

80-3.02B

#### Add to section 83-2.02D(1):

10-21-11

For a concrete barrier transition:

- 1. Remove portions of the existing concrete barrier where shown under section 15-3
- 2. Roughen the contact surface of the existing concrete barrier
- 3. Drill and bond dowels into the existing concrete barrier under section 51-1

#### Add to section 83-2.02:

83-2.02H-83-2.02M Reserved

10-19-12

### ^^^^^

#### 84 TRAFFIC STRIPES AND PAVEMENT MARKINGS

01-20-12

#### Replace the 1st paragraph in section 84-2.04 with:

01-20-12

A double extruded thermoplastic traffic stripe consisting of two 4-inch wide yellow stripes is measured as 2 traffic stripes.

A double sprayable thermoplastic traffic stripe consisting of two 4-inch wide yellow stripes is measured as 1 traffic stripe.

#### Add to section 84:

01-20-12

### 84-6 THERMOPLASTIC TRAFFIC STRIPES AND PAVEMENT MARKINGS WITH ENHANCED WET NIGHT VISIBILITY

Reserved

84-7-84-10 RESERVED

#### **86 ELECTRICAL SYSTEMS**

10-19-12

Replace section 86-2.06 with:

01-20-12

86-2.06 PULL BOXES 86-2.06A General 86-2.06A(1) Cover Marking

Marking must be clearly defined, uniform in depth, and parallel to either the long or short sides of the cover.

Marking letters must be 1 to 3 inches high.

Before galvanizing steel or cast iron cover, apply marking by one of the following methods:

- 1. Use cast iron strip at least 1/4 inch thick with letters raised a minimum of 1/16 inch. Fasten strip to cover with 1/4-inch flathead stainless steel machine bolts and nuts. Peen bolts after tightening.
- 2. Use sheet steel strip at least 0.027 inch thick with letters raised a minimum of 1/16 inch. Fasten strip to cover by spot welding, tack welding, or brazing, with 1/4-inch stainless steel rivets or 1/4-inch roundhead stainless steel machine bolts and nuts. Peen bolts after tightening.
- 3. Bead weld the letters on cover such that the letters are raised a minimum of 3/32 inch.

#### 86-2.06A(2) Installation and Use

Space pull boxes no more than 200 feet apart. You may install additional pull boxes to facilitate the work.

You may use a larger standard size pull box than that shown on the plans or specified.

A pull box in ground or sidewalk area must be installed as follows:

- 1. Embed bottom of the pull box in crushed rock.
- 2. Place a layer of roofing paper on the crushed rock.
- 3. Place grout over the layer of roofing paper. Grout must be 0.50 to 1 inch thick and sloped toward the drain hole.
- 4. Make a 1-inch drain hole in the center of the pull box through the grout and roofing paper.
- 5. Place grout between the pull box and the pull box extension, and around conduits.

The top of the pull box must be flush with the surrounding grade or the top of an adjacent curb, except in unpaved areas where the pull box is not immediately adjacent to and protected by a concrete foundation, pole, or other protective construction. Place the pull box 1-1/4 inches above the surrounding grade. Where practical, place a pull box shown in the vicinity of curbs or adjacent to a standard on the side of the foundation facing away from traffic. If a pull box is installed in a sidewalk area, adjust the depth of the pull box so that the top of the pull box is flush with the sidewalk.

Reconstruct the sump of an existing pull box if disturbed by your activities. Remove old grout and replace with new if the sump was grouted.

#### 86-2.06B Non-Traffic-Rated Pull Boxes

Reserved

#### 86-2.06C Traffic Pull Boxes

Traffic pull box and cover must comply with ASTM C857, "Standard Practice for Minimum Structural Design Loading for Underground Precast Concrete Utility Structures," for HS20-44 loading. You must be able to place the load anywhere on the box and cover for 1 minute without causing cracks or permanent deformations.

Frame must be anchored to the box with 1/4 by 2-1/4 inch concrete anchors. Four concrete anchors must be included for No. 3-1/2(T) pull box; one placed in each corner. Six concrete anchors must be included for No. 5(T) and No. 6(T) pull boxes; one placed in each corner and one near the middle of each of the longer sides.

Nuts must be zinc-plated carbon steel, vibration resistant, and have a wedge ramp at the root of the thread.

After installation of traffic pull box, install the steel cover and keep it bolted down when your activities are not in progress at the pull box. When the steel cover is placed for the final time, the cover and Z bar frame must be cleaned of debris and tightened securely.

Steel cover must be countersunk approximately 1/4 inch to accommodate the bolt head. When tightened, the bolt head must not exceed more than 1/8 inch above the top of the cover.

Concrete placed around and under traffic pull boxes must be minor concrete.

#### Replace "project" in the 3rd paragraph of section 86-2.11A with:

10-19-12

work

#### Replace "Contract" in item 2 in the list in the 11th paragraph of section 86-2.11A with:

10-19-12

work

^^^^^^

#### **88 GEOSYNTHETICS**

01-18-13

Replace the row for hydraulic bursting strength in the table in the 2nd paragraph of section 88-1.02B with:

10-19-12

Puncture strength, lb min	ASTM D 6241	310
Trapezoid tearing strength, lb min	ASTM D 4533	56

#### Replace the 3rd paragraph in section 88-1.02C with:

10-19-12

Geocomposite wall drain must be from 0.25 to 2 inches thick.

Replace the value for permittivity of woven fabric in the table in the 1st paragraph of section 88-1.02E with:

01-20-12

0.05

Replace the value for apparent size opening of nonwoven fabric in the table in the 1st paragraph of section 88-1.02E with:

01-20-12

0.012

#### Replace the table in the 1st paragraph of section 88-1.02G with:

01-20-12

#### **Sediment Filter Bag**

Proporty	Test	Values		
Property	rest	Woven	Nonwoven	
Grab breaking load, lb, 1-inch grip min, in each direction	ASTM D 4632	200	250	
Apparent elongation, percent min, in each direction	ASTM D 4632	10	50	
Water flow rate, gal per minute/sq ft min and max average roll value	ASTM D 4491	100-200	75-200	
Permittivity, sec <sup>-1</sup> min	ASTM D 4491	1.0	1.0	
Apparent opening size, inches max average roll value	ASTM D 4751	0.023	0.012	
Ultraviolet resistance, % min retained grab breaking load, 500 hr.	ASTM D 4355	70	70	

#### Replace the table in the 1st paragraph of section 88-1.02H with:

01-20-12

#### **Temporary Cover**

Proporty	Test	Values	
Property	rest	Woven	Nonwoven
Grab breaking load, lb, 1-inch grip min, in each direction	ASTM D 4632	200	200
Apparent elongation, percent min, in each direction	ASTM D 4632	15	50
Water flow rate, gal per minute/sq ft min and max average roll value	ASTM D 4491	4-10	80-120
Permittivity, sec <sup>-1</sup> min	ASTM D 4491	0.05	1.0
Apparent opening size, inches max average roll value	ASTM D 4751	0.023	0.012
Ultraviolet resistance, % min retained grab breaking load, 500 hr.	ASTM D 4355	70	70

#### Replace section 88-1.02P with:

01-18-13

#### 88-1.02P Biaxial Geogrid

Geosynthetics used for biaxial geogrid must be a punched and drawn polypropylene material formed into an integrally formed biaxial grid. When tested under the referenced test methods, properties of biaxial geogrid must have the values shown in the following table:

#### **Biaxial Geogrid**

Property	Test	Value
Aperture size, inch <sup>a</sup> min and max	Calipered	0.8-1.3 x 1.0-1.6
Rib thickness, inch min	Calipered	0.04
Junction thickness, inch min	Calipered	0.150
Tensile strength, 2% strain, lb/ft <sup>a</sup> min	ASTM D 6637	410 x 620
Tensile strength at ultimate, lb/ft <sup>a</sup> min	ASTM D 6637	1,310 x 1,970
Ultraviolet resistance, percent min retained tensile strength, 500 hours	ASTM D 4355	100
Junction strength, lb/ft <sup>a</sup> min	ASTM D 7737	1,220 x 1,830
Overall flexural rigidity, mg-cm min	ASTM D 7748	750,000
Torsional rigidity at 20 cm-kg, mm-kg/deg <sup>b</sup> min	GRI:GG9	0.65

<sup>&</sup>lt;sup>a</sup>Machine direction x cross direction

<sup>&</sup>lt;sup>b</sup>Geosynthetic Research Institute, Test Method GG9, *Torsional Behavior of Bidirectional Geogrids When Subjected to In-Plane Rotation* 

^^^^^^

## DIVISION X MATERIALS 90 CONCRETE

08-05-11

#### Replace the 3rd paragraph of section 90-1.01C(7) with:

08-05-11

Submit weighmaster certificates in printed form or, if authorized, in electronic media. Present electronic media in a tab-delimited format on a CD or DVD. Captured data for the ingredients represented by each batch must be line feed carriage return and one line separate record with sufficient fields for the specified data.

#### Replace the 3rd paragraph of section 90-3.01C(5) with:

08-05-11

Production data must be input by hand into a pre-printed form or captured and printed by the proportioning device. Present electronic media containing recorded production data in a tab-delimited format on a CD or DVD. Each capture of production data must be followed by a line feed carriage return with sufficient fields for the specified data.

#### 91 PAINT

10-19-12

Add to section 91-2:

10-19-12

#### 91-2.03 MOISTURE-CURED POLYURETHANE COATING

Reserved

Replace "saint" in the 1st paragraph of section 91-4.05 with:

10-19-12

paint

**92 ASPHALTS** 01-20-12

^^^^^^

Replace the row for dynamic shear for original binder in the table in the 1st paragraph of section 92-1.02B with:

						01-	20-12
Dynamic shear,							
Test temperature at 10							
rad/s, °C	T 315	58	64	64	64	70	
min G*/sin(delta), kPa		1.00	1.00	1.00	1.00	1.00	
max G*/sin(delta), kPa		2.00	2.00	2.00	2.00	2.00	

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